# MPRI 2.30: Proofs of Security Protocols

1. The CCSA Approach to Computational Security

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# Introduction

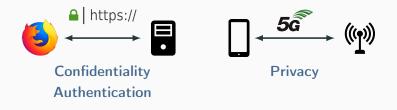
#### Context

#### Security Protocols

- Distributed programs which aim at providing some security properties.
- Uses cryptographic primitives: e.g. encryption.



There is a large variety of security properties.



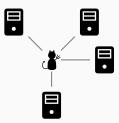


Against whom should these properties hold?

- concretely, in the real world: malicious individuals, corporations, state agencies, ...
- more abstractly, one (or many) computers sitting on the network.

#### Abstract attacker model

- Network capabilities: worst-case scenario: eavesdrop, block and forge messages.
- **Computational capabilities**: the adversary's *computational power*.
- Side-channels capabilities: observing the agents (e.g. time, power-consumption)
   ⇒ not in this lecture.

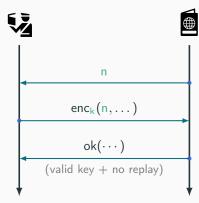


## The Basic Access Control protocol in e-passports:

- uses an RFID tag.
- guard access to information stored.
- should guarantee data confidentiality and user privacy.

#### Some security mechanisms:

- integrity: obtaining key k requires physical access.
- **no replay**: random nonce n, old messages cannot be re-used.



## Privacy: Unlinkability

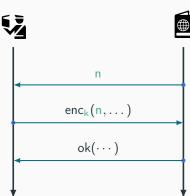
No adversary can know whether it interacted with a particular user, **in any context**.

**Example.** For two user sessions:

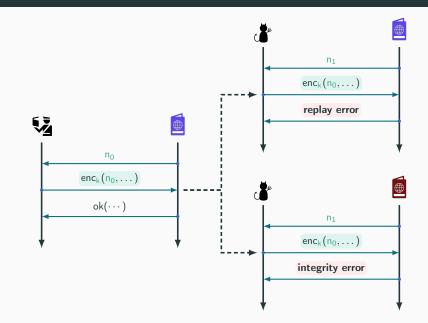
$$\mathsf{att}\left(\begin{array}{c}\textcircled{\textcircled{\baselineskip}}\\ \blacksquare\end{array}\right), \begin{array}{c}\textcircled{\textcircled{\baselineskip}\\\blacksquare\end{array}}\right) = \begin{cases} \textcircled{\textcircled{\baselineskip}\\\blacksquare\end{array}}, \begin{array}{c}\textcircled{\textcircled{\baselineskip}\\\blacksquare\end{array}}, \begin{array}{c}\textcircled{\textcircled{\baselineskip}\\\blacksquare\end{array}}, \end{array}$$

French version of BAC:

- *≠* error messages for replay and integrity checks.
- $\Rightarrow$  unlinkability attack.



## **BAC Protocol: Privacy Attack**



Take-away lessons:

- This is a protocol-level attack: no issue with cryptography:
   ⇒ cryptographic primitives are but an ingredient.
- Innocuous-looking changes can break security:
  - $\Rightarrow$  designing security protocols is hard.

How to get a strong confidence in a protocol's security guarantees?

#### Verification

Formal mathematical proof of security protocols:



- Must be sound: proof  $\Rightarrow$  property always holds.
- Usually undecidable: approaches either incomplete or interactive.
- Machine-checked proofs yield a high degree of confidence.
  - general-purpose tools (e.g. Coq and Lean).
  - in security protocol analysis, mostly dedicated tools.
     E.g. CryptoVerif, EasyCrypt, SQUIRREL.

## Goal

Design formal frameworks allowing for mechanized verification of cryptographic protocols.

- At the intersection of cryptography and verification.
- Particular verification challenges:
  - small or medium-sized programs
  - complex properties
  - probabilistic programs + arbitrary adversary

The Computationally Complete Symbolic Attacker (**CCSA**) [1] is a framework in the **computational model** for the **verification** of cryptographic protocols.

## Key ingredients

- Protocol executions models as terms.
- A probabilistic logic.

⇒ interpret terms as PTIME-computable bitstring distributions.

- Translate cryptographic hardness assumptions as logical rules.
- Reasoning rules capturing cryptographic arguments.
- Abstract approach: no probabilities, no security parameter.

# Protocols as Sequences of Terms

To illustrate what terms we need to consider, we consider a simple authentication protocol:

The Private Authentication (PA) Protocol, v1

 $1: A \to B: \nu n_{A}. \quad out(c_{A}, \{\langle pk_{A}, n_{A} \rangle\}_{pk_{B}})$  $2: B \to A: \nu n_{B}. in(c_{A}, x). out(c_{B}, \{\langle \pi_{2}(dec(x, sk_{A})), n_{B} \rangle\}_{pk_{A}})$ 

where  $pk_A \equiv pk(k_A)$  and  $pk_B \equiv pk(k_B)$ .

Notation: we use  $\equiv$  to denote syntactic equality of terms.

We use **terms** to model *protocol messages*, built upon a set of **symbols** S which includes:

- Names  $\mathcal{N}$ , e.g.  $n_A$ ,  $n_B$ , for random samplings.
- Function symbols  $\mathcal{F}$ , e.g.:

A, B, 
$$\langle \_, \_ \rangle$$
,  $\pi_1(\_)$ ,  $\pi_2(\_)$ ,  $\{\_\}\_$ ,  $\mathsf{pk}(\_)$ ,  $\mathsf{sk}(\_)$ ,  
if\_then\_else\_,  $\_ \doteq \_$ ,  $\_ \land \_$ ,  $\_ \lor \_$ ,  $\_ \Rightarrow \_$ 

#### **Examples**

$$\mathsf{pk}(\mathsf{k}_{\mathsf{A}}) \qquad \{\langle \mathsf{pk}_{\mathsf{A}} \,, \, \mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}} \qquad \pi_1(\mathsf{n}_{\mathsf{A}})$$

But this is not enough to **translate** a protocol **execution** into a **sequence of terms**. We also need to:

- model inputs of the protocol as terms.
- account for protocol branching (i.e. if  $\phi$  then  $P_1$  else  $P_2$ ).

Moreover, we **forbid unbounded replication** !, since we want to build **finite** sequences of terms.

We will discuss how to retrieve replication later.

## Protocols as Sequences of Terms

**Protocol Inputs** 

#### Inputs

#### The PA Protocol, v1

$$1 : A \to B : \nu n_A. \quad out(c_A, \{\langle pk_A, n_A \rangle\}_{pk_B})$$
  
$$2 : B \to A : \nu n_B. in(c_A, x). out(c_B, \{\langle \pi_2(dec([\times], sk_A)), n_B \rangle\}_{pk_A})$$

How do we represent the adversary's inputs?

- We use adversarial functions symbols att ∈ G, which takes as input the current knowledge of the adversary.
- Intuitively, att can be any probabilistic PTIME computation.

#### Example: Terms for PA, v1

$$\begin{split} t_1 &\equiv \{ \langle \mathsf{pk}_\mathsf{A} \,, \, \mathsf{n}_\mathsf{A} \rangle \}_{\mathsf{pk}_\mathsf{B}} \\ t_2 &\equiv \{ \langle \pi_2(\mathsf{dec}(\texttt{att}(t_1), \mathsf{sk}_\mathsf{A})) \,, \, \mathsf{n}_\mathsf{B} \rangle \}_{\mathsf{pk}_\mathsf{A}} \end{split}$$

More generally, if:

- there has already been n outputs, represented by the terms  $t_1, \ldots, t_n$ ;
- and we are doing the *j*-th **input** since the protocol started;

then the input bitstring is represented by:

$$\operatorname{\mathsf{att}}_j(t_1,\ldots,t_n)$$

where  $\mathbf{att}_i \in \mathcal{G}$  is an **adversarial** function symbol of arity *n*.

*i allows to have different values for consecutive inputs.* 

Thus we extend our set of term symbols  $\mathcal{S} = \mathcal{N} \uplus \mathcal{X} \uplus \mathcal{F} \uplus \mathcal{G}$ :

- Names  $\mathcal{N}$ .
- Variables  $\mathcal{X}$ .
- Function symbols  $\mathcal{F}$ .
- Adversarial function symbols  $\mathcal{G}$ , of any arity.

We note  $\mathcal{T}(\mathcal{S})$  the set of well-typed (see next slide) terms over symbols  $\mathcal{S}$ .

We will see the use of variables in  $\mathcal{X}$  later.

## Terms: Types

## Types

Each symbol  $s \in \mathcal{S}$  comes with a type type(s) of the form:

$$(\tau_{\mathsf{b}}^{\mathsf{1}} \star \cdots \star \tau_{\mathsf{b}}^{\mathsf{n}}) \to \tau_{\mathsf{b}} \qquad \text{or} \qquad \tau_{\mathsf{b}}$$

where  $\tau_{\rm b}^1, \ldots, \tau_{\rm b}^n, \tau_{\rm b}$  are all base types in **B**.

- We ask that **B** contains at least the **message** and **bool** types.
- We restrict names to type message:

 $\forall n \in \mathcal{N}, \texttt{type}(n) = \texttt{message}$ 

• We restrict *variables* to base types, i.e.:

 $\forall x \in \mathcal{X}, \mathtt{type}(x) \in \mathbb{B}.$ 

• We require that terms are well-typed and of a base type:

 $\vdash t : \tau_{\mathbf{b}}$  where  $\tau_{\mathbf{b}} \in \mathbb{B}$ .

## Protocols as Sequences of Terms

**Protocol Branching** 

In our first version of PA, B does not check that its comes from A. We propose a second version fixing this:

The PA Protocol, v2

 $1: \mathsf{A} \to \mathsf{B}: \nu \,\mathsf{n}_{\mathsf{A}}. \qquad \mathsf{out}(\mathsf{c}_{\mathtt{A}}, \{\langle \mathsf{pk}_{\mathsf{A}}, \,\mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}})$ 

 $\begin{aligned} 2: \mathsf{B} \to \mathsf{A} : \nu \, \mathsf{n}_{\mathsf{B}}. \, \mathsf{in}(\mathsf{c}_{\mathsf{A}}, x) . \, \mathsf{if} \, \pi_1(d) &\doteq \mathsf{pk}_{\mathsf{A}} \\ & \mathsf{then} \, \, \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{ \langle \pi_2(d) \, , \, \mathsf{n}_{\mathsf{B}} \rangle \}_{\mathsf{pk}_{\mathsf{A}}}) \\ & \mathsf{else} \, \, \, \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{ 0 \}_{\mathsf{pk}_{\mathsf{A}}}) \end{aligned}$ 

where  $d \equiv dec(x, sk_A)$ .

In the else branch, we return an encryption, to hide to the adversary which branch was taken.

#### The PA Protocol, v2

$$\begin{split} 1: \mathsf{A} &\to \mathsf{B} : \nu \, \mathsf{n}_{\mathsf{A}}. & \mathsf{out}(\mathsf{c}_{\mathsf{A}}, \{\langle \mathsf{pk}_{\mathsf{A}}, \, \mathsf{n}_{\mathsf{A}} \rangle\}_{\mathsf{pk}_{\mathsf{B}}}) \\ 2: \mathsf{B} &\to \mathsf{A} : \nu \, \mathsf{n}_{\mathsf{B}}. \, \mathsf{in}(\mathsf{c}_{\mathsf{A}}, x). \, \mathsf{if} \, \pi_1(d) \doteq \mathsf{pk}_{\mathsf{A}} \\ & \mathsf{then} \, \, \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{\langle \pi_2(d), \, \mathsf{n}_{\mathsf{B}} \rangle\}_{\mathsf{pk}_{\mathsf{A}}}) \\ & \mathsf{else} \, \, \, \mathsf{out}(\mathsf{c}_{\mathsf{B}}, \{0\}_{\mathsf{pk}_{\mathsf{A}}}) \end{split}$$

The **bitstring outputted** in the second message of the protocol **depends** on which **branch** was taken.

Moreover, the adversary may not know which branch was taken.

⇒ branching is pushed (or folded) in the outputted terms, using the if\_then\_else\_ function symbol.

## Example: Terms for PA, v2

$$\begin{split} t_1 &\equiv \{ \langle \mathsf{pk}_\mathsf{A} \,, \, \mathsf{n}_\mathsf{A} \rangle \}_{\mathsf{pk}_\mathsf{B}} \\ t_2 &\equiv \text{ if } \pi_1(d_1) \doteq \mathsf{pk}_\mathsf{A} \\ &\quad \text{ then } \{ \langle \pi_2(d_1) \,, \, \mathsf{n}_\mathsf{B} \rangle \}_{\mathsf{pk}_\mathsf{A}} \\ &\quad \text{ else } \{ 0 \}_{\mathsf{pk}_\mathsf{A}} \end{split}$$

where  $d_1 \equiv \text{dec}(\text{att}(t_1), \text{sk}_A)$ .

# Folding

We describe a systematic method to compute, given a process P and a trace tr of observable actions, the terms representing the outputted messages during the execution of P over tr.

This is the **folding** of P over tr.

We deal with **inputs** and protocol **branching** using the two techniques we just saw.

First, we require that **processes** are **deterministic**.

Indeed, consider a simple process:

$$P = \mathsf{out}(c, t_0) \mid \mathsf{out}(c, t_1)$$

- in a symbolic setting, this is a non-deterministic choice between t<sub>0</sub> and t<sub>1</sub>.
- in a computational setting, the semantics of *P* is unclear: how do **non-determinism** and **probabilities** interacts?

Hence, we choose to **forbid** such process: we only consider **action-deterministic** processes.

A process P is action-deterministic if the *observable* executions, starting from P, is described by a deterministic transition system.

#### **Action-deterministic Process**

A configuration A is action-deterministic iff for any  $A \to^* A'$ , for any observable action  $\alpha$ , if  $A' \xrightarrow{\alpha} A_1$  and  $A' \xrightarrow{\alpha} A_2$  then  $A_1 = A_1$ , for any term interpretation domain.

*P* is action-deterministic if the initial configuration  $(P, \emptyset, \emptyset)$  is.

#### Exercise

Determine if the following protocols are action-deterministic.

 $\mathsf{out}(c, t_1) \mid \mathsf{in}(c, x). \, \mathsf{out}(c, t_2)$ 

if b then  $out(c, t_1)$  else in(c, x).  $out(c, t_2)$ 

 $out(c, t_1)$  | if b then  $out(c, t_2)$  else  $out(c_0, t_3)$ 

# Folding

Folding Algorithm

#### **Folding configuration**

A folding configuration is a tuple  $(\Phi; \sigma; j; \Pi_1, \ldots, \Pi_l)$  where:

- $\Phi$  is a sequence of terms (in  $\mathcal{T}(\mathcal{S})$ ).
- $\sigma$  is a finite sequence of mappings (x  $\mapsto$  t) where t is a term.
- $j \in \mathbb{N}$ .
- for every i, Π<sub>i</sub> = (P<sub>i</sub>, b<sub>i</sub>) where P<sub>i</sub> is a protocol and b<sub>i</sub> is a boolean term.

In a folding configuration  $(\Phi; \sigma; j; \Pi_1, \ldots, \Pi_l)$ :

- Φ is the **frame**, i.e. the sequence of terms outputted since the execution started.
- $\sigma$  records inputs, it maps input variable to their corresponding term.
- *j* counts the number of inputs since the execution started.
- (P, b) represent the protocol P if b is true (and is null otherwise).
   Using this interpretation, Π<sub>1</sub>,..., Π<sub>l</sub> is the current process.

Initial configuration:  $(\epsilon; \emptyset; 0; (P, \top))$ 

## Folding: New and Branching Rules

## Rule for protocol branching:

$$(\Phi; \sigma; j; (\text{if } b \text{ then } P_1 \text{ else } P_2, b'), \Pi_1, \dots, \Pi_l)$$
  
$$\hookrightarrow (\Phi; \sigma; j; (P_1, b' \land b), (P_2, b' \land \neg b), \Pi_1, \dots, \Pi_l)$$

Rule for new:

$$(\Phi; \sigma; j; (\nu n, P, b), \Pi_1, \dots, \Pi_l)$$
  

$$\hookrightarrow (\Phi; \sigma; j; (P[n \mapsto n_f], b), \Pi_1, \dots, \Pi_l)$$

if  $n_f$  does not appear in the lhs configuration

#### $\hookrightarrow$ -irreducibility

A folding configuration K is  $\hookrightarrow$ -irreducible if for any K', we have  $K \nleftrightarrow K'$ .

#### Rule for inputs:

$$(\Phi; \sigma; j; (\mathbf{in}(\mathsf{c}, \mathsf{x}).P_1, b_1), \dots, (\mathbf{in}(\mathsf{c}, \mathsf{x}).P_n, b_n), \Pi_1, \dots, \Pi_l)$$
  
$$\stackrel{\mathsf{in}(\mathsf{c})}{\hookrightarrow} (\Phi; \sigma[\mathsf{x} \mapsto \mathbf{att}_j(\Phi)]; j+1; (P_1, b_1), \dots, (P_n, b_n), \Pi_1, \dots, \Pi_l)$$

if  $x \notin dom(\sigma)$ , the lhs folding configuration is  $\hookrightarrow$ -irreducible and if for every *i*,  $\Pi_1$  does not start by an input on c.

#### Alternative

If the **computational semantics** of processes tell the adversary if an **input succeeded or not**, we replace  $\Phi$  (in the rhs) by:

$$\Phi, \bigvee_{1 \leq i \leq n} b_i$$

## Folding: Output Rule

#### Rule for outputs:

$$(\Phi; \sigma; j; (\mathbf{out}(c, t_1).P_1, b_1), \dots, (\mathbf{out}(c, t_n).P_n, b_n), \Pi_1, \dots, \Pi_l)$$
  
$$\stackrel{\mathbf{out}(c)}{\hookrightarrow} (\Phi, t\sigma; \sigma; j; (P_1, b_1), \dots, (P_n, b_n), \Pi_1, \dots, \Pi_l)$$

if the lhs folding configuration is  $\hookrightarrow$ -irreducible and if for every *i*,  $\Pi_1$  does not start by an output on c and:

 $t \equiv \text{if } b_1 \text{ then } t_1 \text{ else } \dots \text{if } b_n \text{ then } t_n \text{ else error}$ 

**?** The input and output rules make sense because we restrict ourselves to action-deterministic processes.

**Remark:** we omit the error message when  $(\bigvee_{1 \le i \le n} b_i) \Leftrightarrow$  true.

A folding observable action *a* is either in(c) or out(c). Given an action-deterministic process *P* and a trace tr of folding observable, if:

$$(\epsilon; \emptyset; 0; (P, \top)) \stackrel{\texttt{tr}}{\hookrightarrow} (\Phi; \_; \_; \_)$$

then  $\Phi$  is the folding of *P* over tr, denoted fold(*P*, tr).

### Exercise

What are all the possible foldings of the following protocols?

```
in(c,x). out(c,t) \qquad out(c,t_1) | in(c_0,x). out(c_0,t_2)
if b then out(c, t_1) else out(c, t_2)
if b then out(c_1, t_1) else out(c_2, t_2)
```

### Exercise

Extend the **folding** algorithm with a rule allowing to handle processes with let bindings.

## Semantics of Terms

We showed how to represent **protocol execution**, on some fixed trace of observables tr, as a **sequence of terms**.

Intuitively, the terms corresponds to **PTIME-computable bitstring** distributions.

### Example

If  $\langle \_, \_ \rangle$  is the concatenation, and samplings are done uniformly at random among bitstrings of length  $\eta \in \mathbb{N}$ , then folding:

 $\nu \, \mathsf{n}_0, \nu \, \mathsf{n}_1, \textbf{out}(\mathsf{c}, \langle \mathsf{n}_0 \,, \, \langle \mathsf{00} \,, \, \mathsf{n}_1 \rangle \rangle) \quad \text{yields} \quad \langle \mathsf{n}_0 \,, \, \langle \mathsf{00} \,, \, \mathsf{n}_1 \rangle \rangle$ 

which represent a distribution over bitstrings of length  $2 \cdot \eta + 2$ , where all bits are sampled uniformly and independently, except for the bits at positions  $\eta$  and  $\eta + 1$ , which are always 0.

We interpret  $t \in \mathcal{T}(S)$  as a Probabilistic Polynomial-time Turing machine (PPTM), with:

- a working tape (also used as input tape);
- two read-only tapes  $\rho = (\rho_a, \rho_h)$  for adversary and honest randomness.

We let  $\ensuremath{\mathcal{D}}$  be the set of such machines.

**?** The machine must be polynomial in the size of its input on the working tape only.

The interpretation  $[t]_{\mathbb{M}} \in \mathcal{D}$  of a term t is parameterized by a model  $\mathbb{M}$  which provides:

- the set of random tapes  $\mathbb{T}_{\mathbb{M},\eta} = \mathbb{T}^{a}_{\mathbb{M},\eta} \times \mathbb{T}^{h}_{\mathbb{M},\eta}$ , where  $\mathbb{T}^{a}_{\mathbb{M},\eta}$  and  $\mathbb{T}^{h}_{\mathbb{M},\eta}$  are finite same-length set of bit-strings. We equip it with the uniform probability measure.  $(\mathbb{T}^{a}_{\mathbb{M},\eta}$  for the adversary,  $\mathbb{T}^{h}_{\mathbb{M},\eta}$  for honest functions)
- the semantics  $(\mathbb{I})_{\mathbb{M}}$  of symbols in S (details on next slides).

We may omit  $\mathbb{M}$  when it is clear from context.

We define the machine  $\llbracket t \rrbracket_{\mathbb{M}} \in \mathcal{D}$ , by defining its behavior  $\llbracket t \rrbracket_{\mathbb{M}}^{\eta,\rho}$  for every  $\eta \in \mathbb{N}$  and pairs of random tapes  $\rho = (\rho_{\mathsf{a}}, \rho_{\mathsf{h}}) \in \mathbb{T}_{\mathbb{M},\eta}$ .

Function symbols interpretations is just composition.

For function symbols in  $f \in \mathcal{F}$ , we simply apply  $(f)_{\mathbb{M}}$ :

$$\llbracket f(t_1,\ldots,t_n) \rrbracket_{\mathbb{M}}^{\eta,\rho} \stackrel{\text{def}}{=} (f)_{\mathbb{M}} (1^{\eta}, \llbracket t_1 \rrbracket_{\mathbb{M}}^{\eta,\rho},\ldots, \llbracket t_n \rrbracket_{\mathbb{M}}^{\eta,\rho})$$

Adversarial function symbols  $g \in \mathcal{G}$  also have access to  $\rho_a$ :

$$\llbracket g(t_1,\ldots,t_n) \rrbracket_{\mathbb{M}}^{\eta,\rho} \stackrel{\text{def}}{=} (g)_{\mathbb{M}} (1^{\eta}, \llbracket t_1 \rrbracket_{\mathbb{M}}^{\eta,\rho}, \ldots, \llbracket t_n \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{\mathsf{a}})$$

**Restrictions.**  $(f)_{\mathbb{M}}$  and  $(g)_{\mathbb{M}}$  are:

- PTIME-computable;
- deterministic (all randomness must come explicitly, from  $\rho$ ).

The interpretation  $(x)_{\mathbb{M}}$  of a variable  $x \in \mathcal{X}$  is an arbitrary machine in  $\mathcal{D}$ . Then:

$$\llbracket x \rrbracket_{\mathbb{M}}^{\eta,\rho} \stackrel{\mathsf{def}}{=} (\!\! x \!\!\!)_{\mathbb{M}} (1^{\eta},\rho).$$

Names  $n \in G$  are interpreted as uniform random samplings among bitstrings of length  $\eta$ , extracted from  $\rho_h$ :

$$\llbracket \mathbf{n} \rrbracket_{\mathbb{M}}^{\eta,\rho} \stackrel{\mathsf{def}}{=} (\![\mathbf{n}]\!]_{\mathbb{M}} (1^{\eta},\rho_{\mathsf{h}})$$

For every pair of different names  $n_0, n_1$ , we require that  $(n_0)_M$  and  $(n_1)_M$  extracts disjoint parts of  $\rho_h$ .

*V* Hence different names are **independent** random samplings.

## Terms Interpretation: Builtins

We force the interpretation of some function symbols.

• if then else is interpreted as branching:

$$[ \text{if } b \text{ then } t_1 \text{ else } t_2 ] ]_{\mathbb{M}}^{\eta,\rho} \stackrel{\mathsf{def}}{=} \begin{cases} \llbracket t_1 \rrbracket_{\mathbb{M}}^{\eta,\rho} & \text{ if } \llbracket t_1 \rrbracket_{\mathbb{M}}^{\eta,\rho} = 1 \\ \llbracket t_2 \rrbracket_{\mathbb{M}}^{\eta,\rho} & \text{ otherwise} \end{cases}$$

•  $\_ \doteq \_$  is interpreted as an **equality** test:

$$\llbracket t_1 \doteq t_2 \rrbracket_{\mathcal{M}}^{\eta,\rho} \stackrel{\mathsf{def}}{=} \begin{cases} 1 & \text{ if } \llbracket t_1 \rrbracket_{\mathcal{M}}^{\eta,\rho} = \llbracket t_2 \rrbracket_{\mathcal{M}}^{\eta,\rho} \\ 0 & \text{ otherwise} \end{cases}$$

Similarly, we force the interpretations of  $\dot{\wedge}, \dot{\vee}, \dot{\rightarrow}, true, false.$ 

 $\neq$  in how randomness is sampled:

• In the "real-world", the adversary  $\mathcal{A}$  samples randomness on-the-fly, as needed.

 $\Rightarrow$  possibly  $P(\eta)$  random bits, where P is the (polynomial) running-time of A.

• In the logic, we restrict  $\mathbb{T}_{\mathbb{M},\eta} = \mathbb{T}^{a}_{\mathbb{M},\eta} \times \mathbb{T}^{h}_{\mathbb{M},\eta}$  to be finite and fixed by  $\mathbb{M}$ .

 $\Rightarrow$  all randomness sampled eagerly according to  $\mathbb{M},$  independently of the adversary  $\mathcal{A}.$ 

This  $\neq$  of behaviors is not an issue, i.e. the logic can soundly model real-world adversaries:

 $\bullet\,$  Indeed, for any adversary  $\mathcal{A},$  there exists a model  $\mathbb M$  with enough randomness.

# A First-Order Logic for Indistinguishability

## A First-Order Logic for Indistinguishability

We now present a logic, to state (and later prove) **properties** about **bitstring distributions**.

This is a first-order logic with a predicate  $\sim^1$  representing computational indistinguishability.

$$\begin{split} \Phi &:= \top \mid \bot \\ &\mid \Phi \land \Phi \mid \Phi \lor \Phi \mid \Phi \to \Phi \mid \neg \Phi \\ &\mid \forall \mathbf{x}.\Phi \mid \exists \mathbf{x}.\Phi \qquad (\mathbf{x} \in \mathcal{X}) \\ &\mid t_1, \dots, t_n \sim_n t_{n+1}, \dots, t_{2n} \qquad (t_1, \dots, t_{2n} \in \mathcal{T}(\mathcal{S})) \end{split}$$

**Remark:** we use  $\dot{\wedge}, \dot{\vee}, \rightarrow$  in for the boolean *function symbols* in terms, to avoid confusion with the boolean *connectives* in formulas.

<sup>&</sup>lt;sup>1</sup>Actually, one predicate  $\sim_n$  of arity 2n for every  $n \in \mathbb{N}$ .

The logic has a standard FO semantics, using  $\mathcal{D}$  as interpretation domain and interpreting  $\sim$  as computational indistinguishability.

The satisfaction  $\mathbb{M} \models \Phi$  of  $\Phi$  in  $\mathbb{M}$  is as expected for boolean connective and FO quantifiers. E.g.:

 $\mathbb{M} \models \top \qquad \mathbb{M} \models \Phi \land \Psi \quad \text{if } \mathbb{M} \models \Phi \text{ and } \mathbb{M} \models \Psi$ 

 $\mathbb{M} \models \neg \Phi \quad \text{if not } \mathbb{M} \models \Phi \qquad \mathbb{M} \models \forall x. \Phi \quad \text{if } \forall m \in \mathcal{D}, \mathbb{M}[x \mapsto m] \models \Phi$ 

Finally,  $\sim_n$  is interpreted as **computational indistinguishability**.

$$\mathbb{M}\models t_1,\ldots,t_n\sim_n s_1,\ldots,s_n$$

if, for every PPTM A with a n + 1 input (and working) tapes, and a single random tape:

is a **negligible** function of  $\eta$ .

The quantity in (\*) is called the **advantage** of A against the left/right game  $t_1, \ldots, t_n \sim_n s_1, \ldots, s_n$ 

A function  $f(\eta)$  is **negligible** if it is **asymptotically smaller** than the **inverse** of any **polynomial**, i.e.:

$$\forall c \in \mathbb{N}, \exists N \in \mathbb{N} \text{ s.t. } \forall n \geq N, f(n) \leq \frac{1}{n^c}$$

### Example

Let f be the function defined by:

$$f(\eta) \stackrel{\mathsf{def}}{=} \mathsf{Pr}_{\rho} \big( \llbracket \mathsf{n}_0 \rrbracket^{\eta, \rho} = \llbracket \mathsf{n}_1 \rrbracket^{\eta, \rho} \big)$$

If  $n_0 \not\equiv n_1$ , then  $f(\eta) = \frac{1}{2^{\eta}}$ , and f is negligible.

- A formula  $\Phi$  is satisfied by a model  $\mathbb{M}$  when  $\mathbb{M} \models \Phi$ .
- $\Phi$  is valid, denoted by  $\models \Phi$ , if it is satisfied by every model.
- $\Phi$  is *C*-valid if it is satisfied by every model  $\mathbb{M} \in \mathcal{C}$ .

### Exercise

### Which of the formulas below are valid? Which are not?

true ~ false  $n_0 \sim n_0$   $n_0 \sim n_1$   $n_0 \doteq n_1 \sim$  false  $n_0, n_0 \sim n_0, n_1$   $f(n_0) \sim f(n_1)$  where  $f \in \mathcal{F} \cup \mathcal{G}$  $\pi_1(\langle n_0, n_1 \rangle) \doteq n_0 \sim$  true

### Exercise

Which of the formulas below are valid? Which are not?

 $\begin{aligned} \not\models \mathsf{true} \sim \mathsf{false} &\models \mathsf{n}_0 \sim \mathsf{n}_0 &\models \mathsf{n}_0 \sim \mathsf{n}_1 &\models \mathsf{n}_0 \doteq \mathsf{n}_1 \sim \mathsf{false} \\ \\ \not\models \mathsf{n}_0, \mathsf{n}_0 \sim \mathsf{n}_0, \mathsf{n}_1 &\models f(\mathsf{n}_0) \sim f(\mathsf{n}_1) \text{ where } f \in \mathcal{F} \cup \mathcal{G} \\ \\ \\ \not\models \pi_1(\langle \mathsf{n}_0, \, \mathsf{n}_1 \rangle) \doteq \mathsf{n}_0 \sim \mathsf{true} \end{aligned}$ 

 $\mathcal{P}$  and  $\mathcal{Q}$  are **indistinguishable**, written  $\mathcal{P} \approx \mathcal{Q}$ , if for any  $\tau$ :

 $\models \mathsf{fold}(\mathcal{P}, \tau) \sim \mathsf{fold}(\mathcal{Q}, \tau)$ 

### Remark

While there are countably many observable traces  $\tau$ , the set of foldings of a protocol *P* is always finite:<sup>2</sup>

 $\left|\left\{\mathsf{fold}(\mathcal{P},\tau) \mid \tau\right\}\right| < +\infty$ 

<sup>&</sup>lt;sup>2</sup>If we remove trailing sequences of error terms.

### Exercise

Informally, determine which of the following protocols **indistinguishabilities** hold, and under what **assumptions**:

 $\begin{aligned} \mathsf{out}(\mathsf{c},t_1) &\approx \mathsf{out}(\mathsf{c},t_2) & \mathsf{out}(\mathsf{c},t) \approx \mathsf{null} & \mathsf{in}(\mathsf{c},\mathsf{x}) \approx \mathsf{null} \\ & \mathsf{out}(\mathsf{c},t) \approx \mathsf{if} \ b \ \mathsf{then} \ \mathsf{out}(\mathsf{c},t_1) \ \mathsf{else} \ \mathsf{out}(\mathsf{c},t_2) \\ & \mathsf{out}(\mathsf{c},t) \approx \mathsf{if} \ b \ \mathsf{then} \ \mathsf{out}(\mathsf{c},t) \ \mathsf{else} \ \mathsf{out}(\mathsf{c}_0,t_0) \end{aligned}$ 

## **Structural Rules**

### A rule:

$$\frac{\phi_1 \quad \dots \quad \phi_n}{\phi}$$

is sound if  $\phi$  is valid whenever  $\phi_1, \ldots, \phi_n$  are valid.

Example $\frac{y \sim x}{x \sim y} \quad \text{is sound}$ 

These are typically structural rules, which are valid in all models.

### Computational indistinguishability is an equivalence relation:

$$\frac{\vec{u} \sim \vec{u}}{\vec{u} \sim \vec{u}} \text{ Refl} \qquad \frac{\vec{v} \sim \vec{u}}{\vec{u} \sim \vec{v}} \text{ Sym} \qquad \frac{\vec{u} \sim \vec{w}}{\vec{u} \sim \vec{v}} \text{ Trans}$$

**Permutation**. If  $\pi$  is a permutation of  $\{1, \ldots, n\}$  then:

$$\frac{u_{\pi(1)},\ldots,u_{\pi(n)}\sim v_{\pi(1)},\ldots,v_{\pi(n)}}{u_1,\ldots,u_n\sim v_1,\ldots,v_n} \text{ Perm}$$

### Alpha-renaming.

$$\overline{\vec{u} \sim \vec{u} \alpha} \, \alpha$$
-EQU

when  $\alpha$  is an injective renaming of names in  $\mathcal{N}.$ 

Restriction. The adversary can throw away some values:

$$\frac{\vec{u}, \boldsymbol{s} \sim \vec{v}, t}{\vec{u} \sim \vec{v}} \text{ Restr}$$

Duplication. Giving twice the same value to the adversary is useless:

$$rac{ec{u}, s \sim ec{v}, t}{ec{u}, s, s \sim ec{v}, t, t} \; \mathrm{Dup}$$

**Function application**. If the arguments of a function are indistinguishable, so is the image:

$$\frac{\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}}{f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}} \ \mathrm{FA}$$

where  $f \in \mathcal{F} \cup \mathcal{G}$ .

### Structural Rules: Proof of Function Application

$$\frac{\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}}{f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}} \ \text{FA}$$

**Proof.** The proof is by contrapositive. Assume  $\mathbb{M}$  and  $\mathcal{A}$  s.t. its advantage against:

$$f(\vec{u_1}), \vec{v_1} \sim f(\vec{u_2}), \vec{v_2}$$
 (†)

is not negligible. Let  $\mathcal{B}$  be the *distinguisher* defined by, for any bitstrings  $\vec{w}_u, \vec{w}_v$ and tape  $\rho_a$ :

$$\mathcal{B}(1^{\eta}, \vec{w}_{u}, \vec{w}_{v}, \rho_{a}) \stackrel{\text{def}}{=} \mathcal{A}(1^{\eta}, \|f\|_{\mathbb{M}}(1^{\eta}, \vec{w}_{u}), \vec{w}_{v}, \rho_{a})$$

 ${\mathcal B}$  is a PPTM since  ${\mathcal A}$  is and  $(\!(f)\!)_{\mathbb M}$  can be evaluated in pol. time. Then:

$$\begin{aligned} & \mathcal{B}(1^{\eta}, \llbracket \vec{u}_i \rrbracket_{\mathbb{M}}^{\eta,\rho}, \llbracket \vec{v}_i \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{\boldsymbol{a}}) \\ &= \mathcal{A}(1^{\eta}, \llbracket f(\vec{u}_i) \rrbracket_{\mathbb{M}}^{\eta,\rho}, \llbracket \vec{v}_i \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{\boldsymbol{a}}) \end{aligned} \qquad (i \in \{1,2\}) \end{aligned}$$

Hence the advantage of  $\mathcal{B}$  in distinguishing  $\vec{u_1}, \vec{v_1} \sim \vec{u_1}, \vec{v_2}$  is exactly the advantage of  $\mathcal{A}$  in distinguishing (†).

Case Study. We can do case disjunction over branching terms:

$$\frac{\vec{w_1}, b_0, u_0 \sim \vec{w_1}, b_1, u_1 \quad \vec{w_0}, b_0, v_0 \sim \vec{w_1}, b_1, v_1}{\vec{w_0}, \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim \vec{w_1}, \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$$

### Structural Rules: Proof of Case Study

$$\frac{b_0, u_0 \sim b_1, u_1 \qquad b_0, v_0 \sim b_1, v_1}{t_0 \equiv \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim t_1 \equiv \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$$

**Proof.** (by contrapositive) Assume M and A s.t. its advantage against:

if  $b_0$  then  $u_0$  else  $v_0 \sim$  if  $b_1$  then  $u_1$  else  $v_1$ 

is non-negligible. Let  $\mathcal{B}_{\top}$  be the distinguisher:

$$\mathcal{B}_{\top}(1^{\eta}, w_b, w, \rho_a) \stackrel{\text{def}}{=} \begin{cases} \mathcal{A}(1^{\eta}, w, \rho_a) & \text{if } w_b = 1\\ 0 & \text{otherwise} \end{cases}$$

 $\mathcal{B}_{\top}$  is trivially a PPTM. Moreover, for any  $i \in \{1, 2\}$ :

$$\begin{aligned} & \mathsf{Pr}_{\rho}\Big(\mathcal{B}_{\top}\big(1^{\eta}, \llbracket b_{i}\rrbracket_{\mathbb{M}}^{\eta,\rho}, \llbracket u_{i}\rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{a}\big) = 1\Big) \\ & = \quad \mathsf{Pr}_{\rho}\Big(\mathcal{A}(1^{\eta}, \llbracket t_{i}\rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{a}) = 1 \land \llbracket b_{i}\rrbracket_{\mathbb{M}}^{\eta,\rho} = 1\Big)\Big\} \, \pmb{p}_{\top,i} \end{aligned}$$

(†)

Hence the advantage of  $\mathcal{B}_{\top}$  against  $b_0, u_0 \sim b_1, u_1$  is  $|\mathbf{p}_{\top,1} - \mathbf{p}_{\top,0}|$ . Similarly, let  $\mathcal{B}_{\perp}$  be the distinguisher:

$$\mathcal{B}_{\perp}(1^{\eta}, w_b, w, \rho_a) \stackrel{\text{def}}{=} \begin{cases} \mathcal{A}(1^{\eta}, w, \rho_a) & \text{if } w_b \neq 1 \\ 0 & \text{otherwise} \end{cases}$$

By an identical reasoning, we get that the advantage of  $\mathcal{B}_{\perp}$  against  $b_0, v_0 \sim b_1, v_1$  is  $|\mathbf{p}_{\perp,1} - \mathbf{p}_{\perp,0}|$ , where  $\mathbf{p}_{\perp,i}$  is:

$$\mathsf{Pr}_{\rho}\Big(\mathcal{A}(1^{\eta},\llbracket t_{i} \rrbracket_{\mathbb{M}}^{\eta,\rho},\rho_{a}) = 1 \land \llbracket b_{i} \rrbracket_{\mathbb{M}}^{\eta,\rho} \neq 1\Big)$$

The advantage of  $\mathcal{A}$  against  $t_0 \sim t_1$  is, by partitioning and triangular inequality:

 $|(p_{ op,1}+p_{\perp,1})-(p_{ op,0}+p_{\perp,1})|\leq |p_{ op,1}-p_{ op,0}|+|p_{\perp,1}-p_{\perp,1}|$ 

Since  $\mathcal{A}$ 's advantage is non-negligible, at least one of the two quantity above is non-negligible. Hence either  $\mathcal{B}_{\top}$  or  $\mathcal{B}_{\perp}$  has a non-negligible advantage against a premise of the CS rule.

Remark that b is **necessary** in CS

$$\frac{\vec{w_1}, b_0, u_0 \sim \vec{w_1}, b_1, u_1}{\vec{w_0}, \text{if } b_0 \text{ then } u_0 \text{ else } v_0 \sim \vec{w_1}, \text{if } b_1 \text{ then } u_1 \text{ else } v_1} \text{ CS}$$

We have:

Why is the later formula not valid?

If  $\models$  ( $s \doteq t$ ) ~ true, then *s* and *t* are equal with overwhelming probability. Hence we can safely replace *s* by *t* in any context.

If  $\phi$  is a term of type bool, let  $[\phi] \stackrel{\text{def}}{=} \phi \sim$  true.  $\Rightarrow$  i.e.  $\phi$  is overwhelmingly true (equivalently,  $\neg \phi$  is negligible). Then the following rule is sound:

$$\frac{\vec{u}, t \sim \vec{v} \quad [s \doteq t]}{\vec{u}, s \sim \vec{v}} \ \mathbf{R}$$

## Structural Rules: Equality Reasoning

## Proof

First, for any model  $\mathbb{M}$ , we have:

 $\mathbb{M} \models [\phi]$  iff.  $\Pr_{\rho} \left( \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta, \rho} \right)$  is overwhelming.

• Left-to-right:

$$\begin{split} &\mathbb{M} \models [\phi] \\ &\Rightarrow \forall A \in \mathcal{D}. \left| \mathsf{Pr}_{\rho} \left( \mathcal{A}(1^{\eta}, \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta, \rho}, \rho_{a}) \right) - \mathsf{Pr}_{\rho} \left( \mathcal{A}(1^{\eta}, \llbracket \mathsf{true} \rrbracket_{\mathbb{M}}^{\eta, \rho}, \rho_{a}) \right) \right| \in \mathsf{negl}(\eta) \\ &\Rightarrow \left| \mathsf{Pr}_{\rho} \left( \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta, \rho} \right) - 1 \right) \right| \in \mathsf{negl}(\eta) \qquad (\mathsf{taking } \mathcal{A}(1^{\eta}, w, \rho_{a}) = w) \\ &\Rightarrow \left| \mathsf{Pr}_{\rho} \left( \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta, \rho} \right) \in \mathsf{o.w.}(\eta) \end{split}$$

• Right-to-left, assume  $\Pr_{\rho}\left(\llbracket \phi \rrbracket_{\mathbb{M}}^{\eta,\rho}\right) \in \text{o.w.}(\eta)$  and take  $\mathcal{A} \in \mathcal{D}$ :  $\left|\Pr_{\rho}\left(\mathcal{A}(1^{\eta}, \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{a})\right) - \Pr_{\rho}\left(\mathcal{A}(1^{\eta}, \llbracket \text{true} \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{a})\right)\right|$   $\leq \Pr_{\rho}\left(\neg \llbracket \phi \rrbracket_{\mathbb{M}}^{\eta,\rho}\right) \qquad (up-to-bad)$  $\in \operatorname{negl}(\eta)$ 

## Structural Rules: Equality Reasoning

This allows to conclude immediately since:

$$\begin{aligned} |\Pr(\mathcal{A}(\llbracket \vec{u}, t \rrbracket)) - \Pr(\mathcal{A}(\llbracket \vec{v} \rrbracket))| \\ \leq |\Pr(\mathcal{A}(\llbracket \vec{u}, s \rrbracket)) - \Pr(\mathcal{A}(\llbracket \vec{v} \rrbracket))| + \Pr(\llbracket s \rrbracket \neq \llbracket t \rrbracket) \qquad (\text{up-to-bad}) \end{aligned}$$

### Reminder: up-to-bad argument

If B, E, E' are events such that:

$$(E \wedge \neg B) \Leftrightarrow (E' \wedge \neg B), \qquad (\diamond)$$

then  $|\Pr(E) - \Pr(E')| \leq \Pr(B)$ .

Indeed, by triangular inequality and total probabilities:

 $|\Pr(E) - \Pr(E')| \le |\Pr(E \land B) - \Pr(E' \land B)| + |\Pr(E \land \neg B) - \Pr(E' \land \neg B)|$ 

We conclude by observing that:

• 
$$|\Pr(E \land \neg B) - \Pr(E' \land \neg B)| = 0$$
 by ( $\diamond$ );

•  $|\Pr(E \land B) - \Pr(E' \land B)| \le \max(\Pr(E \land B), \Pr(E' \land B)) \le \Pr(B).$ 

To prove  $\models [s \doteq t]$  (or more generally  $\models [\phi]$ ), we use the rule:  $\frac{\mathcal{A}_{\mathsf{th}} \vdash_{\mathsf{GEN}} \phi}{[\phi]} \text{ GEN}$ 

where  $\vdash_{GEN}$  is any **sound proof system** for generic mathematical reasoning (e.g. higher-order logic).

This allows exact (i.e. non-probabilistic) mathematical reasoning. We allow additional axioms using  $A_{th}$  (e.g. for if\_then\_else\_).

### Example

$$\mathcal{A}_{\mathsf{th}} \vdash_{\operatorname{GeN}} v \doteq w \rightarrow \begin{pmatrix} ext{if } u \doteq v ext{ then } u ext{ else } t & \doteq \\ ext{if } u \doteq v ext{ then } w ext{ else } t \end{pmatrix}$$

Two rules exploiting the independence of bitstring distributions:

$$\begin{aligned} \overline{[t \neq n]} &=\text{-IND} \\ \vec{u} \sim \vec{v} \\ \overline{\vec{u}, n_0 \sim \vec{v}, n_1} & \text{FRESH} \quad \text{when } n_0 \notin \mathsf{st}(\vec{u}) \text{ and } n_1 \notin \mathsf{st}(\vec{v}) \end{aligned}$$

#### Remark

To check that the rules side-conditions hold, we require that they do not contain free variables. Hence we actually have a countable, recursive, set of ground rules (i.e. rule schemata).

### Structural Rules: Probability Independence

We give the proof of the first rule:

 $\frac{1}{[t \neq n]} = -IND \quad \text{when } n \notin st(t)$ 

**Proof.** For any model  $\mathbb{M}$  (we omit it below):

$$\begin{aligned} & \mathsf{Pr}_{\rho}(\llbracket t \doteq \mathsf{n} \rrbracket^{\eta,\rho}) \\ &= \; \mathsf{Pr}_{\rho}(\llbracket t \rrbracket^{\eta,\rho} = \llbracket \mathsf{n} \rrbracket^{\eta,\rho}) \\ &= \; \sum_{w \in \{0,1\}^*} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket^{\eta,\rho} = w \land \llbracket \mathsf{n} \rrbracket^{\eta,\rho} = w) \\ &= \; \sum_{w \in \{0,1\}^*} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket^{\eta,\rho} = w) \cdot \mathsf{Pr}_{\rho}(\llbracket \mathsf{n} \rrbracket^{\eta,\rho} = w) \\ &= \; \frac{1}{2^{\eta}} \cdot \sum_{w \in \{0,1\}^{\eta}} \mathsf{Pr}_{\rho}(\llbracket t \rrbracket^{\eta,\rho} = w) \\ &= \; \frac{1}{2^{\eta}} \end{aligned}$$

#### Exercise

Give a **derivation** of the following formula:

 $n_0 \sim \text{if } b \text{ then } n_0 \text{ else } n_1 \quad (\text{when } n_0, n_1 \not\in \text{st}(b))$ 

# Implementation Rules

## A rule is *C*-sound if $\phi$ is *C*-valid whenever $\phi_1, \ldots, \phi_n$ are *C*-valid.

#### Example

$$\overline{[\pi_1\langle x\,,\,y\rangle \doteq x]}$$

is **not** sound, because we do not require anything on the interpretation of  $\pi_1$  and the pair.

Obviously, it is  $C_{\pi}$ -sound, where  $C_{\pi}$  is the set of model where  $\pi_1$  computes the first projection of the pair  $\langle \_, \_ \rangle$ .

The **general philosophy** of the CCSA approach is to make the minimum number of assumptions possible on the interpretations of function symbols in a model.

Any additional necessary assumption is added through rules, which restrict the set of model for which the formula holds (hence limit the scope of the final security result).

Typically, this is used for:

- **functional properties**, which must be satisfied by the protocol functions (e.g. the projection/pair rule).
- cryptographic hardness assumptions, which must be satisfied by the cryptographic primitives (e.g. IND-CCA).

## Example. Equational theories for protocol functions:

• 
$$\pi_i(\langle x_1, x_2 \rangle) = x_i$$
  $i \in \{1, 2\}$ 

• dec(
$$\{x\}_{pk(y)}^z$$
, sk( $y$ )) =  $x$ 

• 
$$(x \oplus y) \oplus z = x \oplus (y \oplus z)$$

• . . .

# Cryptographic Rules

Cryptographic reductions are the main tool used in proofs of computational security.

#### Cryptographic Reduction $\mathcal{S} \leq_{\mathsf{red}} \mathcal{H}$

If you can break the **cryptographic design** S, then you can break the **hardness assumption** H using roughly the same **time**.

- $\bullet\,$  We assume that  ${\cal H}$  cannot be broken in a reasonable time:
  - ► Low-level assumptions: D-Log, DDH, ...
  - ► Higher-level assumptions: IND-CCA, EUF-MAC, PRF, ...
- Hence, S cannot be broken in a reasonable time.

### Cryptographic Reduction $\mathcal{S} \leq_{\mathsf{red}} \mathcal{H}$

 ${\cal S}$  reduces to a hardness hypothesis  ${\cal H}$  (e.g. IND-CCA, DDH) if:

 $\forall \mathcal{A}. \exists \mathcal{B}. \mathsf{Adv}^{\eta}_{\mathcal{S}}(\mathcal{A}) \leq \mathsf{P}(\mathsf{Adv}^{\eta}_{\mathcal{H}}(\mathcal{B}), \eta)$ 

where  $\mathcal{A}$  and  $\mathcal{B}$  are taken among PPTMs and  $\mathcal{P}$  is a polynomial.

We are now going to give **rules** which capture some **cryptographic hardness hypotheses**.

The validity of these rules will be established through a **cryptographic reduction**.

- Asymmetric encryption: indistinguishability (IND-CCA<sub>1</sub>) and key-privacy (KP-CCA<sub>1</sub>);
- Hash function: collision-resistance (CR-HK);
- MAC: unforgeability (EUF-CMA);

# **Cryptographic Rules**

Asymmetric Encryption

An asymmetric encryption scheme contains:

- public and private key generation functions  $pk(_), sk(_);$
- randomized<sup>3</sup> encryption function  $\{\_\}$ -;
- a decryption function dec(\_, \_)

It must satisfies the functional equality:

 $\mathsf{dec}(\{x\}_{\mathsf{pk}(y)}^z,\mathsf{sk}(y))=x$ 

<sup>&</sup>lt;sup>3</sup>The role of the randomization will become clear later.

## IND-CCA<sub>1</sub> Security

An encryption scheme is indistinguishable against chosen cipher-text attacks (IND-CCA<sub>1</sub>) iff. for every PPTM  $\mathcal{A}$  with access to:

• a left-right oracle  $\mathcal{O}_{LR}^{b,n}(\cdot,\cdot)$ :

$$\mathcal{O}_{LR}^{\mathbf{b},n}(m_0,m_1) \stackrel{\text{def}}{=} \begin{cases} \{m_{\mathbf{b}}\}_{pk(n)}^r & \text{if } len(m_1) = len(m_2) \quad (r \text{ fresh}) \\ 0 & \text{otherwise} \end{cases}$$

• and a decryption oracle  $\mathcal{O}_{dec}^{n}(\cdot)$ ,

where  ${\cal A}$  can call  ${\cal O}_{LR}$  once, and cannot call  ${\cal O}_{dec}$  after  ${\cal O}_{LR},$  then:

$$\big| \mathsf{Pr}_{\mathsf{n}} \left( \mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{1}, n}, \mathcal{O}_{\mathsf{dec}}^{\mathsf{n}}} \left( 1^{\eta}, \mathsf{pk}(\mathsf{n}) \right) = 1 \right) - \left. \mathsf{Pr}_{\mathsf{n}} \left( \mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{0}, \mathsf{n}}, \mathcal{O}_{\mathsf{dec}}^{\mathsf{n}}} \left( 1^{\eta}, \mathsf{pk}(\mathsf{n}) \right) = 1 \right) \big|$$

is negligible in  $\eta$ , where n is drawn uniformly in  $\{0,1\}^{\eta}$ .

#### Exercise

Show that if the encryption **ignore its randomness**, i.e. there exists  $aenc(\_, \_)$  s.t. for all x, y, r:

$$\{x\}_{y}^{r} = \operatorname{aenc}(x, y)$$

then the encryption does not satisfy  $IND-CCA_1$ .

**Indistinguishability Against Chosen Ciphertexts Attacks** If the encryption scheme is IND-CCA<sub>1</sub>, then the *ground* rule:

$$\frac{\left[\mathsf{len}(t_0) \doteq \mathsf{len}(t_1)\right]}{\vec{u}, \{t_0\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \sim \vec{u}, \{t_1\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}}} \text{ IND-CCA}_1$$

is sound, when:

- r does not appear in  $\vec{u}, t_0, t_1$ , i.e.  $r \notin st(\vec{u}, t_0, t_1)$ ;
- n appears only in pk(·) or dec(\_, sk(·)) positions in u, t<sub>0</sub>, t<sub>1</sub>, which we write:

$$\mathsf{n} \sqsubseteq_{\mathsf{pk}(\cdot),\mathsf{dec}(\_,\mathsf{sk}(\cdot))} \vec{u}, t_0, t_1$$

#### **Definition:** Positions

We write  $pos(t) \in \{\epsilon\} \cup \mathbb{N} (\cdot \mathbb{N})^*$  the set of *positions* of t and  $t_{|p}$  the sub-term of t at position p.

#### Example

if  $t \equiv f(g(a, b), h(c))$  then  $pos(t) = \{\epsilon, 0, 1, 0 \cdot 0, 0 \cdot 1, 1, 1 \cdot 0\}$  and:  $t_{|\epsilon} \equiv t$   $t_{|0} \equiv g(a, b)$   $t_{|0.0} \equiv a$   $t_{|0.1} \equiv b$   $t_{|1} \equiv h(c)$  $t_{|1.0} \equiv c$ 

### Definition: CCA<sub>1</sub> Side-Condition

 $(n \sqsubseteq_{pk(\cdot),dec(\_,sk(\cdot))} u)$  iff. for any  $p \in pos(u)$ , if  $t_{|p} \equiv n$ , either:

• 
$$p = p_0 \cdot 0$$
 and  $t_{|p_0|} \equiv pk(n)$ ;

• or 
$$p = p_0 \cdot 1 \cdot 0$$
 and  $t_{|p_0} \equiv \operatorname{dec}(s, \operatorname{sk}(n))$ .

**Examples** (writing  $\sqsubseteq$  instead of  $\sqsubseteq_{pk(\cdot),dec(\_,sk(\cdot))}$ )

 $\begin{array}{ll} n \not\sqsubseteq n & n \sqsubseteq pk(pk(n)) & n \sqsubseteq dec(pk(n), sk(n)) \\ n \not\sqsubseteq dec(sk(n), sk(n)) & n \sqsubseteq t \text{ if } n \not\in st(t) \end{array}$ 

#### **Proof sketch**

Proof by contrapositive. Let  $\mathbb{M}$  be a model,  $\mathcal{A}$  an adversary and  $\vec{u}, t_0, t_1$  ground terms such that:

$$\begin{aligned} & \mathsf{Pr}_{\rho}(\mathcal{A}(1^{\eta}, \llbracket \vec{u} \rrbracket_{\mathbb{M}}^{\eta,\rho}, \llbracket \{t_{0}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{\mathsf{a}}) \\ & - \mathsf{Pr}_{\rho}(\mathcal{A}(1^{\eta}, \llbracket \vec{u} \rrbracket_{\mathbb{M}}^{\eta,\rho}, \llbracket \{t_{1}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathbb{M}}^{\eta,\rho}, \rho_{\mathsf{a}}) \end{aligned}$$

is not negligible, and  $\mathbb{M} \models [\operatorname{len}(t_0) \doteq \operatorname{len}(t_1)].$ 

We must build a PPTM  $\mathcal{B}$  s.t.  $\mathcal{B}$  wins the IND-CCA<sub>1</sub> security game.

## IND-CCA<sub>1</sub> Rule: Proof

Let  $\mathcal{B}^{\mathcal{O}_{L_{R}}^{b,n},\mathcal{O}_{dec}^{n}}(1^{\eta}, \llbracket pk(n) \rrbracket_{\mathbb{M}}^{\eta,\rho})$  be the following program:

i) lazily<sup>4</sup> samples the random tapes  $(\rho_{a}, \rho_{h}')$  where:

$$\rho_{\mathsf{h}}' := \rho_{\mathsf{h}}[\mathsf{n} \mapsto \mathsf{0}, \mathsf{r} \mapsto \mathsf{0}]$$

ii) compute<sup>5</sup>:

$$w_{\vec{u}}, w_{t_0}, w_{t_1} := \llbracket \vec{u}, t_0, t_1 \rrbracket_{\mathbb{M}}^{\eta, \mu}$$

using  $(\rho_{\mathsf{a}}, \rho_{\mathsf{h}}')$ ,  $\llbracket \mathsf{pk}(\mathsf{n}) \rrbracket_{\mathbb{M}}^{\eta, \rho}$  and calls to  $\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}}$ .

- iii) return 0 if  $len(t_0) \neq len(t_1)$ .
- iii) otherwise, compute:

$$w_{lr} := \mathcal{O}_{\mathsf{LR}}^{\mathbf{b},\mathsf{n}}(w_{t_{\mathbf{0}}}, w_{t_{\mathbf{1}}}) = \llbracket \{t_{\mathbf{b}}\}_{\mathsf{pk}(\mathsf{n})}^{\mathsf{r}} \rrbracket_{\mathbb{M}}^{\eta,\rho}$$

iv) return  $\mathcal{A}(1^{\eta}, w_{\vec{u}}, w_{lr}, \rho_{a})$ .

<sup>4</sup>Why do we need this? <sup>5</sup>We describe how later. Then:

$$\begin{aligned} \mathsf{Adv}(\mathcal{A}) &\leq \mathsf{Adv}(\mathcal{A} \land \mathsf{len}(t_0) \doteq \mathsf{len}(t_1)) + \mathsf{Pr}(\mathsf{len}(t_0) \neq \mathsf{len}(t_1)) & (\mathsf{up-to-bad}) \\ &= \mathsf{Adv}(\mathcal{B} \land \mathsf{len}(t_0) \doteq \mathsf{len}(t_1)) + \mathsf{Pr}(\mathsf{len}(t_0) \neq \mathsf{len}(t_1)) \\ &= \mathsf{Adv}(\mathcal{B}) + \mathsf{Pr}(\mathsf{len}(t_0) \neq \mathsf{len}(t_1)) \end{aligned}$$

Hence  $\mathcal{B}$ 's advantage against IND-CCA<sub>1</sub> is at least  $\mathcal{A}$ 's advantage against:

$$\vec{u}, \{t_0\}_{pk(n)}^r \sim \vec{u}, \{t_1\}_{pk(n)}^r$$
 (†)

up-to a negligible quantity (the probability that  $len(t_0) \neq len(t_1)$ ). Since (†) is assumed non-negligible, so is  $\mathcal{B}$ 's advantage. It only remains to explain how to do step *ii*) in polynomial time. We prove by **structural induction** that for any subterm *s* of  $\vec{u}$ ,  $t_0$ ,  $t_1$ :

- - either s is a forbidden subterm n or sk(n);
  - or  $\mathcal{B}$  can compute  $w_s := \llbracket s \rrbracket_{\mathbb{M}}^{\eta,\rho}$  in polynomial time.

Assuming this holds, we conclude by observing that IND-CCA<sub>1</sub> side conditions guarantees that  $\vec{u}, t_0, t_1$  are not forbidden subterms.

Induction. We are in one of the following cases:

- $s \in \mathcal{X}$  is not possible, since  $\vec{u}, t_0, t_1$  are ground.
- $s \in \{r, n\}$  are forbidden, hence the induction hypothesis holds.
- $s \in \mathcal{N} \setminus \{r, n\}$ , then  $\mathcal{B}$  computes s directly from  $\rho'_h = \rho_h[n \mapsto 0, r \mapsto 0]$ .
- $s \equiv f(t_1, \ldots, t_n)$  and  $t_1, \ldots, t_n$  are not forbidden. Then, by induction hypothesis,  $\mathcal{B}$  can compute  $w_i := [t_i]_{\mathbb{M}}^{\eta, \rho}$  for any  $1 \leq i \leq n$ . Then  $\mathcal{B}$  simply computes:

$$w_s := \begin{cases} (f)_{\mathbb{M}}(1^{\eta}, w_1, \dots, w_n) & \text{ if } f \in \mathcal{F} \\ (f)_{\mathbb{M}}(1^{\eta}, w_1, \dots, w_n, \rho_a) & \text{ if } f \in \mathcal{G} \end{cases}$$

case disjunction (continued):

s ≡ f(t<sub>1</sub>,..., t<sub>n</sub>) and at least one of the t<sub>i</sub> is forbidden.
 Using IND-CCA<sub>1</sub> side conditions, either s is either pk(n) or dec(m, sk(n)).
 The first case is immediate since B receives [[pk(n)]]<sup>η,ρ</sup> as argument.
 For the second case, from IND-CCA<sub>1</sub> side conditions, we know that m ≠ n and m ≠ sk(n). Hence, by induction hypothesis, B can compute w<sub>m</sub> = [[m]]<sup>η,ρ</sup><sub>M</sub>. We conclude using:

$$w_s := \mathcal{O}_{dec}^n(w_m)$$

## Exercise

Which of the following formulas can be proven using  $IND-CCA_1$ ?

$$\begin{split} \mathsf{pk}(n), \{0\}^{r}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{1\}^{r}_{\mathsf{pk}(n)} \\ \mathsf{pk}(n), \{0\}^{r}_{\mathsf{pk}(n)}, \{0\}^{r_{0}}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{1\}^{r}_{\mathsf{pk}(n)}, \{0\}^{r_{0}}_{\mathsf{pk}(n)} \\ \mathsf{pk}(n), \{0\}^{r}_{\mathsf{pk}(n)}, \{0\}^{r}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{0\}^{r}_{\mathsf{pk}(n)}, \{1\}^{r}_{\mathsf{pk}(n)} \\ \mathsf{pk}(n), \{0\}^{r}_{\mathsf{pk}(n)} &\sim \mathsf{pk}(n), \{\mathsf{sk}(n)\}^{r}_{\mathsf{pk}(n)} \end{split}$$

#### Exercise (Hybrid Argument)

Prove the following formula using  $IND-CCA_1$ :

$$\{0\}_{pk(n)}^{r_0}, \{1\}_{pk(n)}^{r_1}, \dots, \{n\}_{pk(n)}^{r_n} \sim \{0\}_{pk(n)}^{r_0}, \{0\}_{pk(n)}^{r_1}, \dots, \{0\}_{pk(n)}^{r_n}$$

**Note:** we assume that all plain-texts above have the same length (e.g. they are all represented over L bits, for L large enough)

## KP-CCA<sub>1</sub> Security

A scheme provides key privacy against chosen cipher-text attacks (KP-CCA<sub>1</sub>) iff for every PPTM A with access to:

• a left-right encryption oracle  $\mathcal{O}_{LR}^{b,n_0,n_1}(\cdot)$ :

$$\mathcal{O}_{\mathsf{LR}}^{b,\mathsf{n}_0,\mathsf{n}_1}(m) \stackrel{\text{def}}{=} \{m\}_{\mathsf{pk}(\mathsf{n}_b)}^r \qquad (r \text{ fresh})$$

- and two decryption oracles  $\mathcal{O}_{dec}^{n_0}(\cdot)$  and  $\mathcal{O}_{dec}^{n_1}(\cdot),$ 

where  ${\cal A}$  can call  ${\cal O}_{LR}$  once, and cannot call the decryption oracles after  ${\cal O}_{LR},$  then:

$$\begin{split} & \mathsf{Pr}_{\mathsf{n}_0,\mathsf{n}_1}\big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{1},\mathsf{n}_0,\mathsf{n}_1},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_0},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_1}}(1^\eta,\mathsf{pk}(\mathsf{n}_0),\mathsf{pk}(\mathsf{n}_1))=1\big) \\ & -\mathsf{Pr}_{\mathsf{n}_0,\mathsf{n}_1}\big(\mathcal{A}^{\mathcal{O}_{\mathsf{LR}}^{\mathbf{0},\mathsf{n}_1},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_0},\mathcal{O}_{\mathsf{dec}}^{\mathsf{n}_1}}(1^\eta,\mathsf{pk}(\mathsf{n}_0),\mathsf{pk}(\mathsf{n}_1))=1\big) \end{split}$$

is negligible in  $\eta$ , where  $n_0, n_1$  are drawn in  $\{0, 1\}^{\eta}$ .

#### Exercise

Show that IND-CCA<sub>1</sub>  $\Rightarrow$  KP-CCA<sub>1</sub> and KP-CCA<sub>1</sub>  $\Rightarrow$  IND-CCA<sub>1</sub>.

## **Key Privacy Against Chosen Ciphertexts Attacks** If the encryption scheme is KP-CCA<sub>1</sub>, then the *ground* rule:

$$\overline{\vec{u}, \{t\}_{\mathsf{pk}(\mathsf{n}_0)}^{\mathsf{r}} \sim \vec{u}, \{t\}_{\mathsf{pk}(\mathsf{n}_1)}^{\mathsf{r}}} \quad \text{KP-CCA}_1$$

is sound, when:

- r does not appear in  $\vec{u}, t$ ;
- $n_0, n_1$  appear only in  $pk(\cdot)$  or dec(\_, sk( $\cdot$ )) positions in  $\vec{u}, t$ .

The proof is similar to the  $IND-CCA_1$  soundness proof. We omit it.

[1] G. Bana and H. Comon-Lundh.

A computationally complete symbolic attacker for equivalence properties.

In CCS, pages 609-620. ACM, 2014.