MPRI 2.30: Proofs of Security Protocols

1. The CCSA Approach to Computational Security

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Introduction

The Computationally Complete Symbolic Attacker (**CCSA**) [2] is a symbolic approach in the computational model to verify security protocols.

Its key ingredients are:

- Interpret a **protocol execution** as the **sequence of terms** seen by the adversary (the frame).
- Interpret terms as PTIME-computable bitstring distributions.
 - ► Functions symbol (e.g. the pair < _, _ >) are functions over bitstrings.
 - ▶ Names (e.g. n) are (uniform) distributions over bitstrings.
- Use cryptographic hardness assumptions (e.g. IND-CCA).
- Symbolic approach: no probabilities, no security parameter.

Protocols as Sequences of Terms

To illustrate what terms we need to consider, we consider a simple authentication protocol:

The Private Authentication (PA) Protocol, v1

 $1: A \to B: \nu n_{A}. \quad \text{out}(c_{A}, \{\langle pk_{A}, n_{A} \rangle\}_{pk_{B}})$ $2: B \to A: \nu n_{B}. \text{ in}(c_{A}, x). \text{ out}(c_{B}, \{\langle \pi_{2}(\text{dec}(x, sk_{A})), n_{B} \rangle\}_{pk_{A}})$ where $pk_{A} \equiv pk(k_{A})$ and $pk_{B} \equiv pk(k_{B})$.

Notation: we use \equiv to denote syntactic equality of terms.

Terms

We use terms to model protocol messages, built upon:

- Names \mathcal{N} , e.g. n_A , n_B , for random samplings.
- Function symbols \mathcal{F} , e.g.:

A, B,
$$\langle _, _ \rangle$$
, $\pi_1(_)$, $\pi_2(_)$, $\{_\}_$, $\mathsf{pk}(_)$, $\mathsf{sk}(_)$,
if_then_else_, $_ \doteq _$, $_ \land _$, $_ \lor _$, $_ \div _$

Examples

$$pk(k_A)$$
 { $\langle pk_A, n_A \rangle$ } $_{pk_B}$ $\pi_1(n_A)$

Types. Also, each function symbol $f \in \mathcal{F}$ comes with a type:

$$\mathsf{type}(f) = (\tau_1 \star \cdots \star \tau_n) \to \tau$$

For now, we use the **message** and **bool** types. We require that terms are well-typed.

But this is not enough to **translate** a protocol **execution** into a **sequence of terms**. We also need to:

- model inputs of the protocol as terms.
- account for protocol branching (i.e. if ϕ then P_1 else P_2).

Moreover, we **forbid unbounded replication** !, since we want to build **finite** sequences of terms.

We will discuss how to retrieve replication briefly later.

Protocols as Sequences of Terms

Protocol Inputs

The PA Protocol, v1

$$\begin{split} 1 &: \mathsf{A} \to \mathsf{B} : \nu \, \mathsf{n}_{\mathsf{A}}. \quad \mathsf{out}(\mathsf{c}_{\mathtt{A}}, \{\langle \mathsf{pk}_{\mathsf{A}} \,, \, \mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}}) \\ 2 &: \mathsf{B} \to \mathsf{A} : \nu \, \mathsf{n}_{\mathsf{B}}. \, \mathsf{in}(\mathsf{c}_{\mathtt{A}}, x). \, \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{\langle \pi_2(\mathsf{dec}(\boxtimes, \mathsf{sk}_{\mathsf{A}})) \,, \, \mathsf{n}_{\mathsf{B}} \rangle\}_{\mathsf{pk}_{\mathsf{A}}}) \end{split}$$

How do we represent the adversary's inputs?

- We use adversarial functions symbols att ∈ G, which takes as input the current knowledge of the adversary.
- Intuitively, att can be any probabilistic PTIME computation.

Example: Terms for PA, v1 $t_{1} \equiv \{\langle \mathsf{pk}_{\mathsf{A}}, \mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}}$ $t_{2} \equiv \{\langle \pi_{2}(\mathsf{dec}(\texttt{att}(t_{1}), \mathsf{sk}_{\mathsf{A}})), \mathsf{n}_{\mathsf{B}} \rangle\}_{\mathsf{pk}_{\mathsf{A}}}$

More generally, if:

- there has already been *n* **outputs**, represented by the terms t_1, \ldots, t_n ;
- and we are doing the *j*-th **input** since the protocol started;

then the input bitstring is represented by:

$$\operatorname{\mathsf{att}}_j(t_1,\ldots,t_n)$$

where $\mathbf{att}_i \in \mathcal{G}$ is an **adversarial** function symbol of arity *n*.

i allows to have different values for consecutive inputs.

We extend our set of terms accordingly:

- Names \mathcal{N} .
- Variables X.
- Function symbols \mathcal{F} .
- Adversarial function symbols $\mathcal{G}_{\text{,}}$ of any arity.

We note this set of terms $\mathcal{T}(\mathcal{F}, \mathcal{G}, \mathcal{N}, \mathcal{X})$.

We will see the use of variables in ${\cal X}$ later.

Protocols as Sequences of Terms

Protocol Branching

Protocol Branching

In our first version of PA, B does not check that its comes from A. We propose a second version fixing this:

The PA Protocol, v2

1 : $A \rightarrow B : \nu n_A$. out $(c_A, \{\langle pk_A, n_A \rangle\}_{pk_B})$ 2 : $B \rightarrow A : \nu n_B$. in (c_A, x) . if $\pi_1(d) \doteq pk_A$

> then $out(c_B, \{\langle \pi_2(d), n_B \rangle\}_{pk_A})$ else $out(c_B, \{0\}_{pk_A})$

where $d \equiv dec(x, sk_A)$.

In the else branch, we return an encryption, to hide to the adversary which branch was taken.

The PA Protocol, v2

$$\begin{split} 1: \mathsf{A} \to \mathsf{B} : \nu \, \mathsf{n}_{\mathsf{A}}. & \mathsf{out}(\mathsf{c}_{\mathsf{A}}, \{\langle \mathsf{pk}_{\mathsf{A}}, \, \mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}})\\ 2: \mathsf{B} \to \mathsf{A} : \nu \, \mathsf{n}_{\mathsf{B}}. \, \mathsf{in}(\mathsf{c}_{\mathsf{A}}, x). \text{ if } \pi_1(d) \doteq \mathsf{pk}_{\mathsf{A}}\\ & \text{ then } \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{\langle \pi_2(d), \, \mathsf{n}_{\mathsf{B}} \rangle\}_{\mathsf{pk}_{\mathsf{A}}})\\ & \text{ else } \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{0\}_{\mathsf{pk}_{\mathsf{A}}}) \end{split}$$

The **bitstring outputted** in the second message of the protocol **depends** on which **branch** was taken.

Moreover, the adversary may not know which branch was taken.

 \Rightarrow branching is pushed (or folded) in the outputted terms, using the if_then_else_function symbol.

Example: Terms for PA, v2

$$\begin{split} t_1 &\equiv \{ \langle \mathsf{pk}_\mathsf{A} \,, \, \mathsf{n}_\mathsf{A} \rangle \}_{\mathsf{pk}_\mathsf{B}} \\ t_2 &\equiv \text{ if } \pi_1(d_1) \doteq \mathsf{pk}_\mathsf{A} \\ &\quad \text{ then } \{ \langle \pi_2(d_1) \,, \, \mathsf{n}_\mathsf{B} \rangle \}_{\mathsf{pk}_\mathsf{A}} \\ &\quad \text{ else } \{ 0 \}_{\mathsf{pk}_\mathsf{A}} \end{split}$$

where $d_1 \equiv \text{dec}(\text{att}(t_1), \text{sk}_A)$.

Folding

We describe a systematic method to compute, given a process P and a trace tr of observable actions, the terms representing the outputted messages during the execution of P over tr.

This is the **folding** of P over tr.

We deal with **inputs** and protocol **branching** using the two techniques we just saw.

First, we require that **processes** are **deterministic**.

Indeed, consider a simple process:

$$P = \mathsf{out}(c, t_0) \mid \mathsf{out}(c, t_1)$$

- in a symbolic setting, this is a non-deterministic choice between t₀ and t₁.
- in a computational setting, the semantics of *P* is unclear: how do **non-determinism** and **probabilities** interacts?

Hence, we choose to **forbid** such process: we only consider **action-deterministic** processes.

A process P is action-deterministic if the *observable* executions, starting from P, is described by a deterministic transition system.

Action-deterministic Process

A configuration A is action-deterministic iff for any $A \rightarrow^* A'$, for any observable action α , if $A' \stackrel{\alpha}{\rightarrow} A_1$ and $A' \stackrel{\alpha}{\rightarrow} A_2$ then $A_1 = A_1$, for any term interpretation domain.

P is action-deterministic if the initial configuration $(P, \emptyset, \emptyset)$ is.

Exercise

Determine if the following protocols are action-deterministic.

 $\mathsf{out}(c, t_1) \mid \mathsf{in}(c, x). \, \mathsf{out}(c, t_2)$

if b then $out(c, t_1)$ else in(c, x). $out(c, t_2)$

 $out(c, t_1)$ | if b then $out(c, t_2)$ else $out(c_0, t_3)$

Folding

Folding Algorithm

Folding configuration

A folding configuration is a tuple $(\Phi; \sigma; j; \Pi_1, \ldots, \Pi_l)$ where:

- Φ is a sequence of terms (in $\mathcal{T}(\mathcal{F}, \mathcal{G}, \mathcal{N}, \mathcal{X})$).
- σ is a finite sequence of mappings (x \mapsto t) where t is a term.
- $j \in \mathbb{N}$.
- for every i, Π_i = (P_i, b_i) where P_i is a protocol and b_i is a boolean term.

In a folding configuration $(\Phi; \sigma; j; \Pi_1, \ldots, \Pi_l)$:

- Φ is the **frame**, i.e. the sequence of terms outputted since the execution started.
- σ records inputs, it maps input variable to their corresponding term.
- *j* counts the number of inputs since the execution started.
- (*P*, *b*) **represent the protocol** *P* if *b* is true (and is **null** otherwise).

Using this interpretation, Π_1, \ldots, Π_l is the current process.

Initial configuration: $(\epsilon; \emptyset; 0; (P, \top))$

Folding: New and Branching Rules

Rule for protocol branching:

$$(\Phi; \sigma; j; (\text{if } b \text{ then } P_1 \text{ else } P_2, b'), \Pi_1, \dots, \Pi_l)$$

$$\hookrightarrow (\Phi; \sigma; j; (P_1, b' \land b), (P_2, b' \land \neg b), \Pi_1, \dots, \Pi_l)$$

Rule for new:

$$(\Phi; \sigma; j; (\nu n, P, b), \Pi_1, \dots, \Pi_l)$$

$$\hookrightarrow (\Phi; \sigma; j; (P[n \mapsto n_f], b), \Pi_1, \dots, \Pi_l)$$

if n_f does not appear in the lhs configuration

\hookrightarrow -irreducibility

A folding configuration K is \hookrightarrow -irreducible if for any K', we have $K \nleftrightarrow K'$.

Rule for inputs:

$$(\Phi; \sigma; j; (\mathbf{in}(\mathsf{c}, x).P_1, b_1), \dots, (\mathbf{in}(\mathsf{c}, x).P_n, b_n), \Pi_1, \dots, \Pi_l)$$

$$\stackrel{\mathsf{in}(\mathsf{c})}{\hookrightarrow} (\Phi; \sigma[\mathsf{x} \mapsto \mathbf{att}_j(\Phi)]; j+1; (P_1, b_1), \dots, (P_n, b_n), \Pi_1, \dots, \Pi_l)$$

if $x \notin dom(\sigma)$, the lhs folding configuration is \hookrightarrow -irreducible and if for every *i*, Π_1 does not start by an input on c.

Alternative

If the computational semantics of processes tell the adversary if an input succeeded or not, we replace Φ (in the rhs) by:

$$\Phi, \bigvee_{1 \leq i \leq n} b_i$$

Rule for outputs:

$$(\Phi; \sigma; j; (\mathbf{out}(\mathsf{c}, t_1).P_1, b_1), \dots, (\mathbf{out}(\mathsf{c}, t_n).P_n, b_n), \Pi_1, \dots, \Pi_l)$$

$$\stackrel{\mathbf{out}(\mathsf{c})}{\hookrightarrow} (\Phi, t\sigma; \sigma; j; (P_1, b_1), \dots, (P_n, b_n), \Pi_1, \dots, \Pi_l)$$

if the lhs folding configuration is \hookrightarrow -irreducible and if for every *i*, Π_1 does not start by an output on c and:

 $t \equiv \text{if } b_1 \text{ then } t_1 \text{ else } \dots \text{if } b_n \text{ then } t_n \text{ else error}$

? The input and output rules makes sense because we restrict ourselves to action-deterministic processes.

Remark: we omit the error message when $(\bigvee_{1 \le i \le n} b_i) \Leftrightarrow$ true.

A folding observable action a is either in(c) or out(c). Given an action-deterministic process P and a trace tr of folding observable, if:

$$(\epsilon; \emptyset; 0; (P, \top)) \stackrel{\texttt{tr}}{\hookrightarrow} (\Phi; _; _; _)$$

then Φ is the folding of *P* over tr, denoted fold(*P*,tr).

Exercise

What are all the possible foldings of the following protocols?

 $in(c,x). out(c,t) \qquad out(c,t_1) \mid in(c_0,x). out(c_0,t_2)$ if b then out(c,t_1) else out(c,t_2)

if b then $out(c_1, t_1)$ else $out(c_2, t_2)$

Exercise

Extend the **folding** algorithm with a rule allowing to handle processes with let bindings.

Semantics of Terms

We showed how to represent **protocol execution**, on some fixed trace of observables tr, as a **sequence of terms**.

Intuitively, the terms corresponds to **PTIME-computable bitstring distributions**.

Example

If $\langle _, _ \rangle$ is the concatenation, and samplings are done uniformly at random among bitstrings of length $\eta \in \mathbb{N}$, then folding:

 $\nu n_0, \nu n_1, \mathsf{out}(\mathsf{c}, \langle n_0, \langle 00, n_1 \rangle \rangle) \quad \text{yields} \quad \langle n_0, \langle 00, n_1 \rangle \rangle$

which represent a distribution over bitstrings of length $2 \cdot \eta + 2$, where all bits are sampled uniformly and independently, except for the bits at positions η and $\eta + 1$, which are always 0. We interpret $t \in \mathcal{T}(\mathcal{F}, \mathcal{G}, \mathcal{N}, \mathcal{X})$ as a **Probabilistic Polynomial-time Turing machine** (PPTM), with:

- a working tape (also used as input tape);
- two read-only infinite tapes $\rho = (\rho_p, \rho_a)$ for protocol and adversary randomness.

We let $\ensuremath{\mathcal{D}}$ be the set of such machines.

The machine must be polynomial in the size of its input on the working tape only (obviously).

The interpretation $\llbracket t \rrbracket_{\mathcal{M}}^{\sigma}$ is parameterized by:

- a valuation $\sigma : \mathcal{X} \mapsto \mathcal{D}$ of variables as PPTMs;
- a **computational model** \mathcal{M} , which interprets function symbols.

We often omit \mathcal{M} , as it is fixed throughout the interpretation.

We now define the machine $[t]^{\sigma} \in \mathcal{D}$, by defining its behavior for every $\eta \in \mathbb{N}$ and pairs of random tapes $\rho = (\rho_p, \rho_a)$.

Function symbols interpretations is just composition.

For function symbols in $f \in \mathcal{F}$, we simply apply $\llbracket f \rrbracket_{\mathcal{M}}$:

$$\llbracket f(t_1,\ldots,t_n) \rrbracket^{\sigma}(1^{\eta},\rho) \stackrel{\text{def}}{=} \llbracket f \rrbracket_{\mathcal{M}}(\llbracket t_1 \rrbracket^{\sigma}(1^{\eta},\rho),\ldots,\llbracket t_n \rrbracket^{\sigma}(1^{\eta},\rho))$$

Adversarial function symbols $g \in \mathcal{G}$ also have access to ρ_a :

$$\llbracket g(t_1,\ldots,t_n) \rrbracket^{\sigma}(1^{\eta},\rho) \stackrel{\text{def}}{=} \llbracket g \rrbracket_{\mathcal{M}}(\llbracket t_1 \rrbracket^{\sigma}(1^{\eta},\rho),\ldots,\llbracket t_n \rrbracket^{\sigma}(1^{\eta},\rho),\rho_{a})$$

Remark: $\llbracket f \rrbracket_{\mathcal{M}}$ and $\llbracket g \rrbracket_{\mathcal{M}}$ are **deterministic** (all randomness must come explicitly, from ρ).

For variables in $x \in \mathcal{X}$, we use σ :

$$\llbracket \mathbf{x} \rrbracket^{\sigma}(1^{\eta}, \rho) \stackrel{\mathsf{def}}{=} \sigma(x)(1^{\eta}, \rho),$$

Names $n \in G$ are interpreted as uniform random samplings among bitstrings of length η , extracted from ρ_p :

$$\llbracket \mathbf{n} \rrbracket^{\sigma} (\mathbf{1}^{\eta}, \rho) \stackrel{\mathsf{def}}{=} \mathsf{M}_{\mathsf{n}} (\eta, \rho_{p})$$

For every pair of different names n_0, n_1 , we require that M_{n_0} and M_{n_1} extracts disjoint parts of ρ_p .

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Term Interpretation: Builtins

We force the interpretation of some function symbols.

• if then else is interpreted as branching:

$$\llbracket \text{if } b \text{ then } t_1 \text{ else } t_2 \rrbracket^{\sigma}(1^{\eta}, \rho) \stackrel{\text{def}}{=} \begin{cases} \llbracket t_1 \rrbracket^{\sigma}(1^{\eta}, \rho) & \text{ if } \llbracket t_1 \rrbracket^{\sigma}(1^{\eta}, \rho) = 1 \\ \llbracket t_2 \rrbracket^{\sigma}(1^{\eta}, \rho) & \text{ otherwise} \end{cases}$$

• $_ \doteq _$ is interpreted as an **equality** test:

$$\llbracket t_1 \doteq t_2 \rrbracket^{\sigma} (1^{\eta}, \rho) \stackrel{\mathsf{def}}{=} \begin{cases} 1 & \text{ if } \llbracket t_1 \rrbracket^{\sigma} (1^{\eta}, \rho) = \llbracket t_2 \rrbracket^{\sigma} (1^{\eta}, \rho) \\ 0 & \text{ otherwise} \end{cases}$$

Similarly, we force the interpretations of $\dot{\wedge},\dot{\vee},\dot{\rightarrow},$ true, false.

A First-Order Logic for Indistinguishability

A First-Order Logic for Indistinguishability

We now present a logic, to state (and later prove) **properties** about **bitstring distributions**.

This is a first-order logic with a single predicate \sim ,¹ representing computational indistinguishability.

$$\begin{split} \phi &:= \top \mid \bot \\ &\mid \phi \land \phi \mid \phi \lor \phi \mid \phi \to \phi \mid \neg \phi \\ &\mid \forall \mathbf{x}.\phi \mid \exists \mathbf{x}.\phi \qquad (\mathbf{x} \in \mathcal{X}) \\ &\mid t_1, \dots, t_n \sim_n t_{n+1}, \dots, t_{2n} \quad (t_1, \dots, t_{2n} \in \mathcal{T}(\mathcal{F}, \mathcal{G}, \mathcal{N}, \mathcal{X})) \end{split}$$

Remark: we use \land, \lor, \rightarrow in for the boolean *function symbols* in terms, to avoid confusion with the boolean *connectives* in formulas.

¹Actually, one predicate \sim_n of arity 2n for every $n \in \mathbb{N}$.

The logic has a standard FO semantics, using \mathcal{D} as interpretation domain and interpreting \sim as computational indistinguishability. $\llbracket \phi \rrbracket_{\mathcal{M}}^{\sigma} \in \{\text{True}, \text{False}\}\$ is as expected for boolean connective and FO quantifiers. E.g.:

 $\llbracket \top \rrbracket^{\sigma}_{\mathcal{M}} \stackrel{\text{def}}{=} \mathsf{True} \qquad \llbracket \phi \land \psi \rrbracket^{\sigma}_{\mathcal{M}} \stackrel{\text{def}}{=} \llbracket \phi \rrbracket^{\sigma}_{\mathcal{M}} \text{ and } \llbracket \psi \rrbracket^{\sigma}_{\mathcal{M}}$ $\llbracket \neg \phi \rrbracket^{\sigma}_{\mathcal{M}} \stackrel{\text{def}}{=} \mathsf{not } \llbracket \phi \rrbracket^{\sigma}_{\mathcal{M}}$ $\llbracket \forall \mathsf{x}.\phi \rrbracket^{\sigma}_{\mathcal{M}} \stackrel{\text{def}}{=} \mathsf{True} \qquad \text{if } \forall m \in \mathcal{D}, \llbracket \phi \rrbracket^{\sigma[\mathsf{x} \mapsto m]}_{\mathcal{M}} \stackrel{\text{def}}{=} \mathsf{True}$

Finally, \sim_n is interpreted as computational indistinguishability.

$$\llbracket t_1,\ldots,t_n\sim_n s_1,\ldots,s_n
rbracket_{\mathcal{M}}^\sigma=\mathsf{True}$$

if, for every PPTM A with a n + 1 input (and working) tapes, and a **single** infinite random tape:

$$\begin{array}{c|c} \mathsf{Pr}_{\rho}\left(\mathcal{A}(1^{\eta}, (\llbracket t_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho))_{1 \leq i \leq n}, \rho_{\mathsf{a}}) = 1\right) \\ - \left.\mathsf{Pr}_{\rho}\left(\mathcal{A}(1^{\eta}, (\llbracket s_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho))_{1 \leq i \leq n}, \rho_{\mathsf{a}}) = 1\right) \end{array}$$
(*)

is a **negligible** function of η .

The quantity in (*) is called the **advantage** of A against the left/right game $t_1, \ldots, t_n \sim_n s_1, \ldots, s_n$

A function $f(\eta)$ is negligible if it is asymptotically smaller than the inverse of any polynomial, i.e.:

$$\forall c \in \mathbb{N}, \exists N \in \mathbb{N} \text{ s.t. } \forall n \geq N, f(n) \leq \frac{1}{n^c}$$

Example

Let f be the function defined by:

$$f(\eta) \stackrel{\mathsf{def}}{=} \mathsf{Pr}_{\rho}\big(\llbracket \mathsf{n}_{0} \rrbracket (1^{\eta}, \rho) = \llbracket \mathsf{n}_{1} \rrbracket (1^{\eta}, \rho) \big)$$

If $n_0 \not\equiv n_1$, then $f(\eta) = \frac{1}{2^{\eta}}$, and f is negligible.

A formula ϕ is satisfied by a computational model \mathcal{M} , written $\mathcal{M} \models \phi$, if $\llbracket \phi \rrbracket_{\mathcal{M}}^{\sigma} =$ True for every valuation σ .

 ϕ is valid, denoted by $\models \phi$, if it is satisfied by every computational model.

 ϕ is *C*-valid if it is satisfied by every computational model $\mathcal{M} \in \mathcal{C}$.

Exercise

Which of the formulas below are valid? Which are not?

 $\begin{array}{ll} \mathsf{true} \sim \mathsf{false} & \mathsf{n}_0 \sim \mathsf{n}_0 & \mathsf{n}_0 \sim \mathsf{n}_1 & \mathsf{n}_0 \doteq \mathsf{n}_1 \sim \mathsf{false} \\ \\ \mathsf{n}_0, \mathsf{n}_0 \sim \mathsf{n}_0, \mathsf{n}_1 & f(\mathsf{n}_0) \sim f(\mathsf{n}_1) \text{ where } f \in \mathcal{F} \cup \mathcal{G} \\ \\ & \pi_1(\langle \mathsf{n}_0, \mathsf{n}_1 \rangle) \doteq \mathsf{n}_0 \sim \mathsf{true} \end{array}$

Exercise

Which of the formulas below are valid? Which are not?

 $\not\models \mathsf{true} \sim \mathsf{false} \qquad \models \mathsf{n}_0 \sim \mathsf{n}_0 \qquad \models \mathsf{n}_0 \sim \mathsf{n}_1 \qquad \models \mathsf{n}_0 \,\dot{=}\, \mathsf{n}_1 \sim \mathsf{false}$

 $\not\models \mathsf{n}_0, \mathsf{n}_0 \sim \mathsf{n}_0, \mathsf{n}_1 \qquad \models f(\mathsf{n}_0) \sim f(\mathsf{n}_1) \text{ where } f \in \mathcal{F} \cup \mathcal{G}$

 $\not\models \pi_1(\langle \mathsf{n}_0\,,\,\mathsf{n}_1\rangle) \doteq \mathsf{n}_0 \sim \mathsf{true}$

\mathcal{P} and \mathcal{Q} are **indistinguishable**, written $\mathcal{P} \approx \mathcal{Q}$, if for any τ :

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\models \mathsf{fold}(\mathcal{P}, \tau) \sim \mathsf{fold}(\mathcal{Q}, \tau)
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Remark

While there are countably many observable traces τ , the set of foldings of a protocol *P* is always finite:²

 $\left|\left\{\mathsf{fold}(\mathcal{P},\tau) \mid \tau\right\}\right| < +\infty$

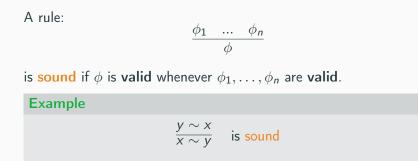
 $^{^{2}}$ If we remove trailing sequences of error terms.

Exercise

Informally, determine which of the following protocols **indistinguishabilities** hold, and under what **assumptions**:

 $\operatorname{out}(c, t_1) \approx \operatorname{out}(c, t_2)$ $\operatorname{out}(c, t) \approx \operatorname{null}$ $\operatorname{in}(c, x) \approx \operatorname{null}$ $\operatorname{out}(c, t) \approx \operatorname{if} b \operatorname{then} \operatorname{out}(c, t_1) \operatorname{else} \operatorname{out}(c, t_2)$ $\operatorname{out}(c, t) \approx \operatorname{if} b \operatorname{then} \operatorname{out}(c, t) \operatorname{else} \operatorname{out}(c_0, t_0)$

Structural Rules



These are typically structural rules, which are valid in all computational models.

Computational indistinguishability is an **equivalence relation**:

$$\overline{\vec{u} \sim \vec{u}} \operatorname{Refl} \quad \frac{\vec{v} \sim \vec{u}}{\vec{u} \sim \vec{v}} \operatorname{Sym} \quad \frac{\vec{u} \sim \vec{w} \quad \vec{w} \sim \vec{v}}{\vec{u} \sim \vec{v}} \operatorname{Trans}$$

Permutation. If π is a permutation of $\{1, \ldots, n\}$ then:

$$\frac{u_{\pi(1)},\ldots,u_{\pi(n)}\sim v_{\pi(1)},\ldots,v_{\pi(n)}}{u_1,\ldots,u_n\sim v_1,\ldots,v_n} \text{ Perm}$$

Alpha-renaming.

$$\overline{\vec{u} \sim \vec{u} \alpha} \, \alpha$$
-EQU

when α is an injective renaming of names in \mathcal{N} .

Restriction. The adversary can throw away some values:

$$\frac{\vec{u}, \boldsymbol{s} \sim \vec{v}, t}{\vec{u} \sim \vec{v}} \text{ Restr}$$

Duplication. Giving twice the same value to the adversary is useless:

$$rac{ec{u}, s \sim ec{v}, t}{ec{u}, s, s \sim ec{v}, t, t} \; \mathrm{Dup}$$

Function application. If the arguments of a function are indistinguishable, so is the image:

$$\frac{\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}}{f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}} \ \mathrm{FA}$$

where $f \in \mathcal{F} \cup \mathcal{G}$.

Structural Rules: Proof of Function Application

$$\frac{\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}}{f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}} \ \text{FA}$$

Proof. The proof is by contrapositive. Assume \mathcal{M} , σ and \mathcal{A} s.t. its advantage against:

$$f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}$$
 (†)

is not negligible. Let \mathcal{B} be the *distinguisher* defined by, for any bitstrings \vec{w}_u, \vec{w}_v and tape ρ_a :

 $\mathcal{B}(1^{\eta}, \vec{w}_{u}, \vec{w}_{v}, \rho_{a}) \stackrel{\mathsf{def}}{=} \mathcal{A}(1^{\eta}, \llbracket f \rrbracket_{\mathcal{M}}(\vec{w}_{u}), \vec{w}_{v}, \rho_{a})$

 \mathcal{B} is a PPTM since \mathcal{A} is and $\llbracket f \rrbracket_{\mathcal{M}}$ can be evaluated in pol. time. Then:

$$\begin{aligned} & \mathcal{B}(1^{\eta}, \llbracket \vec{u}_i \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho), \llbracket \vec{v}_i \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho), \rho_{\mathfrak{a}}) \\ &= \mathcal{A}(1^{\eta}, \llbracket f(\vec{u}_i) \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho), \llbracket \vec{v}_i \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho), \rho_{\mathfrak{a}}) \end{aligned} \qquad (i \in \{1, 2\}) \end{aligned}$$

Hence the advantage of \mathcal{B} in distinguishing $\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}$ is exactly the advantage of \mathcal{A} in distinguishing (†).

Case Study. We can do case disjunction over branching terms:

$$\frac{\vec{w_1}, b_0, u_0 \sim \vec{w_1}, b_1, u_1 \quad \vec{w_0}, b_0, v_0 \sim \vec{w_1}, b_1, v_1}{\vec{w_0}, \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim \vec{w_1}, \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$$

Structural Rules: Proof of Case Study

$$\frac{b_0, u_0 \sim b_1, u_1 \qquad b_0, v_0 \sim b_1, v_1}{t_0 \equiv \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim t_1 \equiv \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$$

Proof. (by contrapositive) Assume \mathcal{M} , σ and \mathcal{A} s.t. its advantage against:

if
$$b_0$$
 then u_0 else $v_0 \sim$ if b_1 then u_1 else v_1 (†)

is non-negligible. Let \mathcal{B}_{\top} be the distinguisher:

$$\mathcal{B}_{\top}(1^{\eta}, w_b, w, \rho_a) \stackrel{\text{def}}{=} \begin{cases} \mathcal{A}(1^{\eta}, w, \rho_a) & \text{if } w_b = 1\\ 0 & \text{otherwise} \end{cases}$$

 \mathcal{B}_{\top} is trivially a PPTM. Moreover, for any $i \in \{1, 2\}$:

$$\mathsf{Pr}_{\rho} \Big(\mathcal{B}_{\top}(1^{\eta}, \llbracket b_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho), \llbracket u_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho), \rho_{\mathfrak{d}}) = 1 \Big)$$

= $\mathsf{Pr}_{\rho} \Big(\mathcal{A}(1^{\eta}, \llbracket t_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho), \rho_{\mathfrak{d}}) = 1 \land \llbracket b_{i} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho) = 1 \Big) \Big\} \boldsymbol{\rho}_{\top, i}$

Hence the advantage of \mathcal{B}_{\top} against $b_0, u_0 \sim b_1, u_1$ is $|\mathbf{p}_{\top,1} - \mathbf{p}_{\top,0}|$. Similarly, let \mathcal{B}_{\perp} be the distinguisher:

$$\mathcal{B}_{\perp}(1^{\eta}, w_b, w, \rho_a) \stackrel{\text{def}}{=} \begin{cases} \mathcal{A}(1^{\eta}, w, \rho_a) & \text{if } w_b \neq 1 \\ 0 & \text{otherwise} \end{cases}$$

By an identical reasoning, we get that the advantage of \mathcal{B}_{\perp} against $b_0, v_0 \sim b_1, v_1$ is $|\mathbf{p}_{\perp,1} - \mathbf{p}_{\perp,0}|$, where $\mathbf{p}_{\perp,i}$ is:

$$\mathsf{Pr}_{\rho}\Big(\mathcal{A}(1^{\eta}, \llbracket t_{i} \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho), \rho_{a}) = 1 \land \llbracket b_{i} \rrbracket^{\sigma}_{\mathcal{M}}(1^{\eta}, \rho) \neq 1\Big)$$

The advantage of \mathcal{A} against $t_0 \sim t_1$ is, by partitioning and triangular inequality:

 $|(p_{ op,1}+p_{\perp,1})-(p_{ op,0}+p_{\perp,1})|\leq |p_{ op,1}-p_{ op,0}|+|p_{\perp,1}-p_{\perp,1}|$

Since \mathcal{A} 's advantage is non-negligible, at least one of the two quantity above is non-negligible. Hence either \mathcal{B}_{\top} or \mathcal{B}_{\perp} has a non-negligible advantage against a premise of the CS rule.

 \square

Remark that b is **necessary** in CS

 $\frac{\vec{w_1}, b_0, u_0 \sim \vec{w_1}, b_1, u_1 \quad \vec{w_0}, b_0, v_0 \sim \vec{w_1}, b_1, v_1}{\vec{w_0}, \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim \vec{w_1}, \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$

We have:

 $\models 0 \sim 0 \qquad \models n_0 \sim n_1 \qquad \models \texttt{even}(n_0) \sim \texttt{even}(n_0)$ But:

 $\not\models if even(n_0) \text{ then } n_0 \text{ else } 0 \sim if even(n_0) \text{ then } n_1 \text{ else } 0$

Why is the later formula not valid?

If \models ($s \doteq t$) ~ true, then s and t are equal with overwhelming probability. Hence we can safely replace s by t in any context.

Let $(s = t) \stackrel{\text{def}}{=} (s \doteq t) \sim \text{true}$. Then the following rule is sound:

$$rac{ec{u},t\simec{v}}{ec{u},s\simec{v}} \, ext{ R}$$

Structural Rules: FO + Equality Reasoning

To prove $\models s = t$, we use the following rule:

$$\frac{\mathcal{A}_{\mathsf{th}} \vdash_{_{\mathsf{FO}_{=}}} s = t}{s = t}$$
 FO

where $\vdash_{FO=}$ is any **sound proof system** for (classical) first-order logic with equality:

$$\mathcal{F}_{\mathrm{FO}}(\dot{
ightarrow},\mathsf{false},\doteq,\mathcal{F}\cup\mathcal{G})$$

We allow additional FO axioms using A_{th} (e.g. for if then else).

Example

$$\mathcal{A}_{\mathsf{th}} \vdash_{_{\mathrm{FO}=}} (v \doteq w \rightarrow \mathsf{if} \ u \doteq v \mathsf{ then } u \mathsf{ else } t \doteq s) = (v \doteq w \rightarrow \mathsf{if} \ u \doteq v \mathsf{ then } w \mathsf{ else } t \doteq s)$$

Two rules exploiting the **independence** of bitstring distributions:

$$\overline{(t \doteq \mathsf{n})} = \mathsf{false}^{=-\mathrm{IND}}$$
 when $\mathsf{n}
ot\in \mathsf{st}(t)$

 $\frac{\vec{u} \sim \vec{v}}{\vec{u}, n_0 \sim \vec{v}, n_1} \ \text{Fresh} \quad \text{when } n_0 \not\in \mathsf{st}(\vec{u}) \text{ and } n_1 \not\in \mathsf{st}(\vec{v})$

Remark

To check that the rules side-conditions hold, we require that they do not contain free variables. Hence we actually have a countable, recursive, set of **ground rules** (i.e. rule **schemata**).

Structural Rules: Probability Independence

We give the proof of the first rule:

$$\overline{(t \doteq n)} = false = -IND$$
 when $n \not\in st(t)$

Proof. For any computational model \mathcal{M} (we omit it below):

$$\begin{aligned} &\mathsf{Pr}_{\rho}(\llbracket t \doteq n \rrbracket(1^{\eta}, \rho) = 1) \\ &= \mathsf{Pr}_{\rho}(\llbracket t \rrbracket(1^{\eta}, \rho) = \llbracket n \rrbracket(1^{\eta}, \rho)) \\ &= \sum_{w \in \{0,1\}^{*}} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket(1^{\eta}, \rho) = w \land \llbracket n \rrbracket(1^{\eta}, \rho) = w) \\ &= \sum_{w \in \{0,1\}^{*}} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket(1^{\eta}, \rho) = w) \cdot \mathsf{Pr}_{\rho}(\llbracket n \rrbracket(1^{\eta}, \rho) = w) \\ &= \frac{1}{2^{\eta}} \cdot \sum_{w \in \{0,1\}^{*}} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket(1^{\eta}, \rho) = w) \\ &= \frac{1}{2^{\eta}} \end{aligned}$$

Exercise Give a **derivation** of the following formula:

 $n_0 \sim \text{if } b \text{ then } n_0 \text{ else } n_1 \quad (\text{when } n_0, n_1 \not\in \text{st}(b))$

Implementation Rules

A rule is *C*-sound if ϕ is *C*-valid whenever ϕ_1, \ldots, ϕ_n are *C*-valid.

Example

$$(\pi_1 \langle x\,,\, y
angle \doteq x) \sim {\sf true}$$

is **not** sound, because we do not require anything on the interpretation of π_1 and the pair.

Obviously, it is C_{π} -sound, where C_{π} is the set of computational model where π_1 computes the first projection of the pair $\langle _, _ \rangle$.

The **general philosophy** of the CCSA approach is to make the minimum number of assumptions possible on the interpretations of function symbols in a computational model.

Any additional necessary assumption is added through rules, which restrict the set of computation model for which the formula holds (hence limit the scope of the final security result).

Typically, this is used for:

- **functional properties**, which must be satisfied by the protocol functions (e.g. the projection/pair rule).
- cryptographic hardness assumptions, which must be satisfied by the cryptographic primitives (e.g. IND-CCA).

Example. Equational theories for protocol functions:

•
$$\pi_i(\langle x_1, x_2 \rangle) = x_i$$
 $i \in \{1, 2\}$

• dec(
$$\{x\}_{\mathsf{pk}(y)}^z, \mathsf{sk}(y)$$
) = x

•
$$(x \oplus y) \oplus z = x \oplus (y \oplus z)$$

• . . .

Cryptographic Rules

Cryptographic reductions are the main tool used in proofs of computational security.

Cryptographic Reduction $\mathcal{S} \leq_{\mathsf{red}} \mathcal{H}$

If you can break the **cryptographic design** S, then you can break the **hardness assumption** H using roughly the same **time**.

- \bullet We assume that ${\mathcal H}$ cannot be broken in a reasonable time:
 - ► Low-level assumptions: D-Log, DDH, ...
 - ► Higher-level assumptions: IND-CCA, EUF-MAC, PRF, ...
- Hence, \mathcal{S} cannot be broken in a reasonable time.

Cryptographic Reduction $\mathcal{S} \leq_{\mathsf{red}} \mathcal{H}$

 ${\cal S}$ reduces to a hardness hypothesis ${\cal H}$ (e.g. IND-CCA, DDH) if:

 $\forall \mathcal{A}. \exists \mathcal{B}. \mathsf{Adv}^{\eta}_{\mathcal{S}}(\mathcal{A}) \leq \mathsf{P}(\mathsf{Adv}^{\eta}_{\mathcal{H}}(\mathcal{B}), \eta)$

where \mathcal{A} and \mathcal{B} are taken among PPTMs and \mathcal{P} is a polynomial.

We are now going to give **rules** which capture some **cryptographic hardness hypotheses**.

The validity of these rules will be established through a **cryptographic reduction**.

- Asymmetric encryption: indistinguishability (IND-CCA₁) and key-privacy (KP-CCA₁);
- Hash function: collision-resistance (CR-HK);
- MAC: unforgeability (EUF-CMA);

Cryptographic Rules

Asymmetric Encryption

An asymmetric encryption scheme contains:

- public and private key generation functions pk(_), sk(_);
- randomized³ encryption function $\{_\}$ -;
- a decryption function dec(_, _)

It must satisfies the functional equality:

 $\mathsf{dec}(\{x\}_{\mathsf{pk}(y)}^z,\mathsf{sk}(y)) = x$

³The role of the randomization will become clear later.

IND-CCA₁ Security

An encryption scheme is indistinguishable against chosen cipher-text attacks (IND-CCA₁) iff. for every PPTM A with access to:

• a left-right oracle $\mathcal{O}_{LR}^{\mathbf{b},n}(\cdot,\cdot)$:

$$\mathcal{O}_{LR}^{\mathbf{b},n}(m_0,m_1) \stackrel{\text{def}}{=} \begin{cases} \{m_{\mathbf{b}}\}_{\mathsf{pk}(n)}^r & \text{if } \mathsf{len}(m_1) = \mathsf{len}(m_2) & (\mathsf{r} \text{ fresh}) \\ 0 & \text{otherwise} \end{cases}$$

• and a decryption oracle $\mathcal{O}_{dec}^{n}(\cdot)$,

where \mathcal{A} can call $\mathcal{O}_{\mathsf{LR}}$ once, and cannot call $\mathcal{O}_{\mathsf{dec}}$ after $\mathcal{O}_{\mathsf{LR}}$, then: $\big| \mathsf{Pr}_{\mathsf{n}} \big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{1,\mathsf{n}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}}}(1^{\eta},\mathsf{pk}(\mathsf{n})) = 1 \big) - \mathsf{Pr}_{\mathsf{n}} \big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathsf{n}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}}}(1^{\eta},\mathsf{pk}(\mathsf{n})) = 1 \big) \big|$

is negligible in η , where n is drawn uniformly in $\{0,1\}^{\eta}$.

Exercise

Show that if the encryption **ignore its randomness**, i.e. there exists $aenc(_,_)$ s.t. for all x, y, r:

$$\{x\}_{y}^{r} = \operatorname{aenc}(x, y)$$

then the encryption does not satisfy $IND-CCA_1$.

Indistinguishability Against Chosen Ciphertexts Attacks If the encryption scheme is IND-CCA₁, then the *ground* rule:

$$\frac{\mathsf{len}(t_0) = \mathsf{len}(t_1)}{\vec{u}, \{t_0\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \sim \vec{u}, \{t_1\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}}} \text{ IND-CCA}_1$$

is sound, when:

- r does not appear in \vec{u}, t_0, t_1 ;
- n appears only in $pk(\cdot)$ or dec(_, sk(\cdot)) positions in \vec{u}, t_0, t_1 .

Proof sketch

Proof by contrapositive. Let \mathcal{M} be a comp. model, \mathcal{A} an adversary and \vec{u}, t_0, t_1 ground terms such that:

$$\begin{aligned} & \mathsf{Pr}_{\rho}(\mathcal{A}(1^{\eta}, \llbracket \vec{u} \rrbracket_{\mathcal{M}}(1^{\eta}, \rho), \llbracket \{t_{0}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathcal{M}}(1^{\eta}, \rho), \rho_{\mathfrak{a}}) \\ & - \mathsf{Pr}_{\rho}(\mathcal{A}(1^{\eta}, \llbracket \vec{u} \rrbracket_{\mathcal{M}}(1^{\eta}, \rho), \llbracket \{t_{1}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathcal{M}}(1^{\eta}, \rho), \rho_{\mathfrak{a}}) \end{aligned}$$

is not negligible, and $\mathcal{M} \models \operatorname{len}(t_0) = \operatorname{len}(t_1)$.

We must build a PPTM \mathcal{B} s.t. \mathcal{B} wins the IND-CCA₁ security game.

IND-CCA₁ Rule: Proof

Let $\mathcal{B}^{\mathcal{O}_{L^{\mathsf{R}}}^{b,n},\mathcal{O}_{dec}^{\mathsf{n}}}(1^{\eta}, \llbracket \mathsf{pk}(\mathsf{n}) \rrbracket_{\mathcal{M}}(1^{\eta}, \rho))$ be the following program:

i) lazily samples the infinite random tapes (ρ_a, ρ_p') where:

$$\rho'_{p} := \rho_{p}[\mathbf{n} \mapsto \mathbf{0}, \mathbf{r} \mapsto \mathbf{0}]$$

ii) compute⁴:

$$w_{\vec{u}}, w_{t_0}, w_{t_1} := [\![\vec{u}, t_0, t_1]\!]_{\mathcal{M}}(1^{\eta}, \rho)$$

using (ρ_a, ρ'_p) , $[pk(n)]_{\mathcal{M}}(1^{\eta}, \rho)$ and calls to \mathcal{O}_{dec}^{n} .

iii) compute:

$$w_{lr} := \mathcal{O}_{\mathsf{LR}}^{\mathbf{b},\mathsf{n}}(w_{t_{\mathbf{0}}}, w_{t_{\mathbf{1}}}) = \llbracket \{t_{\mathbf{b}}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathcal{M}}$$

(since $\mathcal{M} \models \operatorname{len}(t_0) = \operatorname{len}(t_1)$)

iv) return $\mathcal{A}(1^{\eta}, w_{\vec{u}}, w_{lr}, \rho_{a})$.

⁴we describe how later

Then ${\mathcal B}$ advantage against $\mathsf{IND}\text{-}\mathsf{CCA}_1$ is exactly ${\mathcal A}$ advantage against:

$$\vec{u}, \{t_0\}_{pk(n)}^r \sim \vec{u}, \{t_1\}_{pk(n)}^r$$

which we assumed non-negligible.

It only remains to explain how to do step *ii*) in polynomial time. We prove by **structural induction** that for any subterm *s* of \vec{u} , t_0 , t_1 :

- either s is a forbidden subterm n, sk(n) or r;
- or \mathcal{B} can compute $w_s := \llbracket s \rrbracket_{\mathcal{M}}(1^\eta, \rho)$ in polynomial time.

Assuming this holds, we conclude by observing that IND-CCA₁ side conditions guarantees that \vec{u}, t_0, t_1 are not forbidden subterms.

Induction. We are in one of the following cases:

- $s \in \mathcal{X}$ is not possible, since \vec{u}, t_0, t_1 are ground.
- $s \in \{r, n\}$ are forbidden, hence the induction hypothesis holds.
- $s \in \mathcal{N} \setminus \{\mathbf{r}, \mathbf{n}\}$, then \mathcal{B} computes s directly from $\rho'_p = \rho_p[\mathbf{n} \mapsto 0, \mathbf{r} \mapsto 0]$.
- s ≡ f(t₁,..., t_n) and t₁,..., t_n are not forbidden. Then, by induction hypothesis, B can compute w_i := [[t_i]]_M(1^η, ρ) for any 1 ≤ i ≤ n. Then B simply computes:

$$w_s := \begin{cases} \llbracket f \rrbracket_{\mathcal{M}}(w_1, \dots, w_n) & \text{ if } f \in \mathcal{F} \\ \llbracket f \rrbracket_{\mathcal{M}}(w_1, \dots, w_n, \rho_a) & \text{ if } f \in \mathcal{G} \end{cases}$$

case disjunction (continued):

s ≡ f(t₁,..., t_n) and at least one of the t_i is forbidden.
 Using IND-CCA₁ side conditions, either s is either pk(n), sk(n) or dec(m, sk(n)).

The first case is immediate since $\mathcal B$ receives $[\![\mathsf{pk}(\mathsf{n})]\!]_{\mathcal M}(1^\eta,\rho)$ as argument.

The second case is a forbidden subterm, hence the induction hypothesis holds.

For the last case, from IND-CCA₁ side conditions, we know that $m \neq r$ and $m \neq n$. Hence, by **induction hypothesis**, \mathcal{B} can compute $w_m = [\![m]\!]_{\mathcal{M}}(1^{\eta}, \rho)$. We conclude using:

$$w_s := \mathcal{O}_{dec}^n(w_m)$$

Exercise

Which of the following formulas can be proven using IND-CCA1?

 $\mathsf{pk}(\mathsf{n}), \{0\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \sim \mathsf{pk}(\mathsf{n}), \{1\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}}$

$$\begin{split} \mathsf{pk}(n), \{0\}^{\mathsf{r}}_{\mathsf{pk}(n)}, \{0\}^{\mathsf{r}_0}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{1\}^{\mathsf{r}}_{\mathsf{pk}(n)}, \{0\}^{\mathsf{r}_0}_{\mathsf{pk}(n)} \\ \mathsf{pk}(n), \{0\}^{\mathsf{r}}_{\mathsf{pk}(n)}, \{0\}^{\mathsf{r}}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{0\}^{\mathsf{r}}_{\mathsf{pk}(n)}, \{1\}^{\mathsf{r}}_{\mathsf{pk}(n)} \\ \mathsf{pk}(n), \{0\}^{\mathsf{r}}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{\mathsf{sk}(n)\}^{\mathsf{r}}_{\mathsf{pk}(n)} \end{split}$$

Exercise (Hybrid Argument)

Prove the following formula using IND-CCA1:

$$\{0\}_{pk(n)}^{r_0}, \{1\}_{pk(n)}^{r_1}, \dots, \{n\}_{pk(n)}^{r_n} \sim \{0\}_{pk(n)}^{r_0}, \{0\}_{pk(n)}^{r_1}, \dots, \{0\}_{pk(n)}^{r_n}$$

Note: we assume that all plain-texts above have the same length (e.g. they are all represented over L bits, for L large enough)

KP-CCA₁ Security

A scheme provides key privacy against chosen cipher-text attacks (KP-CCA₁) iff for every PPTM \mathcal{A} with access to:

• a left-right encryption oracle $\mathcal{O}_{LR}^{b,n_0,n_1}(\cdot)$:

$$\mathcal{O}_{\mathsf{LR}}^{b,\mathsf{n}_0,\mathsf{n}_1}(m) \stackrel{\mathsf{def}}{=} \{m\}_{\mathsf{pk}(\mathsf{n}_b)}^{\mathsf{r}} \qquad (\mathsf{r} \text{ fresh})$$

- and two decryption oracles $\mathcal{O}_{dec}^{n_0}(\cdot)$ and $\mathcal{O}_{dec}^{n_1}(\cdot),$

where ${\cal A}$ can call ${\cal O}_{LR}$ once, and cannot call the decryption oracles after ${\cal O}_{LR},$ then:

$$\begin{split} & \mathsf{Pr}_{\mathsf{n}_{0},\mathsf{n}_{1}}\big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{1},\mathsf{n}_{0},\mathsf{n}_{1}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_{0}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_{1}}}(1^{\eta},\mathsf{pk}(\mathsf{n}_{0}),\mathsf{pk}(\mathsf{n}_{1}))=1\big) \\ & -\mathsf{Pr}_{\mathsf{n}_{0},\mathsf{n}_{1}}\big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{0},\mathsf{n}_{1}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_{0}},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_{1}}}(1^{\eta},\mathsf{pk}(\mathsf{n}_{0}),\mathsf{pk}(\mathsf{n}_{1}))=1\big) \end{split}$$

is negligible in η , where n_0, n_1 are drawn in $\{0, 1\}^{\eta}$.

Exercise Show that IND-CCA₁ \Rightarrow KP-CCA₁ and KP-CCA₁ \Rightarrow IND-CCA₁.

Key Privacy Against Chosen Ciphertexts Attacks If the encryption scheme is KP-CCA₁, then the *ground* rule:

$$\overline{\vec{u}, \{t\}_{\mathsf{pk}(\mathsf{n}_0)}^{\mathsf{r}} \sim \vec{u}, \{t\}_{\mathsf{pk}(\mathsf{n}_1)}^{\mathsf{r}}} \text{ KP-CCA}_1$$

is sound, when:

- r does not appear in \vec{u}, t ;
- n_0, n_1 appear only in $pk(\cdot)$ or $dec(_, sk(\cdot))$ positions in \vec{u}, t .

The proof is similar to the $IND-CCA_1$ soundness proof. We omit it.

Security Proof

Lets now try to prove that PA v2 provides anonymity:

- I_X is the initiator with identity X;
- S_X is the server, accepting messages from X;

The adversary must not be able to distinguish $I_A \mid S_A$ from $I_C \mid S_A$.

$$\begin{split} I_{X} &: \nu \, r. \, \nu \, n_{I}. \qquad \text{out}(c_{I}, \{\langle \mathsf{pk}_{X} \,, \, n_{I} \rangle\}_{\mathsf{pk}_{S}}^{r}) \\ S_{X} &: \nu \, r_{0}. \, \nu \, n_{S}. \, \text{in}(c_{I}, x). \text{ if } \pi_{1}(d) \doteq \mathsf{pk}_{X} \\ & \text{then } \text{out}(c_{S}, \{\langle \pi_{2}(d) \,, \, n_{S} \rangle\}_{\mathsf{pk}_{X}}^{r_{0}}) \\ & \text{else } \text{out}(c_{S}, \{0\}_{\mathsf{pk}_{X}}^{r_{0}}) \end{split}$$

We assume the encryption is $IND-CCA_1$ and $KP-CCA_1$.

As we saw, an encryption **does not hide the length** of the plain-text. Hence, since $len(\langle n_1, n_S \rangle) \neq len(0)$, there is an attack:

$$\not\models \{ \langle n_{I} \, , \, n_{S} \rangle \}_{pk_{A}}^{r_{0}} \sim \{ 0 \}_{pk_{C}}^{r_{0}}$$

even if the encryption is $IND-CCA_1$ and $KP-CCA_1$.

We fix the protocol by:

- adding a length check;
- using a decoy message of the correct length.

The PA Protocol, v3

 $\begin{array}{ll} \mbox{To prove } I_A \mid S_A \approx I_C \mid S_A, \mbox{ we have several traces:} \\ \mbox{in}(c_I), \mbox{out}(c_I), \mbox{out}(c_S) & \mbox{in}(c_I), \mbox{out}(c_S), \mbox{out}(c_I) \\ \mbox{out}(c_I), \mbox{in}(c_I), \mbox{out}(c_S) & \mbox{out}(c_I), \mbox{out}(c_S), \mbox{in}(c_I) \\ \mbox{out}(c_S), \mbox{in}(c_I), \mbox{out}(c_I) & \mbox{out}(c_S), \mbox{out}(c_S), \mbox{in}(c_I) \\ \mbox{out}(c_S), \mbox{in}(c_I), \mbox{out}(c_S), \mbox{out}(c_S), \mbox{in}(c_I) \\ \mbox{out}(c_S), \mbox{out}(c_S), \mbox{in}(c_S), \mbox{in$

But there is a **more general trace**: its security implies the security of the other traces.

See partial order reduction (POR) techniques [1].

We must prove that:

$$\mathsf{out}_1^{\mathsf{A}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}]\sim\mathsf{out}_1^{\mathsf{C}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}]$$

where:

$$\begin{aligned} \mathsf{out}_1^{\mathsf{X}} &\equiv \{\langle \mathsf{pk}_{\mathsf{X}} \,, \, \mathsf{n}_{\mathsf{I}} \rangle\}_{\mathsf{pk}_{\mathsf{S}}}^r \} \\ \mathsf{out}_2^{\mathsf{X},\mathsf{Y}}[\mathsf{M}] &\equiv \mathsf{if} \, \pi_1(\boldsymbol{d}[\mathsf{M}]) \doteq \mathsf{pk}_{\mathsf{X}} \, \dot{\wedge} \, \mathsf{len}(\pi_2(\boldsymbol{d}[\mathsf{M}]))) \doteq \mathsf{len}(\mathsf{n}_{\mathsf{S}}) \\ &\qquad \mathsf{then} \, \{\langle \pi_2(\boldsymbol{d}[\mathsf{M}]) \,, \, \mathsf{n}_{\mathsf{S}} \rangle\}_{\mathsf{pk}_{\mathsf{Y}}}^{\mathsf{r_0}} \\ &\qquad \mathsf{else} \, \, \{\langle \mathsf{n}_{\mathsf{S}} \,, \, \mathsf{n}_{\mathsf{S}} \rangle\}_{\mathsf{pk}_{\mathsf{Y}}}^{\mathsf{r_0}} \\ \boldsymbol{d}[\mathsf{M}] \,\equiv \mathsf{dec}(\mathsf{att}_0([\mathsf{M}]), \mathsf{sk}_{\mathsf{S}}) \end{aligned}$$

First, we push the branching under the encryption:

$$\frac{\mathsf{out}_1^{\mathsf{A}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}]\sim\mathsf{out}_1^{\mathsf{C}},\underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}]}{\mathsf{out}_1^{\mathsf{A}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}]\sim\mathsf{out}_1^{\mathsf{C}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}]} \mathbb{R}$$

where:

$$\underbrace{\mathsf{out}_2^{\mathsf{X},\mathsf{Y}}}_{2}[\mathsf{M}] \equiv \left\{ \begin{aligned} &\text{if } \pi_1(\boldsymbol{d}[\mathsf{M}]) \doteq \mathsf{pk}_{\mathsf{X}} \land \mathsf{len}(\pi_2(\boldsymbol{d}[\mathsf{M}])) \doteq \mathsf{len}(\mathsf{n}_{\mathsf{S}}) \\ &\text{then } \langle \pi_2(\boldsymbol{d}[\mathsf{M}]) \,, \, \mathsf{n}_{\mathsf{S}} \rangle \\ &\text{else } \langle \mathsf{n}_{\mathsf{S}} \,, \, \mathsf{n}_{\mathsf{S}} \rangle \end{aligned} \right\}_{\mathsf{pk}_{\mathsf{Y}}}^{\mathsf{r}_{\mathsf{O}}}$$

We let $m_{X}[M]$ be the content of the encryption above.

Then, we use $KP-CCA_1$ to change the encryption key:

$$\begin{array}{c|c} \mathsf{out}_1^{\mathsf{A}}, \mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}] & & \overline{\mathsf{out}_1^{\mathsf{C}}, \underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{C}}[\mathsf{out}_1^{\mathsf{C}}]} \\ \hline & & \mathsf{out}_1^{\mathsf{C}}, \underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{C}}[\mathsf{out}_1^{\mathsf{C}}] & \sim & \mathsf{out}_1^{\mathsf{C}}, \underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}] \\ \hline & & & \mathsf{out}_1^{\mathsf{A}}, \mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}] & \sim & \mathsf{out}_1^{\mathsf{C}}, \underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}] \\ \hline & & & \mathsf{out}_1^{\mathsf{A}}, \mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}] \sim & \mathsf{out}_1^{\mathsf{C}}, \underline{\mathsf{out}}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{C}}] \\ \end{array} \\ \end{array} \\ \end{array} \\ \begin{array}{c} \mathsf{TRANS} \end{array}$$

since:

- the encryption randomness r₀ is correctly used;
- the key randomness n_A and n_B appear only in $\mathsf{pk}(\cdot)$ and $\mathsf{dec}(_,\mathsf{sk}(\cdot))$ positions.

Then, we use $IND-CCA_1$ to change the encryption content:

since:

- the encryption randomness r₀ is correctly used;
- the key randomness n_C appear only in $\mathsf{pk}(\cdot)$ and $\mathsf{dec}(_,\mathsf{sk}(\cdot))$ positions.

Recall that:

$$\begin{split} m_{\mathsf{X}}[\mathsf{M}] &\equiv \mathsf{if} \ \pi_1(\boldsymbol{d}[\mathsf{M}]) \doteq \mathsf{pk}_{\mathsf{X}} \land \mathsf{len}(\pi_2(\boldsymbol{d}[\mathsf{M}])) \doteq \mathsf{len}(\mathsf{n}_{\mathsf{S}}) \\ & \mathsf{then} \ \langle \pi_2(\boldsymbol{d}[\mathsf{M}]) \,, \, \mathsf{n}_{\mathsf{S}} \rangle \\ & \mathsf{else} \ \langle \mathsf{n}_{\mathsf{S}} \,, \, \mathsf{n}_{\mathsf{S}} \rangle \end{split}$$

Then:

$$\frac{\operatorname{len}(m_{\mathsf{C}}[\operatorname{out}_{1}^{\mathsf{C}}]) = \operatorname{len}(m_{\mathsf{A}}[\operatorname{out}_{1}^{\mathsf{A}}])}{\operatorname{len}(m_{\mathsf{C}}[\operatorname{out}_{1}^{\mathsf{C}}]) = \operatorname{len}(m_{\mathsf{A}}[\operatorname{out}_{1}^{\mathsf{A}}])} \operatorname{FO}$$

if \mathcal{A}_{th} contains the axiom^5:

$$\forall x, y. \mathsf{len}(\langle x, y \rangle) = c_{\langle _, _ \rangle}(\mathsf{len}(x), \mathsf{len}(y))$$

where $c_{\langle _, _\rangle}(\cdot, \cdot)$ is left unspecified.

⁵This axiom must be satisfied by the protocol implementation for the security proof to apply.

Then, we $\alpha\text{-rename}$ the key randomness $n_{C},$ rewrite back the encryption, and conclude.

 $\overline{\mathsf{out}_1^{\mathsf{A}},\mathsf{out}_2^{\mathsf{A},\mathsf{A}}[\mathsf{out}_1^{\mathsf{A}}]\sim\mathsf{out}_1^{\mathsf{C}},\underline{\mathsf{out}_2^{\mathsf{C},\mathsf{C}}}[\mathsf{out}_1^{\mathsf{C}}]}\ \alpha\text{-}\mathsf{EQU}+R+R\mathsf{EFL}$

Privacy

We proved **anonymity** of the Private Authentication protocol, which we defined as:

 $I_A \mid S_A \approx I_C \mid S_A$

But does this really guarantees that this protocol protects the privacy of its users?

 \Rightarrow No, because of linkability attacks

Consider the following authentication protocol, called KCL, between a reader R and a tag $T_{\rm X}$ with identity X:

 $\begin{array}{l} \mathsf{R} &: \nu \, \mathsf{n}_{\mathsf{R}}, \qquad \quad \mathsf{out}(\mathsf{c}_{\mathsf{R}},\mathsf{n}_{\mathsf{R}}) \\ \mathsf{T}_{\mathsf{X}} &: \nu \, \mathsf{n}_{\mathsf{T}}. \, \mathsf{in}(\mathsf{c}_{\mathsf{R}},\mathsf{x}), \, \mathsf{out}(\mathsf{c}_{\mathsf{I}}, \langle \mathsf{X} \oplus \mathsf{n}_{\mathsf{T}}, \, \mathsf{n}_{\mathsf{T}} \oplus \mathsf{H}(\mathsf{x},\mathsf{k}_{\mathsf{X}}) \rangle) \end{array}$

Assuming H is a PRF (Pseudo-Random Function), and \oplus is the exclusive-or, we can prove that KCL provides **anonymity**.

 $T_A \mid R \approx T_B \mid R$

Linkability Attacks

But there are privacy attacks against KCL, using two sessions:

 $\begin{array}{l|ll} 1:E & \rightarrow T_A:n_R \\ 2:T_A \rightarrow E & : \langle A \oplus n_T\,,\,n_T \oplus H(n_R,k_A) \rangle \\ 3:E & \rightarrow T_A:n_R \\ 4:T_A \rightarrow E & : \langle A \oplus n_T'\,,\,n_T' \oplus H(n_R,k_A) \rangle \end{array} \begin{array}{l} E & \rightarrow T_A:n_R \\ E & \rightarrow T_B:n_R \\ T_B \rightarrow E & : \langle B \oplus n_T'\,,\,n_T' \oplus H(n_R,k_B) \rangle \end{array}$ Let t_2 and t_4 be the outputs of \top . Then, on the left scenario: $\pi_2(t_2) \oplus \pi_2(t_4) = (\mathsf{n}_T \oplus \mathsf{H}(\mathsf{n}_R,\mathsf{k}_A)) \oplus (\mathsf{n}_T' \oplus \mathsf{H}(\mathsf{n}_R,\mathsf{k}_A))$ $= \mathbf{n}_{T} \oplus \mathbf{n}'_{T}$ $=\pi_1(t_2)\oplus\pi_1(t_4)$

The same equality check will almost never hold on the right, under reasonable assumption on H.

We just saw an **attack** against:

$\left(\mathsf{T}_{\mathsf{A}} \mid \mathsf{R}\right) \mid \left(\mathsf{T}_{\mathsf{A}} \mid \mathsf{R}\right) \approx \left(\mathsf{T}_{\mathsf{A}} \mid \mathsf{R}\right) \mid \left(\mathsf{T}_{\mathsf{B}} \mid \mathsf{R}\right)$

Unlinkability

To prevent such attacks, we need to prove a stronger property, called **unlinkability**. It requires to prove the **equivalence** between:

• a real-world, where each agent can run many sessions:

$$\nu \vec{k}_0, \ldots, \vec{k}_N. !_{id \leq N} !_{sid \leq M} P(\vec{k}_{id})$$

and an ideal-world, where each agent run at most a single session:

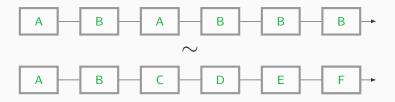
$$\nu \, \vec{k}_{0,0}, \dots, \vec{k}_{N,M}. \, !_{\mathsf{id} \leq N} \, !_{\mathtt{sid} \leq M} \, P(\vec{k}_{\mathsf{id}, \mathtt{sid}})$$

Remark

The processes above are parameterized by $N, M \in \mathbb{N}$. Unlinkability holds if the equivalence holds for any N, M.

For the sack of simplicity, we omit channel names.

Example An unlinkability scenario.



- In the **ideal-world**, relations between sessions **cannot leak** any **information** on identities.
- \Rightarrow hence **no link** can be **efficiently found** in the **real word**.

Our definition of unlinkability did not account for the server.

User-specific server, accepting a single identity. The processes $P(\vec{k}_S, \vec{k}_U)$ and $S(\vec{k}_S, \vec{k}_U)$ are parameterized by:

• some **global** key material \vec{k}_S ;

• and some user-specific key material \vec{k}_U .

Then, we require that:

 $\nu \vec{k}_{S}. \nu \vec{k}_{0}, \dots, \vec{k}_{N}. \quad !_{\mathsf{id} \leq N} !_{\mathsf{sid} \leq M} \left(P(\vec{k}_{S}, \vec{k}_{\mathsf{id}}) \mid S(\vec{k}_{S}, \vec{k}_{\mathsf{id}}) \right)$ $\approx \nu \vec{k}_{S}. \nu \vec{k}_{0,0}, \dots, \vec{k}_{N,M}. !_{\mathsf{id} \leq N} !_{\mathsf{sid} \leq M} \left(P(\vec{k}_{S}, \vec{k}_{\mathsf{id}_{\mathsf{sid}}}) \mid S(\vec{k}_{S}, \vec{k}_{\mathsf{id}_{\mathsf{sid}}}) \right)$

Unlinkability: Adding Servers

Generic server, accepting all identities. No changes for the user process $P(\vec{k}_S, \vec{k}_U)$. The server $S(\vec{k}_S, \vec{k}_{U_1}, \dots, \vec{k}_{U_M})$ is parameterized by:

- some global key material \vec{k}_S ;
- all users key material $\vec{k}_{U_1}, \dots, \vec{k}_{U_M}$.

The we require that:

$$\nu \vec{k}_{S}. \nu \vec{k}_{0}, \dots, \vec{k}_{N}. \qquad (!_{id \leq N} !_{sid \leq M} P(\vec{k}_{S}, \vec{k}_{id})) | \\ (!_{\leq L} S(\vec{k}_{S}, \vec{k}_{0}, \dots, \vec{k}_{N})) \\ \approx \nu \vec{k}_{S}. \nu \vec{k}_{0,0}, \dots, \vec{k}_{N,M}. (!_{id \leq N} !_{sid \leq M} P(\vec{k}_{S}, \vec{k}_{id,sid})) | \\ (!_{\leq L} S(\vec{k}_{S}, \vec{k}_{0,0}, \dots, \vec{k}_{N,M}))$$

Private Authentication

We parameterize the initiator and server in PA by the key material:

$$\begin{split} \mathsf{I}(\mathsf{k}_{\mathsf{S}},\mathsf{k}_{\mathsf{X}}) &: \nu \, \mathsf{r}. \quad \nu \, \mathsf{n}_{\mathsf{I}}. & \mathsf{out}(\mathsf{c}_{\mathsf{I}},\{\langle\mathsf{pk}_{\mathsf{X}}\,,\,\mathsf{n}_{\mathsf{I}}\rangle\}_{\mathsf{pk}_{\mathsf{S}}}^{\mathsf{r}})\\ \mathsf{S}(\mathsf{k}_{\mathsf{S}},\mathsf{k}_{\mathsf{X}}) &: \nu \, \mathsf{r}_{\mathsf{0}}.\,\nu \, \mathsf{n}_{\mathsf{S}}.\,\mathsf{in}(\mathsf{c}_{\mathsf{I}},\mathsf{x}). \text{ if } \pi_{1}(d) \doteq \mathsf{pk}_{\mathsf{X}} \land \mathsf{len}(\pi_{2}(d)) \doteq \mathsf{len}(\mathsf{n}_{\mathsf{S}})\\ & \mathsf{then} \,\, \mathsf{out}(\mathsf{c}_{\mathsf{S}},\{\langle\pi_{2}(d)\,,\,\mathsf{n}_{\mathsf{S}}\rangle\}_{\mathsf{pk}_{\mathsf{X}}}^{\mathsf{r}_{\mathsf{0}}})\\ & \mathsf{else} \,\,\, \mathsf{out}(\mathsf{c}_{\mathsf{S}}\,,\{\langle\mathsf{n}_{\mathsf{S}}\,,\,\mathsf{n}_{\mathsf{S}}\rangle\}_{\mathsf{pk}_{\mathsf{X}}}^{\mathsf{r}_{\mathsf{0}}}) \end{split}$$

where $sk_X \equiv sk(k_X)$, $pk_X \equiv pk(k_X)$ and $d \equiv dec(x, sk_S)$.

Theorem

Private Authentication, v3 satisfies the unlinkability property (with user-specific server). I.e., for all $N, M \in \mathbb{N}$:

 $\nu \mathsf{k}_{\mathsf{S}}. \nu \mathsf{k}_{0}, \dots, \mathsf{k}_{N}. \quad \mathsf{!}_{\mathsf{id} \leq N} \mathsf{!}_{\mathsf{sid} \leq M} \left(I(\mathsf{k}_{\mathsf{S}}, \mathsf{k}_{\mathsf{id}}) \mid S(\mathsf{k}_{\mathsf{S}}, \mathsf{k}_{\mathsf{id}}) \right) \\ \approx \nu \mathsf{k}_{\mathsf{S}}. \nu \mathsf{k}_{0,0}, \dots, \mathsf{k}_{N,M}. \mathsf{!}_{\mathsf{id} \leq N} \mathsf{!}_{\mathsf{sid} \leq M} \left(I(\mathsf{k}_{\mathsf{S}}, \mathsf{k}_{\mathsf{id}_{\mathsf{sid}}}) \mid S(\mathsf{k}_{\mathsf{S}}, \mathsf{k}_{\mathsf{id}_{\mathsf{sid}}}) \right)$

Proof

For all N, M, for all trace of observables tr, we show that:

$$\models \mathsf{fold}(\mathcal{P}_\mathcal{L}, \mathtt{tr}) \sim \mathsf{fold}(\mathcal{P}_\mathcal{R}, \mathtt{tr})$$

by induction over tr, where $\mathcal{P}_{\mathcal{L}}$ and $\mathcal{P}_{\mathcal{R}}$ are, resp., the left and right protocols in the theorem above.

For details, see the $\mathbf{SqUIRREL}$ file private-authentication-many.sp.

Note that **user-specific unlinkability** is a very strong property, that do not often hold.

Example

Assume *S* leaks whether it succeeded or not. This models the fact that the adversary can distinguish success from failure:

- e.g. because a door opens, which can be observed;
- or because success is followed by further communication, while failure is followed by a new authentication attempt.

Then the following unlinkability scenario does not hold:

 $(P(\vec{k}) \mid S(\vec{k})) \mid (P(\vec{k}) \mid S(\vec{k})) \approx (\underline{P(\vec{k}_0)} \mid S(\vec{k}_0)) \mid (P(\vec{k}_1) \mid S(\vec{k}_1))$ X

Authentication Protocols

We now focus on another class of security properties: reachability and correspondance properties (e.g. authentication)

These are properties on a **single** protocol, often expressed as a **temporal** property on **events** of the protocol. E.g.

If Alice accepts Bob at time τ then Bob must have initiated a session with Alice at time $\tau' < \tau$.

To formalize the **cryptographic arguments** proving such properties, we will design a specialized **framework** and **proof system**.

Hash-Lock

The Hash-Lock Protocol

Let $\ensuremath{\mathcal{I}}$ be a finite set of identities.

$$\begin{split} \mathsf{T}(\mathsf{A},\mathtt{i}) &: \nu \, \mathsf{n}_{\mathsf{T},\mathtt{i}}.\, \mathsf{in}(\mathsf{c}_{\mathsf{A},\mathtt{i}}^{\mathsf{T}},\mathsf{x}).\,\, \mathsf{out}(\mathsf{c}_{\mathsf{A},\mathtt{i}}^{\mathsf{T}},\langle\mathsf{n}_{\mathsf{T},\mathtt{i}},\,\mathsf{H}(\langle\mathsf{x},\,\mathsf{n}_{\mathsf{T},\mathtt{i}}\rangle,\mathsf{k}_{\mathsf{A}})\rangle) \\ \mathsf{R}(\mathtt{j}) &: \nu \, \mathsf{n}_{\mathsf{R},\mathtt{j}}.\,\, \mathsf{in}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{1}}},\,_).\,\, \mathsf{out}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{1}}},\mathsf{n}_{\mathsf{R},\mathtt{j}}).\,\, \mathsf{in}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}},\mathsf{y}). \\ &\quad \mathsf{if}\,\, \bigvee_{\mathsf{A}\in\mathcal{I}}\pi_{2}(\mathsf{y}) \doteq \mathsf{H}(\langle\mathsf{n}_{\mathsf{R},\mathtt{j}},\,\pi_{1}(\mathsf{y})\rangle,\mathsf{k}_{\mathsf{A}}) \\ &\quad \mathsf{then}\,\,\, \mathsf{out}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}},\mathsf{ok}) \\ &\quad \mathsf{else}\,\,\, \mathsf{out}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}},\mathsf{ko}) \end{split}$$

We consider the N session of each tag, and M session of the reader:

$$\nu(\mathsf{k}_{\mathsf{A}})_{\mathsf{A}\in\mathcal{I}}.$$
 $(!_{\mathsf{A}\in\mathcal{I}} !_{\mathtt{i}<\mathsf{N}} \mathsf{T}(\mathsf{A},\mathtt{i})) \mid (!_{\mathtt{j}<\mathsf{M}} \mathsf{R}(\mathtt{j}))$

Remark: we let the adversary do the scheduling between parties.

• we let \leq be the **prefix relation** over observable traces:

 $tr_0 \leq tr_1$ iff. $\exists tr'. tr_1 = tr_0; tr'$

• $tr \diamond c$ states that tr ends with an output on c:

$$tr \diamond c$$
 iff. $\exists tr'. tr = tr'; out(c)$

Remark: $tr \diamond c \leq tr'$ denotes that $tr \diamond c \wedge tr \leq tr'$.

We let \mathcal{T}_{io} be the set of observable traces where all outputs are always directly preceded by an input on the same channel, i.e.:

$$\mathtt{tr} \in \mathcal{T}_{\mathsf{io}} \quad \mathsf{iff.} \quad \forall \mathtt{tr}' \diamond \mathtt{c} \leq \mathtt{tr.} \ \exists \mathtt{tr}''. \ \mathtt{tr}' = \mathtt{tr}''; \ \mathsf{in}(\mathtt{c}); \ \mathsf{out}(\mathtt{c})$$

Assumption: POR

We admit that to analyze the Hash-Lock protocol, it is sufficient to consider only observables traces in \mathcal{T}_{io} .

Informal Definition

If the j-th session of R accepts believing it talked to tag A, then:

- there exists a session *i* of tag A **properly interleaved** with the *j*-th session of *R*;
- messages have been properly forwarded between the *i*-th session of tag A and the *j*-th session of *R*.

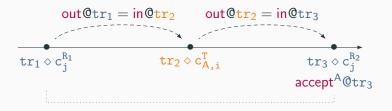
? The second condition is often relaxed to require only a partial correspondence between messages.

For any tr $\diamond c_j^{R_2} \in \mathcal{T}_{io}$, we let accept^A@tr be a term stating that the reader accepts the tag A at the end of the trace tr (defined later).

Authentication of the Hash-Lock Protocol

Informally, Hash-Lock provides authentication if for all $tr \in \mathcal{T}_{io}$, $tr_1 \diamond c_j^{R_1}$ and $tr_3 \diamond c_j^{R_2}$ such that: $tr_1 < tr_3 \leq tr$ and $accept^A@tr_3$ there must exists $tr_2 \diamond c_{A,i}^T$ such that $tr_1 \leq tr_2 \leq tr_3$ and: $out@tr_1 = in@tr_2 \land out@tr_2 = in@tr_3$

Graphically:



What do we lack to formalize and prove the **authentication** of the **Hash-Lock** protocol?

- define the (generic) **terms representing** the **output**, **input** and **acceptance**, which we need to state the property;
- have a set of sound one-sided rules, to do the proof.

Authentication Protocols

Macro Terms

For any observable trace tr and observable α , we let:

 $\mathsf{pred}(\mathsf{tr};\alpha) \stackrel{\mathsf{def}}{=} \mathsf{tr}$

Macro Terms

 $in_{\mathcal{P}}$

We now define some generic terms, called macros, by induction of the observable trace tr.

Let \mathcal{P} be a action-deterministic protocol and $tr \in \mathcal{T}_{io}$ with j inputs. If fold $(\mathcal{P}, tr) = t_1, \ldots, t_n$ then we let:

$$\mathbf{out}_{\mathcal{P}} \mathbb{Q} \mathrm{tr} \stackrel{\mathrm{def}}{=} \begin{cases} t_n & \text{if } \exists c. \ t_n \diamond c \\ \mathrm{empty} & \text{otherwise} \end{cases}$$
$$\mathbf{frame}_{\mathcal{P}} \mathbb{Q} \mathrm{tr} \stackrel{\mathrm{def}}{=} \begin{cases} \langle \mathrm{frame}_{\mathcal{P}} \mathbb{Q} \mathrm{pred}(\mathrm{tr}), \ \mathrm{out}_{\mathcal{P}} \mathbb{Q} \mathrm{tr} \rangle & \text{if } \mathrm{tr} \neq \epsilon \\ \mathrm{empty} & \text{if } \mathrm{tr} = \epsilon \end{cases}$$
$$\mathbb{Q}(\mathrm{tr}; \mathrm{in}(c); \mathrm{out}(c)) \stackrel{\mathrm{def}}{=} \begin{cases} \mathrm{att}_j(\mathrm{frame}_{\mathcal{P}} \mathbb{Q} \mathrm{tr}) & \text{if } \mathrm{tr} \neq \epsilon \\ \mathrm{att}_0() & \text{if } \mathrm{tr} = \epsilon \end{cases}$$

Remark: we omit \mathcal{P} when it is clear from context.

 $\label{eq:constraint}$ The restriction to traces in \mathcal{T}_{io} simplifies the definition of $\mathsf{in}_{\mathcal{P}} @tr.$ 104

Macro Terms

 $frame_{\mathcal{P}}$ @tr contains all the information known to an adversary against \mathcal{P} after the execution of tr.

More precisely, we can show that for all action-deterministic processes \mathcal{P} and \mathcal{Q} , for all $tr \in \mathcal{T}_{io}$:

 $\mathcal{M} \models \mathsf{fold}(\mathcal{P}, \mathtt{tr}) \sim \mathsf{fold}(\mathcal{Q}, \mathtt{tr}) \;\; \mathsf{iff.} \;\; \mathcal{M} \models \mathsf{frame}_{\mathcal{P}} \texttt{@tr} \sim \mathsf{frame}_{\mathcal{Q}} \texttt{@tr}$

for any ${\mathcal M}$ satisfying:

$$\pi_1 \langle x\,,\, y
angle \doteq x \sim {
m true} \qquad \pi_2 \langle x\,,\, y
angle \doteq y \sim {
m true}$$

Proof

 $\label{eq:FA} \Rightarrow \mbox{apply FA to build } \mbox{frame}_{\mathcal{R}} @\mbox{tr from fold}(\mathcal{R},\mbox{tr}) \mbox{ for } \mathcal{R} \in \{\mathcal{P},\mathcal{Q}\} \\ \Leftarrow \mbox{apply FA + Dup + the pair injectivity rules to compute all terms in } \\ \mbox{fold}(\mathcal{R},\mbox{tr}) \mbox{ from } \mbox{frame}_{\mathcal{R}} @\mbox{tr for } \mathcal{R} \in \{\mathcal{P},\mathcal{Q}\} \\ \end{tabular}$

Hash-Lock: Accept

To be able to state some authentication property of Hash-Lock, we need an additional macro. For all tr $\diamond c_i^{R_2} \in \mathcal{T}_{io}$, we let:

accept^A@tr
$$\stackrel{\text{def}}{=} \pi_2(\text{in}@tr) \doteq H(\langle n_{R,j}, \pi_1(\text{in}@tr) \rangle, k_A)$$

We made sure that all names in the protocol are unique, so that they don't have to be renamed during the folding.

The following formulas encode the fact that the **Hash-Lock** protocol provides **authentication**:

$$\forall \mathsf{A} \in \mathcal{I}. \ \forall \mathtt{tr} \in \mathcal{T}_{\mathsf{io}}. \ \forall \mathtt{tr}_1 \diamond \mathsf{c}_{\mathsf{j}}^{\mathtt{R}_1}, \mathtt{tr}_3 \diamond \mathsf{c}_{\mathsf{j}}^{\mathtt{R}_2} \ \mathsf{s.t.} \ \mathtt{tr}_1 < \mathtt{tr}_3 \leq \mathtt{tr}, \\ \mathsf{accept}^{\mathsf{A}} @ \mathtt{tr}_3 \rightarrow \bigvee_{\substack{\mathtt{tr}_2 \diamond \mathtt{c}_{\mathsf{A}, \mathsf{i}}^{\mathsf{T}} \\ \mathtt{tr}_1 \leq \mathtt{tr}_2 \leq \mathtt{tr}_3}}^{\mathsf{out} @ \mathtt{tr}_1 \doteq \mathsf{in} @ \mathtt{tr}_2 \land} \mathsf{out} @ \mathtt{tr}_2 \doteq \mathsf{in} @ \mathtt{tr}_3} \sim \mathsf{true}$$

This kind of one-sided formulas are called **reachability formulas**. Proving the validity of such formulas requires **additional rules**, to allow for **propositional reasoning**.

Authentication Protocols

Reachability Proof System

We define a judgments dedicated to reachability correspondance properties.

Definition

A reachability judgement $\Gamma \vdash t$ comprises a sequence of terms $\Gamma = t_1 \rightarrow \cdots \rightarrow t_n$ and a (boolean) term t.

 $\Gamma \vdash t$ is valid if and only if the following formula is valid:

$$(t_1 \xrightarrow{\cdot} \cdots \xrightarrow{\cdot} t_n \xrightarrow{\cdot} t) \sim \mathsf{true}$$

Careful not to confuse the boolean connectives at the reachability and equivalence levels!

Exercise

Determine which directions are correct.

 $egin{aligned} t_\phi &\dot{\wedge} t_\psi \sim ext{true} & \stackrel{?}{\Leftrightarrow} & t_\phi \sim ext{true} \wedge t_\psi \sim ext{true} \ t_\phi &\dot{\vee} t_\psi \sim ext{true} & \stackrel{?}{\Leftrightarrow} & t_\phi \sim ext{true} \vee t_\psi \sim ext{true} \ t_\phi &\dot{\to} t_\psi \sim ext{true} & \stackrel{?}{\Leftrightarrow} & t_\phi \sim ext{true} \to t_\psi \sim ext{true} \end{aligned}$

Careful not to confuse the boolean connectives at the reachability and equivalence levels!

Exercise

Determine which directions are correct.

$$egin{aligned} t_\phi &\dot{\wedge} t_\psi \sim ext{true} &\Leftrightarrow t_\phi \sim ext{true} \wedge t_\psi \sim ext{true} \ t_\phi &\dot{\vee} t_\psi \sim ext{true} &\Leftarrow t_\phi \sim ext{true} \vee t_\psi \sim ext{true} \ t_\phi &\dot{\rightarrow} t_\psi \sim ext{true} &\Rightarrow t_\phi \sim ext{true} o t_\psi \sim ext{true} \end{aligned}$$

The second relation works both ways when t_{ϕ} or t_{ψ} is a **constant** formula.

Reachability Proof System

Our **reachability judgements** can be trivially equipped with a **sequent calculus**.

$\overline{{\sf \Gamma},t_{\phi}dash t_{\phi}}$		$rac{\psi}{arphi + t_{\phi}} = rac{arphi, t_{\psi} dash t_{\phi}}{arphi dash t_{\phi}}$	
$rac{{\displaystyle \Gammadash t_\psi {\displaystyle \Gammadash t_\phi}}}{{\displaystyle \Gammadash t_\psi \ \dot{\wedge} \ t_\phi}}$		$\frac{\Gamma, t_{\psi}, t_{\phi} \vdash t_{\theta}}{\Gamma, t_{\psi} \land t_{\phi} \vdash t_{\theta}}$	-
$\frac{\Gamma \vdash t_\phi}{\Gamma \vdash t_\psi \lor t_\phi}$	$\frac{\Gamma \vdash t_\psi}{\Gamma \vdash t_\psi \mathrel{\dot{\vee}} t_\phi}$	$\frac{\Gamma, t_{\psi} \vdash t_{\theta}}{\Gamma, t_{\psi} \mathrel{\dot{\vee}}}$	
$rac{{f \Gamma}dash t_\psi \qquad {f \Gamma}, t_\phidash t_ heta}{{f \Gamma}, t_\psi o t_\phidash t_ heta}$		$rac{{\Gamma},t_\psidash t_\phi}{{\Gamma}dash t_\psi \dot{ m ightarrow} t_\phi}$	

$rac{{\Gamma},t_\phi\vdash\perp}{{\Gamma}\vdash\neg t_\phi}$	$\overline{\Gamma, \bot \vdash t_{\phi}}$
$\frac{\Gamma_1, t_\phi, t_\psi, \Gamma_2 \vdash t_\theta}{\Gamma_1, t_\psi, t_\phi, \Gamma_2 \vdash t_\theta}$	$\frac{\Gamma, t_\psi, t_\psi \vdash t_\phi}{\Gamma, t_\psi \vdash t_\phi}$

The reachability proof system is sound.

Proof

First, remark that any Γ and t_{θ} ,

 $\Gamma \vdash t_{\theta}$ is valid iff. $\Pr_{\rho}\left(\llbracket (\dot{\wedge} \Gamma) \dot{\wedge} \neg t_{\phi} \rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho) \right)$ is negligible. (†)

• Left-to-right:

• Right-to-left is straightforward.

Reachability Proof System: Soundness

We only prove only rule, say

$$\frac{ \mathsf{\Gamma}, t_{\psi} \vdash t_{\theta} \quad \mathsf{\Gamma}, t_{\phi} \vdash t_{\theta} }{ \mathsf{\Gamma}, t_{\psi} \mathbin{\dot{\vee}} t_{\phi} \vdash t_{\theta} }$$

By the previous remark (†), since $(\Gamma, t_{\psi} \vdash t_{\theta})$ and $(\Gamma, t_{\phi} \vdash t_{\theta})$ are valid

- $\Pr_{\rho}\left(\llbracket(\dot{\wedge}\Gamma)\dot{\wedge}t_{\psi}\dot{\wedge}\neg t_{\theta}\rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta},\rho)\right)$ is negligible.
- $\Pr_{\rho}\left(\llbracket(\dot{\wedge}\Gamma) \stackrel{.}{\wedge} t_{\phi} \stackrel{.}{\wedge} \neg t_{\theta}\rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta}, \rho)\right)$ is negligible.

Since the union of two negligible (η -indexed families of) events is a negligible (η -indexed families of) events,

$$\Pr_{\rho}\left(\llbracket\left((\dot{\wedge}\Gamma)\dot{\wedge}t_{\psi}\dot{\wedge}\neg t_{\theta}\right)\dot{\vee}\left((\dot{\wedge}\Gamma)\dot{\wedge}t_{\phi}\dot{\wedge}\neg t_{\theta}\right)\rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta},\rho)\right) \text{ is negligible}$$

$$\Leftrightarrow \Pr_{\rho}\left(\llbracket\left(\dot{\wedge}\Gamma\right)\dot{\wedge}\left(t_{\psi}\dot{\vee}t_{\phi}\right)\dot{\wedge}\neg t_{\theta}\rrbracket_{\mathcal{M}}^{\sigma}(1^{\eta},\rho)\right) \text{ is negligible}$$

Hence using (†) again, $\Gamma, t_{\psi} \lor t_{\phi} \vdash t_{\theta}$ is valid.

Authentication Protocols

Cryptographic Rule: Collision Resistance

A keyed cryptographic hash $H(_,_)$ is computationally collision resistant if no PPTM adversary can built collisions, even when it has access to a hashing oracle.

More precisely, a hash is *collision resistant under hidden key attacks* (CR-HK) iff for every PPTM A, the following quantity:

$$\mathsf{Pr}_{\mathsf{k}}\left(\mathcal{A}^{\mathcal{O}_{\mathsf{H}(\cdot,\mathsf{k})}}(1^{\eta}) = \langle \mathit{m}_{1}\,,\,\mathit{m}_{2}\rangle, \mathit{m}_{1} \neq \mathit{m}_{2} \text{ and } \mathsf{H}(\mathit{m}_{1},\mathsf{k}) = \mathsf{H}(\mathit{m}_{2},\mathsf{k})\right)$$

is negligible, where k is drawn uniformly in $\{0,1\}^{\eta}$.

Collision Resistance If H is a CR-HK function, then the *ground* rule:

$$\overline{\mathsf{H}(m_1,\mathsf{k}) \doteq \mathsf{H}(m_2,\mathsf{k}) \rightarrow m_1 \doteq m_2 \sim \mathsf{true}} \ ^{\mathrm{CR}}$$

is sound, when k appears only in H key positions in m_1, m_2 .

Exercise Let H be CR-HK. Show that the following rule is **not** sound:

$$\overline{\dot{\neg}(\mathsf{H}(m_1,\mathsf{k}) \doteq \mathsf{H}(m_2,\mathsf{k}))} \sim \mathsf{true}^{\mathrm{CR}}$$

when k appears only in H key positions in m_1, m_2 and $m_1 \neq m_2$.

Authentication Protocols

Cryptographic Rule: Message Authentication Code A **message authentication code** is a symmetric cryptographic schema which:

- create message authentication codes using mac (_)
- verifies mac using verify $(_, _)$

It must satisfies the functional equality:

 $verify_k(mac_k(m), m) = true$

A MAC must be **computationally unforgeable**, even when the adversary has access to a mac and verify **oracles**.

A MAC is *unforgeable against chosen-message attacks* (EUF-CMA) iff for every PPTM A, the following quantity:

$$\mathsf{Pr}_{\mathsf{k}}\begin{pmatrix}\mathcal{A}^{\mathcal{O}_{\mathsf{mac}_{\mathsf{k}}(\cdot)},\mathcal{O}_{\mathsf{verify}_{\mathsf{k}}(\cdot,\cdot)}(1^{\eta}) = \langle m, \sigma \rangle, \, m \text{ not queried to } \mathcal{O}_{\mathsf{mac}_{\mathsf{k}}(\cdot)}\\ \text{ and verify}_{\mathsf{k}}(\sigma, m) = 1\end{pmatrix}$$

is negligible, where k is drawn uniformly in $\{0,1\}^{\eta}$.

Take two messages s, m and a key $\mathsf{k} \in \mathcal{N}$ such that

- *s* and *m* are ground.
- $k \in \mathcal{N}$ appears only in mac or verify key positions in s, m.

Key Idea

To build a rule for EUF-CMA, we proceed as follow:

- Compute [[s, m]] bottum-up, calling O_{mack}(·) and O_{verifyk}(·,·) if necessary.
- Log all sub-terms $\mathbb{S}_{mac}(s, m)$ sent to $\mathcal{O}_{mac_k}(\cdot)$.

 \Rightarrow If verify_k(s, m) then m = u for some $u \in S_{mac}(s, m)$.

 $\mathfrak{S}_{mac}(s,m)$ are the calls to $\mathcal{O}_{mac_k(\cdot)}$ needed to compute s, m.

 $\mathbb{S}_{\mathsf{mac}}(\cdot)$ defined by induction on ground terms:

$$\begin{split} \mathbb{S}_{\mathsf{mac}}(\mathsf{n}) &\stackrel{\text{def}}{=} \emptyset \\ \mathbb{S}_{\mathsf{mac}}(\mathsf{verify}_{\mathsf{k}}(u_1, u_2)) &\stackrel{\text{def}}{=} \mathbb{S}_{\mathsf{mac}}(u_1) \cup \mathbb{S}_{\mathsf{mac}}(u_2) \\ \mathbb{S}_{\mathsf{mac}}(\mathsf{mac}_{\mathsf{k}}(u)) &\stackrel{\text{def}}{=} \{u\} \cup \mathbb{S}_{\mathsf{mac}}(u) \\ \mathbb{S}_{\mathsf{mac}}(f(u_1, \dots, u_n)) &\stackrel{\text{def}}{=} \bigcup_{1 \leq i \leq n} \mathbb{S}_{\mathsf{mac}}(u_i) \quad \text{(for other cases)} \end{split}$$

EUF-MAC Rule

Message Authentication Code Unforgeability

If mac is an EUF-CMA function, then the ground rule:

$$\frac{1}{\operatorname{verify}_{k}(s,m) \rightarrow \dot{\bigvee}_{u \in S} m \doteq u \sim \operatorname{true}}$$
EUF-MAC

is sound, when:

•
$$S = \{u \mid \mathsf{mac}_k(u) \in \mathbb{S}_{\mathsf{mac}}(s, m)\};$$

• $k \in \mathcal{N}$ appears only in mac or verify key positions in s, m.

Example

If t_1 t_2 and t_3 are terms which do not contain k, then:

 $\Phi \equiv \mathsf{mac}_{\mathsf{k}}(t_1), \mathsf{mac}_{\mathsf{k}}(t_2), \mathsf{mac}_{\mathsf{k}_0}(t_3)$

 $\models \mathsf{verify}_{\mathsf{k}}(g(\Phi), \mathsf{n}) \ \dot{\rightarrow} \ \left(\mathsf{n} \doteq t_1 \ \dot{\lor} \ \mathsf{n} \doteq t_2\right) \sim \mathsf{true}$

Exercise

Assume mac is EUF-CMA. Show that the following rule is sound:

 $\mathsf{verify}_k(\mathsf{if}\ b\ \mathsf{then}\ s_0\ \mathsf{else}\ s_1,m) \to \bigvee_{u \in \mathcal{S}_1 \cup \mathcal{S}_2} m = u \sim \mathsf{true}$

when b, s_0, s_1, m are ground terms, and:

- $S_i = \{u \mid \mathsf{mac}_k(u) \in \mathbb{S}_{\mathsf{mac}}(s_i, m)\}$, for $i \in \{0, 1\}$;
- k appears only in mac or verify key positions in s_0, s_1, m .

Remark: we do not make *any* assumption on *b*, except that it is ground. E.g., we can have $b \equiv (att(k) \doteq mac_k(0))$.

Authentication Protocols

Authentication of the Hash-Lock Protocol

Theorem

Assuming that the hash function is EUF-CMA⁶, the Hash-Lock protocol provides authentication, i.e. for any identity $a \in \mathcal{I}$, for any tr $\in \mathcal{T}_{io}$, tr₁ \diamond $c_j^{R_1}$ and tr₃ \diamond $c_j^{R_2}$ s.t.:

 $\mathtt{tr}_1 < \mathtt{tr}_3 \leq \mathtt{tr}$

the following formula is valid:

$$\operatorname{accept}^{\mathsf{A}} @ \operatorname{tr}_3 \to \bigvee_{\substack{\mathtt{tr}_2 \diamond c_{\mathbf{A},i}^{\mathsf{T}} \\ \mathtt{tr}_1 \leq \mathtt{tr}_2 \leq \mathtt{tr}_3}}^{\operatorname{out} @ \operatorname{tr}_1 \doteq \operatorname{in} @ \operatorname{tr}_2 \land}_{\operatorname{out} @ \operatorname{tr}_2 \doteq \operatorname{in} @ \operatorname{tr}_2 \land} \sim \operatorname{true}$$

⁶Taking verify_k(s, m) $\stackrel{\text{def}}{=} s \stackrel{\cdot}{=} H(m, k)$.

Authentication: Hash-Lock

Proof. Let $a\in\mathcal{I}$, and let $\texttt{tr}\in\mathcal{T}_{io},\,\texttt{tr}_1\diamond c_j^{\texttt{R}_1}$ and $\texttt{tr}_3\diamond c_j^{\texttt{R}_2}$ be s.t.: $\texttt{tr}_1<\texttt{tr}_3\leq\texttt{tr}$

We let:

$$t_{\text{conc}} \stackrel{\text{def}}{=} \bigvee_{\substack{\mathtt{tr}_2 \diamond \mathtt{c}_{\mathtt{A}, \mathtt{i}}^{\mathrm{T}} \\ \mathtt{tr}_1 \leq \mathtt{tr}_2 \leq \mathtt{tr}_3}} \operatorname{out} \mathbb{Q} \mathtt{tr}_1 \doteq \operatorname{in} \mathbb{Q} \mathtt{tr}_2 \land \operatorname{out} \mathbb{Q} \mathtt{tr}_2 \doteq \operatorname{in} \mathbb{Q} \mathtt{tr}_3$$

We must prove that the following reachability judgement is valid:

 $accept^A @tr_3 \vdash t_{conc}$

i.e. that:

$$\pi_2(\mathsf{in@tr}_3) \doteq \mathsf{H}(\langle \mathsf{n}_{\mathsf{R},\mathsf{j}}\,,\,\pi_1(\mathsf{in@tr}_3)\rangle,\mathsf{k}_{\mathsf{A}}) \vdash t_{\mathsf{conc}}$$

We use the EUF-MAC rule on the equality:

$$\pi_2(\mathsf{in}@\mathtt{tr}_3) \doteq \mathsf{H}(\langle \mathsf{n}_{\mathsf{R},\mathsf{j}}, \pi_1(\mathsf{in}@\mathtt{tr}_3) \rangle, \mathsf{k}_{\mathsf{A}}) \tag{\dagger}$$

The terms above are ground, and the key k_A is correctly used in them. Moreover, the set of *honest* hashes using key k_A appearing in (†), excluding the top-level hash, is:

$$\begin{split} & \mathbb{S}_{\max}(\pi_2(\texttt{in@tr}_3), \langle \mathsf{n}_{\mathsf{R}, \mathsf{j}}, \pi_1(\texttt{in@tr}_3) \rangle) \\ &= \mathbb{S}_{\max}(\texttt{in@tr}_3) \\ &= \left\{ \mathsf{H}(\langle \texttt{in@tr}_2, \mathsf{n}_{\mathsf{T}, \mathsf{i}} \rangle, \mathsf{k}_{\mathsf{A}}) \mid \mathtt{tr}_2 \diamond \mathsf{c}_{\mathsf{A}, \mathsf{i}}^{\mathsf{T}} < \mathtt{tr}_3 \right] \end{split}$$

The hashes in the reader's outputs can be seen as verify checks, and can therefore be ignored.

Hence using EUF-MAC plus some basic reasoning, we have:

$$\frac{\operatorname{accept}^{A} \mathbb{Q} \operatorname{tr}_{3}, \langle \operatorname{in} \mathbb{Q} \operatorname{tr}_{2}, \operatorname{n}_{\mathsf{T}, i} \rangle \doteq}{\operatorname{accept}^{A} \mathbb{Q} \operatorname{tr}_{3}, \pi_{1}(\operatorname{in} \mathbb{Q} \operatorname{tr}_{3}) \rangle} \vdash t_{\operatorname{conc}} \quad \text{for every } \operatorname{tr}_{2} \diamond \operatorname{c}_{\mathsf{A}, i}^{\mathsf{T}} < \operatorname{tr}_{3}}{\operatorname{accept}^{A} \mathbb{Q} \operatorname{tr}_{3}, \bigvee_{\operatorname{tr}_{2} \diamond \operatorname{c}_{\mathsf{A}, i}^{\mathsf{T}} < \operatorname{tr}_{3}} \langle \operatorname{n}_{\mathsf{R}, j}, \pi_{1}(\operatorname{in} \mathbb{Q} \operatorname{tr}_{3}) \rangle} \vdash t_{\operatorname{conc}}}$$

Assuming that the pair and projections satisfy:

$$(\pi_1 \langle x \, , \, y
angle \doteq x) \sim {
m true} \qquad \qquad \overline{(\pi_2 \langle x \, , \, y
angle \doteq y)} \sim {
m true}$$

We only have to show that for every $\mathtt{tr}_2 \diamond \mathtt{c}_{A,\mathtt{i}}^{\mathtt{T}} < \mathtt{tr}_3$:

 $\Gamma \vdash t_{conc}$

is valid, where:

 $\Gamma \stackrel{\text{def}}{=} \texttt{accept}^{\mathsf{A}} \texttt{@tr}_3, \ \mathsf{in} \texttt{@tr}_2 \doteq \mathsf{n}_{\mathsf{R},j}, \ \mathsf{n}_{\mathsf{T},i} \doteq \pi_1(\mathsf{in} \texttt{@tr}_3)$

Authentication: Hash-Lock

Since $\mathtt{tr}_1 \diamond c_j^{\mathtt{R}_1} < \mathtt{tr}_3$ we know that:

 $\texttt{out}\texttt{@tr}_1 \stackrel{\text{def}}{=} n_{R,j}$

Moreover:

$$\texttt{out@tr}_2 \stackrel{\texttt{def}}{=} \langle n_{\texttt{T},\texttt{i}} \,, \, \mathsf{H}(\langle \texttt{in@tr}_2 \,, \, n_{\texttt{T},\texttt{i}} \rangle, \mathsf{k}_{\mathsf{A}}) \rangle$$

Hence:

$$\Gamma \vdash \pi_1(\mathsf{out}@\mathtt{tr}_2) \doteq \pi_1(\mathsf{in}@\mathtt{tr}_3) \tag{(\diamond)}$$

Similarly:

$$\begin{split} \mathsf{\Gamma} \vdash \pi_2(\mathsf{out}@\mathtt{tr}_2) &\doteq \mathsf{H}(\langle \mathsf{in}@\mathtt{tr}_2, \, \mathsf{n}_{\mathsf{T}, \mathsf{i}} \rangle, \mathsf{k}_{\mathsf{A}}) \\ &\doteq \mathsf{H}(\langle \mathsf{n}_{\mathsf{R}, \mathsf{j}}, \, \pi_1(\mathsf{in}@\mathtt{tr}_3) \rangle, \mathsf{k}_{\mathsf{A}}) \\ &\doteq \pi_2(\mathsf{in}@\mathtt{tr}_3) \end{split}$$

Consequently:

$$\Gamma \vdash \pi_2(\mathsf{out}@\mathtt{tr}_2) \doteq \pi_2(\mathsf{in}@\mathtt{tr}_3) \tag{(*)}$$

Assuming that the pair and projections satisfy the property:

$$\pi_1 x \doteq \pi_1 y \rightarrow \pi_2 x \doteq \pi_2 y \rightarrow x \doteq y$$

We deduce from (\star) and (\diamond) that:

 $\Gamma \vdash \mathsf{out}@\mathtt{tr}_2 \doteq \mathsf{in}@\mathtt{tr}_3$

Putting everything together, we get:

 $\Gamma \vdash \mathsf{out}@\mathtt{tr}_1 \doteq \mathsf{in}@\mathtt{tr}_2 \land \mathsf{out}@\mathtt{tr}_2 \doteq \mathsf{in}@\mathtt{tr}_3 \tag{\ddagger}$

Authentication: Hash-Lock

Recall that:

$$t_{\text{conc}} \stackrel{\text{def}}{=} \dot{\bigvee}_{\substack{\mathtt{tr}_2 \diamond c_{\mathtt{A}, i}^T \\ \mathtt{tr}_1 \leq \mathtt{tr}_2 \leq \mathtt{tr}_3}} \text{out} @ \mathtt{tr}_1 \doteq in @ \mathtt{tr}_2 \land \text{out} @ \mathtt{tr}_2 \doteq in @ \mathtt{tr}_3 \\ \end{cases}$$

and we must show that $\Gamma \vdash t_{conc}$. Hence, using (‡), it only remains to prove that whenever $tr_2 < tr_1$, we have:

 Γ , out@tr₁ \doteq in@tr₂, out@tr₂ \doteq in@tr₃ $\vdash \bot$

This follows from the independence rule:

$$\overline{(t \doteq n)} = \text{false} = \text{-IND}$$
 when t is ground and $n \notin \text{st}(t)$

using the fact that:

 $\texttt{out}\texttt{@tr}_1 \stackrel{\text{def}}{=} n_{R,j}$

and that if $tr_2 < tr_1$ then $n_{R,j} \not\in st(in@tr_2)$.

Authentication Protocols

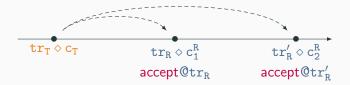
Beyond Authentication

Beyond Authentication

Authentication, which states that we must have: $\forall tr_R \diamond c_R. \exists tr_T \diamond c_T.$



does not exclude the scenario:



This is a **replay attack**: the **same message** (or partial transcript), when replayed, is **accepted again** by the server.

This can yield real-word **attacks**. E.g. an adversary can open a door at will once it eavesdropped one honest interaction.

Example

The following protocol, called Basic Hash, suffer from such attacks:

$$\begin{split} \mathsf{T}(\mathsf{A},\mathtt{i}) &: \nu \, \mathsf{n}_{\mathsf{T},\mathtt{i}}. \, \textbf{out}(\mathsf{c}_{\mathsf{A},\mathtt{i}}^{\mathsf{T}}, \langle \mathsf{n}_{\mathsf{T},\mathtt{i}}, \, \mathsf{H}(\mathsf{n}_{\mathsf{T},\mathtt{i}}, \mathsf{k}_{\mathsf{A}}) \rangle) \\ \mathsf{R}(\mathtt{j}) &: \mathsf{in}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}}, \mathtt{y}). \text{ if } \dot{\bigvee}_{\mathsf{A} \in \mathcal{I}} \pi_{2}(\mathtt{y}) \doteq \mathsf{H}(\pi_{1}(\mathtt{y}), \mathsf{k}_{\mathsf{A}}) \\ & \text{ then } \mathbf{out}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}}, \mathsf{ok}) \\ & \text{ else } \mathbf{out}(\mathsf{c}_{\mathtt{j}}^{\mathsf{R}_{\mathtt{2}}}, \mathsf{ko}) \end{split}$$

The **authentication** property is too *weak* for many real-world application.

To prevent replay attacks, we require that the protocol provides a **stronger** property, **injective authentication**.

The following formulas encode the fact that the Hash-Lock protocol provides injective authentication: $\forall \mathsf{A} \in \mathcal{I}. \ \forall \mathsf{tr} \in \mathcal{T}_{\mathsf{io}}. \ \forall \mathsf{tr}_1 \diamond \mathsf{c}^{\mathsf{R}_1}_{\mathsf{i}}, \mathsf{tr}_3 \diamond \mathsf{c}^{\mathsf{R}_2}_{\mathsf{i}} \ \mathsf{s.t.} \ \mathsf{tr}_1 < \mathsf{tr}_3 \leq \mathsf{tr}$ $\begin{array}{ccc} \mathsf{accept}^{\mathsf{A}} @ \texttt{tr}_3 \to & \bigvee_{\texttt{tr}_2 \diamond \texttt{c}_{\mathsf{A},i}^{\mathsf{T}}} & \begin{array}{c} \mathsf{out} @ \texttt{tr}_1 \doteq \mathsf{in} @ \texttt{tr}_2 \land \\ \mathsf{out} @ \texttt{tr}_2 \doteq \mathsf{in} @ \texttt{tr}_3 \end{array} \\ \end{array}$ tr1<tr2<tr3 $\dot{\wedge} \bigwedge_{\operatorname{tr}_1' \diamond \mathbf{c}_k^{\mathbf{R}_1}, \operatorname{tr}_3' \diamond \mathbf{c}_k^{\mathbf{R}_2}}^{\dot{\wedge}} \begin{pmatrix} \operatorname{accept}^{\mathbf{A}} \operatorname{\mathfrak{O}tr}_3' \dot{\wedge} \\ \operatorname{out} \operatorname{\mathfrak{O}tr}_2 \doteq \operatorname{in} \operatorname{\mathfrak{O}tr}_3' \\ \operatorname{out}^2 \operatorname{\mathfrak{O}tr}_2 \doteq \operatorname{in} \operatorname{\mathfrak{O}tr}_3' \end{pmatrix} j = k \end{pmatrix}$ $tr'_1 < tr'_2 < tr$

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