

# MPRI 2.30: Proofs of Security Protocols

## 2. A Higher-Order Logic for Mechanization

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## Limitations of the framework:

- No **built-in** support for an **arbitrary number of sessions**.  
*We use an ambient-level induction*
- No **systematic** and **user-friendly** encoding of protocols.  
*We manually defined  $out@_\tau$ ,  $in@_\tau$ , etc at ambient level.*
- More generally, large part of the reasoning done in the **ambient logic**. E.g. the logic lacks a **temporal component**.

All the above are **obstacles** to **mechanizing** the logic.

## Solution

A **higher-order indistinguishability logic**:

- Supports **induction** at the logical level.
- User-defined **mutually-recursive probabilistic** procedures: **execution model** (i.e. **out**@ $\tau$ , **in**@ $\tau$ , etc) can be internalized
- **Temporal reasoning** can be done easily.
- **Bonus**: Support **generic higher-order** reasonings.

⇒ suitable for **mechanized interactive** proofs.

# A Higher-Order Indistinguishability Logic

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# HO Indistinguishability Logic: Types

We assume a set  $\mathbb{B}$  of **base-types** (e.g. `bool`, `message`).

**Types** are defined by

$$\tau := \tau_b \mid \tau \rightarrow \tau \quad (\tau_b \in \mathbb{B})$$

The **interpretation**  $\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}$  of a type  $\tau$  w.r.t. a **model**  $\mathbb{M}$  and  $\eta \in \mathbb{N}$ :

$$\llbracket \tau_b \rrbracket_{\mathbb{M}}^{\eta} \stackrel{\text{def}}{=} \mathbb{M}_{\tau_b}(\eta) \quad \llbracket \tau_1 \rightarrow \tau_2 \rrbracket_{\mathbb{M}}^{\eta} \stackrel{\text{def}}{=} \llbracket \tau_1 \rrbracket_{\mathbb{M}}^{\eta} \rightarrow \llbracket \tau_2 \rrbracket_{\mathbb{M}}^{\eta}$$

## Details

- $\mathbb{M}$  must interpret all base-types as **non-empty sets**.
- there must exist an injection from  $\mathbb{M}_{\tau_b}(\eta)$  to **bit-strings**.  
(used later to send such values to the adversary)
- **built-in** types interpretations are fixed.

**Example:**  $\llbracket \text{bool} \rrbracket_{\mathbb{M}}^{\eta} = \{0, 1\}$  for every  $\eta$

# HO Indistinguishability Logic: Variables

We assume a set  $x$  of **variables**  $\mathcal{X}$  and

- $\mathcal{N} \subseteq \mathcal{X}$  a set of **names**, for random samplings.

A name  $n \in \mathcal{N}$  type must be  $\tau_0 \rightarrow \tau_1$  with  $\tau_0$  **finite**.

(see typing rules next)

- $\mathcal{F}_{\text{built-ins}} \subseteq \mathcal{X}$  a set of **built-ins**, which are variables with a **restricted interpretations** (e.g.  $\dot{\rightarrow}$ ,  $\dot{\wedge}$ , **att**).

(see semantics next)

💡 *Using variables for everything allows a more unified treatment.*

# HO Indistinguishability Logic: Terms

**Terms** are defined by:

$$t := x \mid (t \ t) \mid \lambda(x : \tau).t \mid \forall(x : \tau).t \mid \text{if } t \text{ then } t \text{ else } t \quad (x \in \mathcal{X})$$

(as usual, terms are taken modulo  $\alpha$ -renaming)

Terms are taken in an **environment**  $\mathcal{E}$ :

$$\mathcal{E} := \emptyset \mid (x : \tau); \mathcal{E} \mid (x : \tau = t); \mathcal{E}$$

(declaration)      (definition)

(we require that environments do not bind the same variable twice)

We require that **terms** and **environments** are **well-typed**. We write  $\mathcal{E}(x)$  the type of  $x$ .

# A Higher-Order Indistinguishability Logic: Term Typing

## Term typing judgements

$$\begin{array}{c} \text{TY.DECL} \\ \hline \mathcal{E} \vdash x : \mathcal{E}(x) \end{array} \quad \begin{array}{c} \text{TY.IF} \\ \mathcal{E} \vdash t : \text{bool} \\ \hline \mathcal{E} \vdash t_i : \tau, i \in \{1, 2\} \\ \hline \mathcal{E} \vdash \text{if } t \text{ then } t_1 \text{ else } t_2 : \tau \end{array} \quad \begin{array}{c} \text{TY.FUN-APP} \\ \mathcal{E} \vdash t_1 : \tau_0 \rightarrow \tau_1 \\ \mathcal{E} \vdash t_2 : \tau_0 \\ \hline \mathcal{E} \vdash t_1 t_2 : \tau_1 \end{array}$$

$$\begin{array}{c} \text{TY.LAMBDA} \\ \mathcal{E}, x : \tau_0 \vdash t : \tau_1 \\ \hline \mathcal{E} \vdash \lambda(x : \tau_0). t : \tau_0 \rightarrow \tau_1 \end{array} \quad \begin{array}{c} \text{TY.FORALL} \\ \mathcal{E}, x : \tau \vdash t : \text{bool} \\ \hline \mathcal{E} \vdash \forall(x : \tau). t : \text{bool} \end{array}$$

## Environment typing

$$\begin{array}{c} \text{TY-ENV.}\epsilon \\ \hline \vdash \epsilon \end{array} \quad \begin{array}{c} \text{TY-ENV.DECL} \\ \vdash \mathcal{E} \\ \hline \vdash \mathcal{E}, (x : \tau) \end{array} \quad \begin{array}{c} \text{TY-ENV.DEF} \\ \vdash \mathcal{E} \quad \mathcal{E} \vdash t : \tau \\ x \notin (\mathcal{N} \cup \mathcal{F}_{\text{built-ins}}) \\ \hline \vdash \mathcal{E}, (x : \tau = t) \end{array}$$

💡 Names and built-ins symbols can only be declared.



# HO Indistinguishability Logic: Probability Space

Terms are **interpreted** as  $\eta$ -indexed families of **random variables**.

- **probability space**: the set  $\mathbb{T}_{\mathbb{M},\eta} = \mathbb{T}_{\mathbb{M},\eta}^a \times \mathbb{T}_{\mathbb{M},\eta}^h$ , where  $\mathbb{T}_{\mathbb{M},\eta}^a$  and  $\mathbb{T}_{\mathbb{M},\eta}^h$  are **finite** same-length set of bit-strings. We equip it with the **uniform** probability measure. ( $\mathbb{T}_{\mathbb{M},\eta}^a$  for the adversary,  $\mathbb{T}_{\mathbb{M},\eta}^h$  for honest functions)

# HO Indistinguishability Logic: Term Semantics

A model  $\mathbb{M}$  w.r.t.  $\mathcal{E}$  (written  $\mathbb{M} : \mathcal{E}$ ) interprets any **declaration**  $(x : \tau) \in \mathcal{E}$  as a family  $(X_\eta)_{\eta \in \mathbb{N}}$  of functions  $X_\eta : \mathbb{T}_{\mathbb{M}, \eta} \rightarrow \llbracket \tau \rrbracket_{\mathbb{M}}^\eta$ , which we write  $(\mathbb{M}(x)(\eta))_{\eta \in \mathbb{N}}$ , with some **restrictions**:

- **names** are PTIME-computable (in  $\eta$ ) **random samplings** using random in  $\mathbb{T}_{\mathbb{M}, \eta}^h$  (details later).
- **built-ins** in  $\mathcal{F}_{\text{built-ins}}$  must be PTIME-computable *deterministic* (honest functions) or *adversarial* (random in  $\mathbb{T}_{\mathbb{M}, \eta}^a$ ) functions.

# HO Indistinguishability Logic: Term Semantics

The **semantics**  $\llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho}$  of  $t$  w.r.t.  $\mathbb{M}$  and  $\eta \in \mathbb{N}$  is a value in  $\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}$ :

$$\llbracket x \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} \mathbb{M}(x)(\eta)(\rho) \quad (\text{decl.}, (x : \tau) \in \mathcal{E})$$

$$\llbracket x \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} \llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \quad (\text{def.}, (x : \tau = t) \in \mathcal{E})$$

$$\llbracket t \ t' \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} \llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} (\llbracket t' \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho})$$

$$\llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} \llbracket t_i \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \text{ if } \llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} = i$$

$$\llbracket \lambda(x : \tau). t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} (a \in \llbracket \tau \rrbracket_{\mathbb{M}}^{\eta} \mapsto \llbracket t \rrbracket_{\mathbb{M}[x \mapsto 1_a^{\eta}]:(\mathcal{E}, x:\tau)}^{\eta,\rho})$$

$$\llbracket \dot{\forall}(x : \tau). t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} \stackrel{\text{def}}{=} 1 \quad \text{iff.} \quad \llbracket t \rrbracket_{\mathbb{M}[x \mapsto 1_a^{\eta}]:(\mathcal{E}, x:\tau)}^{\eta,\rho} = 1 \text{ for any } a \in \llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}$$

where  $1_a^{\eta}$  is the indexed family of functions such that:

- $1_a^{\eta}(\eta)(\rho) = a$  for all  $\rho \in \mathbb{T}_{\mathbb{M},\eta}$ ;
- $1_a^{\eta}(\eta')(\rho')$  is some arbitrary value in  $\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta'}$  for any  $\eta' \neq \eta$ .

# HO Indistinguishability Logic: Name Semantics

A name  $n \in \mathcal{N}$  interpretation must be such that

$$\llbracket n \ t \rrbracket_{\mathbb{M}; \mathcal{E}}^{\eta, (\rho_a, \rho_h)} = \llbracket n \rrbracket_{\mathbb{M}}(\eta, \llbracket t \rrbracket_{\mathbb{M}; \mathcal{E}}^{\eta, \rho})(\rho_h)$$

where  $\llbracket n \rrbracket_{\mathbb{M}}$  is a PTIME in  $\eta$ .

Moreover,  $\rho_h \mapsto \llbracket n_0 \rrbracket_{\mathbb{M}}(\eta, a)(\rho_h)$  and  $\rho_h \mapsto \llbracket n_1 \rrbracket_{\mathbb{M}}(\eta, a')(\rho_h)$

- are **independent random samplings** when  $(n_0, a) \neq (n_1, a')$ .  
They must extract  $\neq$  random bits from  $\rho_h$ .
- have the same **distribution** when  $n_0$  and  $n_1$  have the same output type (i.e.  $\mathcal{E}(n_0) = \_ \rightarrow \tau$  and  $\mathcal{E}(n_1) = \_ \rightarrow \tau$ ).

## Remark

- $\mathcal{E}$  contains a **finite** number of names.
  - names have type  $\tau_0 \rightarrow \tau_1$  where  $\tau_0$  is **finite**.
  - $\llbracket n \rrbracket_{\mathbb{M}}$  uses a **finite** number of bits from  $\rho_h$  (since PTIME in  $\eta$ ).
- ⇒ compatible with requirement that  $\mathbb{T}_{\mathbb{M},\eta}^h$  is a set of **finite** tapes.

## Notations

- **Satisfiability:** when  $\mathcal{E} \vdash \phi : \mathbf{bool}$ , we write  $\mathbb{M} : \mathcal{E} \models \phi$  if

$$\Pr_{\rho}(\llbracket \phi \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} = 1) \in \text{o.w.}(\eta)^1$$

- We write  $\mathbb{M} : \mathcal{E} \models \mathbb{S}$  if  $\mathbb{M} : \mathcal{E} \models \phi$  for every  $\phi \in \mathbb{S}$ .  
*Remark:  $\mathbb{S}$  can be infinite.*
- **Validity:**  $\mathcal{E} \models \phi$  if  $\mathbb{M} : \mathcal{E} \models \phi$  for every  $\mathbb{M} : \mathcal{E}$ .

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<sup>1</sup> $f \in \text{o.w.}(\eta)$  iff.  $(1 - f) \in \text{negl}(\eta)$

# HO Indistinguishability Logic: Term Semantics

## Summary:

A model  $\mathbb{M}$  for  $\mathcal{E}$  comprises

- The **interpretation domains** of base types  $\mathbb{B}$ .  
⇒ yields a type semantics  $[\cdot]_{\mathbb{M}}^{\eta}$ .
- The **probability space**  $\mathbb{T}_{\mathbb{M},\eta} = \mathbb{T}_{\mathbb{M},\eta}^a \times \mathbb{T}_{\mathbb{M},\eta}^h$ .
- The **interpretations** of **declared** variables of  $\mathcal{E}$ .  
**Defined** variables are interpreted by their **definitions**.  
⇒ yields a term semantics  $[\cdot]_{\mathbb{M}:\mathcal{E}}^{\eta,\rho}$ .

## Remarks

We restrict possible models in several ways (more to come):

- **finiteness** required of some types (e.g. to index names).
- **constraints** on **name** and **built-ins** interpretations.
- ...

## Key ingredients:

- terms are interpreted as arbitrary **random variables**, not necessarily PPTMs.
  - ⇒ support **probabilistic user-defined** functions (e.g.  $\text{in}@_{\mathcal{T}}$ ).
  - ⇒ support **uncomputable** functions.
  - ⇒ support **quantifiers**  $\dot{\forall}, \dot{\exists}$  over **arbitrary types**.
- the **probability space** is **finite**.
  - ⇒ ensures that  $(\rho \mapsto \llbracket t \rrbracket_{\mathcal{M}, \mathcal{E}}^{\eta, \rho})$  is a **random variable**.
  - 💡 *indeed, any function  $X : \mathbb{S}_1 \mapsto \mathbb{S}_2$  (where  $\mathbb{S}_1$  is a **finite probability space** and  $\mathbb{S}_2$  is a **measurable space**) is a **measurable function**.*



# Encoding Protocols

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# HO Indistinguishability Logic: Recursive Definitions

We first extend the HO logic to allow **recursive definitions**.

Any type  $\tau$  and order  $< \in \mathcal{F}_{\text{built-ins}}$  with type  $\tau \rightarrow \tau \rightarrow \text{bool}$  can be tagged as  $\text{wf}(\tau, <)$ .

$\Rightarrow$  only consider models s.t.  $(\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}, \llbracket < \rrbracket_{\mathbb{M}, \mathcal{E}}^{\eta})$  is **well-founded**.

We allow well-founded **recursion** over such types.

## Details

- we assume a *fixed* set of **type tags**  $\mathbb{S}_{\text{wf}}$ .
- we assume a *fixed* set  $\mathbb{S}_{\text{ax}}$  of terms of type **bool** (**axioms**).
- we require that any model  $\mathbb{M}$  is such that  $\mathbb{M} \models \mathbb{S}_{\text{ax}}$  and

$(\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}, \llbracket < \rrbracket_{\mathbb{M}, \mathcal{E}}^{\eta})$  is **well-founded**    (for any  $\text{wf}(\tau, <) \in \mathbb{S}_{\text{wf}}$ )

# HO Indistinguishability Logic: Recursive Definitions

We add a **typing rule** for recursive definitions:

TY-ENV.REC-DEF

$$\frac{\vdash \mathcal{E} \quad \mathcal{E}, x : \tau \vdash t : \tau \quad \text{wf}_{\tau, <}^{x, y}(t) \quad x \notin \mathcal{N} \cup \mathcal{F}_{\text{built-ins}}}{\vdash \mathcal{E}, (x : \tau = \lambda y. t)}$$

where  $\text{wf}_{\tau, <}^{x, y}(t)$  is any **syntactic condition** which checks that

- $x$  is used in  $\eta$ -long form in  $t$ .
- recursive calls to  $x$  are **well-founded**, i.e. on arguments  $t_0$  smaller than  $y$ :

$$\mathcal{E} \models (\forall \vec{\alpha}. \phi \rightarrow t_0 < y) \quad \text{for any } (\vec{\alpha}, \phi, x t_0) \in \mathcal{ST}(t)$$

where  $\mathcal{ST}_{\mathcal{E}}^{\text{rec}}(t)$  are the **conditioned subterms** of  $t$  (see next slide).

## Example

$l = \lambda(i : \text{int}). \text{if } i \doteq 0 \text{ then empty else } \langle n \ i, l \ (\text{pred } i) \rangle$

with  $\text{wf}(\text{int}, <)$  and the axiom  $\forall(i : \text{int}). i \neq 0 \rightarrow \text{pred } i < i$ .

# HO Indistinguishability Logic: Conditioned Subterms

We let  $\mathcal{ST}(t)$  be the **subterms** of  $t$ , decorated the (typed) **bound variables** and the **conditions** holding at each position.

$$\mathcal{ST}(t) \stackrel{\text{def}}{=} \{(\epsilon, \text{true}, t)\} \cup \begin{cases} \emptyset & \text{if } t = x \in \mathcal{X} \\ (x : \tau). \mathcal{ST}(t_0) & \text{if } t = Q(x : \tau).t_0, Q \in \{\lambda, \dot{\forall}\} \\ \mathcal{ST}(\phi) \cup [\phi]\mathcal{ST}(t_1) \cup [\neg\phi]\mathcal{ST}(t_0) & \text{if } t = \text{if } \phi \text{ then } t_1 \text{ else } t_0 \\ \mathcal{ST}(t_0) \cup \mathcal{ST}(t_1) & \text{if } t = (t_0 \ t_1) \end{cases}$$

where  $x$  is taken fresh in the  $\lambda$  and  $\dot{\forall}$  cases, and where

$$\begin{aligned} [\phi]S &\stackrel{\text{def}}{=} \{(\vec{\alpha}, \psi \wedge \phi, t) \mid (\vec{\alpha}, \psi, t) \in S\} \\ (x : \tau).S &\stackrel{\text{def}}{=} \{((\vec{\alpha}, x : \tau), \psi, t) \mid (\vec{\alpha}, \psi, t) \in S\} \end{aligned}$$

## Example

$$\begin{aligned} ST(\langle x, \lambda(x_0, x_1 : \tau). \text{if } x_0 < x_1 \text{ then } x_0 \text{ else } x_1 \rangle) = & \\ & \{(\epsilon, \text{true}, \langle x, \lambda(x_0, x_1 : \tau). \text{if } x_0 < x_1 \text{ then } x_0 \text{ else } x_1 \rangle)\} \\ \cup & \{(\epsilon, \text{true}, x), (\epsilon, \text{true}, \lambda(x_0, x_1 : \tau). \text{if } x_0 < x_1 \text{ then } x_0 \text{ else } x_1)\} \\ \cup & \{(x_0, \text{true}, \lambda(x_1 : \tau). \text{if } x_0 < x_1 \text{ then } x_0 \text{ else } x_1)\} \\ \cup & \{((x_0, x_1), \text{true}, \text{if } x_0 < x_1 \text{ then } x_0 \text{ else } x_1)\} \\ \cup & \{((x_0, x_1), \text{true}, x_0 < x_1)\} \\ \cup & \{((x_0, x_1), \text{true} \wedge x_0 < x_1, x_0)\} \\ \cup & \{((x_0, x_1), \text{true} \wedge \neg(x_0 < x_1), x_1)\} \end{aligned}$$

## Example: encoding of Basic Hash

$$\begin{aligned} \text{in}@t &= \text{match } t \text{ with } \text{init} \rightarrow d \\ & \quad | \_ \rightarrow \text{att}(\text{frame}@_{\text{pred}} t) \end{aligned}$$
$$\begin{aligned} \text{out}@t &= \text{match } t \text{ with } \text{init} \rightarrow d \\ & \quad | T(A, i) \rightarrow \langle n(A, i), h(\langle \text{in}@t, n(A, i) \rangle), k A \rangle \\ & \quad | R_j \rightarrow \dots \end{aligned}$$
$$\begin{aligned} \text{frame}@t &= \text{match } t \text{ with } \text{init} \rightarrow d \\ & \quad | \_ \rightarrow \langle \text{frame}@_{\text{pred}} t, \text{out}@t \rangle \end{aligned}$$

# Formulas

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# HO Indistinguishability Logic: Formulas

Formulas do not change, except that we use **higher-order terms**.

$$\begin{aligned} \Phi &:= \top \mid \perp \\ & \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \Phi \rightarrow \Phi \mid \neg \Phi \\ & \mid \forall(x : \tau). \Phi \mid \exists(x : \tau). \Phi \quad (x \in \mathcal{X}) \\ & \mid t_1, \dots, t_n \sim_n t_{n+1}, \dots, t_{2n} \quad (t_1, \dots, t_{2n} \text{ higher-order terms}) \end{aligned}$$



# HO Indistinguishability Logic: Formula Semantics

**Standard FO semantics** with  $\eta$ -indexed sequences of random variables interpretation domains.

$\llbracket \Phi \rrbracket_{\mathcal{M}:\mathcal{E}} \in \{0, 1\}$  is as expected for **boolean connective** and **FO quantifiers**. E.g.:

$$\llbracket \top \rrbracket_{\mathcal{M}:\mathcal{E}} \stackrel{\text{def}}{=} 1 \quad \llbracket \Phi \wedge \Psi \rrbracket_{\mathcal{M}:\mathcal{E}} \stackrel{\text{def}}{=} \llbracket \Phi \rrbracket_{\mathcal{M}}^{\sigma} \text{ and } \llbracket \Psi \rrbracket_{\mathcal{M}}^{\sigma}$$

$$\llbracket \neg \Phi \rrbracket_{\mathcal{M}:\mathcal{E}} \stackrel{\text{def}}{=} \text{not } \llbracket \Phi \rrbracket_{\mathcal{M}}^{\sigma}$$

$$\llbracket \forall (x : \tau). \Phi \rrbracket_{\mathcal{M}:\mathcal{E}} \stackrel{\text{def}}{=} 1 \quad \text{if } \forall A \in \left( \llbracket \tau \rrbracket_{\mathcal{M}}^{\eta} \right)_{\eta \in \mathbb{N}}, \llbracket \Phi \rrbracket_{\mathcal{M}[x \mapsto A]:(\mathcal{E}, x:\tau)} = 1$$

# HO Indistinguishability Logic: Formula Semantics

$\sim$  is still interpreted as **computational indistinguishability**.

$\llbracket \vec{t}_1 \sim \vec{t}_2 \rrbracket_{\mathbb{M}:\mathcal{E}} = 1$  iff.  $\forall$  PPTM  $\mathcal{A}$ ,  $\text{Adv}_{\mathbb{M}:\mathcal{E}}^{\eta}(\mathcal{A} : \vec{t}_1 \sim \vec{t}_2)$  is negligible.

## Execution Model

- Values in  $\llbracket \tau_b \rrbracket_{\mathbb{M}}^{\eta}$  are **encoded as bitstrings** and sent to  $\mathcal{A}$ .
- **Higher-order terms** given to  $\mathcal{A}$  are **oracles**, which  $\mathcal{A}$  can **query** on any input it can compute, any number of times.  
*Remark: queries can yield more oracles, which  $\mathcal{A}$  can in turn query (e.g. for type  $\tau_0 \rightarrow (\tau_1 \rightarrow \tau_2)$ ).*
- We require that terms in  $\vec{t}_1$  and  $\vec{t}_2$  have types  $\tau_b^0 \rightarrow \dots \rightarrow \tau_b^n$  (i.e. no higher-order arguments).

# HO Indistinguishability Logic: Proof System

Our **rules** still apply, though with **minor adaptations**.

**Example:** function application splits into two rules

$$\begin{array}{c} \text{FA-APP} \\ \frac{\vec{u}_1, t_1, t'_1 \sim \vec{u}_2, t_2, t'_2}{\vec{u}_1, t_1 \ t'_1 \sim \vec{u}_2, t_2 \ t'_2} \end{array} \qquad \begin{array}{c} \text{FA-CONST} \\ \frac{\vec{u}_1 \sim \vec{u}_2}{\vec{u}_1, f \sim \vec{u}_2, f} \end{array} \quad (\text{where } f \in \mathcal{F}_{\text{built-ins}})$$

Moreover, **FA-APP** can be extended to apply under a  $\lambda$ :

$$\begin{array}{c} \text{FA-APP}_\lambda \\ \frac{\vec{u}_1, (\lambda(x : \tau). t_1), (\lambda(x : \tau). t'_1) \\ \sim \vec{u}_2, (\lambda(x : \tau). t_2), (\lambda(x : \tau). t'_2)}{\vec{u}_1, \lambda(x : \tau). (t_1 \ t'_1) \sim \vec{u}_2, \lambda(x : \tau). (t_2 \ t'_2)} \end{array}$$

**Remark:** soundness proof requires to **simulate the oracles**.

# HO Indistinguishability Logic: Formula and Term Quantifiers

We have two kind of **quantifiers**: **term**  $\dot{\forall}$  and **formula**  $\forall$ .

But we have only **one kind of variable!** Why?

## Proposition

For every model  $\mathbb{M}$  of  $\mathcal{E}$ , we have:

$$\mathbb{M} : \mathcal{E} \models \forall(x : \tau). (\phi \sim \text{true}) \quad \text{iff.} \quad \mathbb{M} : \mathcal{E} \models (\dot{\forall}(x : \tau). \phi) \sim \text{true}$$

## Preliminary Remark

A function  $f : \mathbb{S} \mapsto [0, 1]$  is **overwhelmingly true**, written  $f(\eta) \in \text{o.w.}(\eta)$ , if  $(1 - f(\eta)) \in \text{negl}(\eta)$ .

For any term  $\mathcal{E} \vdash \phi : \text{bool}$  and model  $\mathbb{M}$ :

$$\mathbb{M} : \mathcal{E} \models \phi \sim \text{true} \quad \text{iff.} \quad \Pr_{\rho}([\phi]_{\mathbb{M}:\mathcal{E}}^{\eta,\rho}) \in \text{o.w.}(\eta)$$

**Proof:**  $\Rightarrow$  take  $\mathcal{A}$  to be the identity.  $\Leftarrow$  trivial up-to-bad reasoning.

# HO Indistinguishability Logic: Formula and Term Quantifiers

## Proof of the Proposition

⇒ **case.** Assume the following:

$$\mathbb{M} : \mathcal{E} \models (\forall(x : \tau). \phi \sim \text{true}) \quad (\star)$$

Let  $A \in (\llbracket \tau \rrbracket_{\mathbb{M}}^{\eta})_{\eta \in \mathbb{N}}$  be a sequence of random variables. We must show

$$\Pr(\llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto A]}^{\eta, \rho}(\mathcal{E}, x : \tau)) \in \text{o.w.}(\eta)$$

where the probability is over  $\rho \in \mathbb{T}_{\mathbb{M}, \eta}$ .

$$\begin{aligned} & \Pr(\llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto A]}^{\eta, \rho}(\mathcal{E}, x : \tau)) \\ &= \Pr(\llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto 1_{A(\eta)(\rho)}^{\eta}]}^{\eta, \rho}(\mathcal{E}, x : \tau)) \\ &\geq \Pr(\bigcap_{a \in \llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}} \llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto 1_a^{\eta}]}^{\eta, \rho}(\mathcal{E}, x : \tau)) \\ &= \Pr(\llbracket \forall(x : \tau). \phi \rrbracket_{\mathbb{M} : \mathcal{E}}^{\eta, \rho}) \\ &\in \text{o.w.}(\eta) \quad (\text{using } (\star)) \end{aligned}$$

# HO Indistinguishability Logic: Formula and Term Quantifiers

$\Leftarrow$  **case.** Assume that

$$\mathbb{M} : \mathcal{E} \models \forall(x : \tau). (\phi \sim \text{true}) \quad (\dagger)$$

We need to show that  $\Pr([\forall(x : \tau). \phi]_{\mathbb{M}:\mathcal{E}}^{\eta,\rho}) \in \text{o.w.}(\eta)$ .

Let  $A$  be the family of functions choosing, for any  $\eta$  and  $\rho$ , a value  $a \in [\tau]_{\mathbb{M}}^{\eta}$  making  $\phi$  false when evaluated on tape  $\rho$

$$A(\eta)(\rho) \stackrel{\text{def}}{=} \begin{cases} \text{choose}\{a \in [\tau]_{\mathbb{M}}^{\eta} \mid [\neg\phi]_{\mathbb{M}[x \mapsto 1_a^{\eta}]:(\mathcal{E}, x:\tau)}^{\eta,\rho}\} & \text{if non-empty} \\ a_{\text{witness}} & \text{otherwise} \end{cases}$$

where  $a_{\text{witness}}$  is an arbitrary value in  $[\tau]_{\mathbb{M}}^{\eta}$  (recall that  $[\tau]_{\mathbb{M}}^{\eta} \neq \emptyset$ ), and  $\text{choose}(\mathbb{S})$  is an arbitrary choice function for set  $\mathbb{S}$ .

Since all functions from  $\mathbb{T}_{\mathbb{M},\eta}$  to  $\{0; 1\}$  are random variables (thanks to  $\mathbb{T}_{\mathbb{M},\eta}$ 's finiteness), we get that, by applying  $(\dagger)$  to  $A$

$$\Pr([\phi]_{\mathbb{M}[x \mapsto A]:(\mathcal{E}, x:\tau)}^{\eta,\rho}) \in \text{o.w.}(\eta) \quad (\ddagger)$$

# HO Indistinguishability Logic: Formula and Term Quantifiers

Then:

$$\begin{aligned} & \Pr \left( \llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto A]}^{\eta, \rho} : (\mathcal{E}, x : \tau) \right) \\ &= \Pr \left( \llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto 1_{A(\eta)(\rho)}]}^{\eta, \rho} : (\mathcal{E}, x : \tau) \right) \\ &= \Pr \left( \bigcap_{a \in \llbracket \tau \rrbracket_{\mathbb{M}}}^{\eta} \llbracket \phi \rrbracket_{\mathbb{M}[x \mapsto 1_a^{\eta}]}^{\eta, \rho} : (\mathcal{E}, x : \tau) \right) \\ &= \Pr \left( \llbracket \forall (x : \tau). \phi \rrbracket_{\mathbb{M} : \mathcal{E}}^{\eta, \rho} \right) \\ &\in \text{o.w.}(\eta) \qquad \qquad \qquad \text{(using } (\ddagger) \text{)} \end{aligned}$$

Our **reachability proof system** hence supports the usual rules for **arbitrary term quantifiers**, e.g.

$$\frac{\mathcal{E}, x : \tau; \Gamma \vdash \phi}{\mathcal{E}; \Gamma \vdash \forall(x : \tau). \phi}$$

⇒ Allow for **generic higher-order reasoning** in terms.



# Freshness and Cryptographic Rules

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# HO Indistinguishability Logic: Name Collision

We allow **names** (i.e. random samplings) over arbitrary types.

⇒ names can have collisions.

- e.g.  $\Pr(\llbracket n_0 \doteq n_1 \rrbracket)$  is non-negligible if  $n_0, n_1 : \mathbf{bool}$ .

**Large names** are names with around  $\eta$  random bits:

- for any name  $n : \tau_0 \rightarrow \tau$  over a **large** type  $\tau$  (e.g. **message**), we ask that for any  $\eta \in \mathbb{N}$ ,  $a \in \llbracket \tau_0 \rrbracket_{\mathbb{M}}^{\eta}$  and  $b \in \llbracket \tau \rrbracket_{\mathbb{M}}^{\eta}$ ,

$$\Pr_{\rho_h} (\llbracket n \rrbracket_{\mathbb{M}}(\eta, a)(\rho_h) = b) \leq \frac{1}{2^{c_{\tau} \cdot \eta}}$$

where  $c_{\tau} > 0$  is a positive real number.

# HO Indistinguishability Logic: Name Collision

How to adapt the rule exploiting **probabilistic independence**?

## Base Logic Rule

$$\overline{t \doteq n \sim \text{false}} \quad \text{when } n \notin \text{st}(t)$$

where  $t$  is a **ground low-order** term.

## Rule for Name Collision (first tentative)

$\mathcal{E}$  with only **declarations** of built-ins and names ( $\approx$  ground-terms).

$t, t_0$  well-typed in  $\mathcal{E}$  and  $(n : \_ \rightarrow \tau) \in \mathcal{E}$  where  $\tau$  is **large**

$$\overline{t \doteq n t_0 \sim \text{false}}$$

when  $n$  does not appear in  $t, t_0$  and **all definitions** in  $\mathcal{E}$ .

$\Rightarrow$  not very useful!

# HO Indistinguishability Logic: Name Collision

How to do better? Lets see on an example.

$\mathcal{E}$  with only **declarations** of built-ins and names, except for a **single inductive definition**:

$$\ell = \lambda(i : \text{int}). \text{if } i \doteq 0 \text{ then empty else } \langle n \ i, \ell \ (\text{pred } i) \rangle$$

where  $n : \text{int} \rightarrow \text{message}$ .

## Rule (special case)

Terms  $t, t_0$  well-typed in  $\mathcal{E}$  that **do not use**  $\ell$  and  $n$ :

$$\overline{(\text{att}(\ell \ t) \doteq n \ t_0) \dot{\rightarrow} t_0 \leq t \sim \text{true}}$$

Indeed,  $\text{att}(\ell \ t)$  only depends on the random samplings  $n \ 1, \dots, n \ t$ , which are independent from  $n \ t_0$  when  $t < t_0$ .  
 $\Rightarrow$  requires **in-depth** analysis of **recursive definitions**.

# HO Indistinguishability Logic: Name Collision

**Key Ideas:** conditions under which this name collision rule is sound

$$\frac{}{t \doteq n t_0 \rightarrow \neg \phi_{\text{fresh}} \sim \text{true}}$$

- Collect all **occurrences** at which name  $n$  is sampled in  $t, t_0$ , including in **recursive calls**.  
 $\Rightarrow$  use the set of **generalized subterms**  $\mathcal{ST}_{\mathcal{E}}^{\text{rec}}(\cdot)$ .  
( $\mathcal{ST}_{\mathcal{E}}^{\text{rec}}(t)$  can be infinite)
- $\phi_{\text{fresh}}$  must ensure **independence** w.r.t.  $(n t_0)$ , i.e. that all generalized occurrences  $(n s)$  in  $\mathcal{ST}_{\mathcal{E}}^{\text{rec}}(t, t_0)$  are s.t.  $s \neq t_0$ .

# HO Indistinguishability Logic: Generalized Subterms

$ST_{\mathcal{E}}^{\text{rec}}(t)$  are the **generalized subterms** of  $t$ .

$$ST_{\mathcal{E}}^{\text{rec}}(x) \stackrel{\text{def}}{=} \{(\epsilon, \text{true}, x)\} \quad \text{if } (x : \tau) \in \mathcal{E} \text{ or } x \notin \mathcal{E}$$

$$ST_{\mathcal{E}}^{\text{rec}}(x) \stackrel{\text{def}}{=} ST_{\mathcal{E}}^{\text{rec}}(t_0) \quad \text{if } (x : \tau = t_0) \in \mathcal{E}$$

$$ST_{\mathcal{E}}^{\text{rec}}(x t) \stackrel{\text{def}}{=} ST_{\mathcal{E}}^{\text{rec}}(t_0\{y \mapsto t\}) \quad \text{if } (x : \tau = \lambda y. t_0) \in \mathcal{E}$$

$$ST_{\mathcal{E}}^{\text{rec}}(t t_0) \stackrel{\text{def}}{=} \{(\epsilon, \text{true}, t t_0)\} \cup ST_{\mathcal{E}}^{\text{rec}}(t) \cup ST_{\mathcal{E}}^{\text{rec}}(t_0) \quad \text{if no other case applies}$$

where the if-then-else and quantifier cases are as in  $ST(\cdot)$ , and  $y$  is taken fresh in the  $\lambda$  case.

💡  $ST_{\mathcal{E}}^{\text{rec}}(\cdot)$  ignores variable that can be unrolled into their definitions.

# HO Indistinguishability Logic: Freshness Condition

## Rule for Name Collision

$\mathcal{E}$  with only **declarations** of built-ins and names ( $\approx$  ground-terms).

$t, t_0$  well-typed in  $\mathcal{E}$  and  $(n : \_ \rightarrow \tau) \in \mathcal{E}$  where  $\tau$  is **large**

$$\frac{}{t \doteq n t_0 \dot{\rightarrow} \dot{\neg} \phi_{\text{fresh}} \sim \text{true}}$$

if  $t, t_0$  is eta-long form and if, for every model  $\mathbb{M} : \mathcal{E}$ ,  $\eta \in \mathbb{N}$  and  $\rho$ :

$$\llbracket \phi_{\text{fresh}} \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} = 1 \text{ implies } \llbracket \phi \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho} = 1 \text{ for every } \phi \in \mathbb{S}$$

where  $\mathbb{S}$  is a (possibly infinite) set formulas stating that  $n t_0$  is **not sampled** in  $t, t_0$ .

$$\mathbb{S} \stackrel{\text{def}}{=} \{ (\forall \vec{\alpha}. \psi \Rightarrow s \neq t_0) \mid (\vec{\alpha}, \psi, n s) \in \mathcal{ST}_{\mathcal{E}}^{\text{rec}}(t, t_0) \}$$

**Proof:** On the blackboard, using the Proposition shown later.

# HO Indistinguishability Logic: Name Collision

## Example

Assume  $t, t_0$  do not use  $n$  nor  $\ell$ .

$$\overline{(\mathbf{att}(\ell t) \dot{=} n t_0) \dot{\rightarrow} t_0 \leq t \sim \text{true}}$$

All occurrences of name  $n$  in  $\mathcal{ST}_{\mathcal{E}}^{\text{rec}}(\mathbf{att}(\ell t))$  are of the form

$$(\epsilon, t \neq 0 \wedge \text{pred } t \neq 0 \dot{\wedge} \dots \dot{\wedge} \text{pred}^j t \neq 0, n (\text{pred}^j t))$$

for  $j \in \mathbb{N}$  (there are infinitely many occurrences).

All of these are **guaranteed fresh** by the formula  $t < t_0$ :

$$(t < t_0) \dot{\rightarrow} (\text{pred}^j t \neq t_0)$$

Hence  $t < t_0$  is a **suitable candidate** for  $\phi_{\text{fresh}}$ , yielding the rule

$$\overline{(\mathbf{att}(\ell t) \dot{=} n t_0) \dot{\rightarrow} \dot{\rightarrow} (t < t_0) \sim \text{true}}$$

$$\Leftrightarrow \overline{(\mathbf{att}(\ell t) \dot{=} n t_0) \dot{\rightarrow} t_0 \leq t \sim \text{true}}$$



## HO Indistinguishability Logic: Name Collision

The semantics of a term  $t$  w.r.t. a model  $\mathbb{M} : \mathcal{E}$  and **two different tapes**  $\rho_1$  and  $\rho_2$  is **identical**, if the interpretation of **declared variables** by  $\mathbb{M}$  **coincides** on  $\rho_1$  and  $\rho_2$ .

### Proposition

Let  $t$  well-typed in  $\mathcal{E}$  in eta-long form. Then  $\llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho_1} = \llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho_2}$  if

$$\mathbb{M}(x)(\eta)(\rho_1)(a) = \mathbb{M}(x)(\eta)(\rho_2)(a) \quad \text{with } a \stackrel{\text{def}}{=} \llbracket \vec{u} \rrbracket_{\mathbb{M}':\mathcal{E},\vec{\alpha}}^{\eta,\rho_1}$$

for all  $(\vec{\alpha}, \phi, (x \vec{u})) \in \mathcal{ST}_{\mathcal{E}}^{\text{rec}}(t)$  such that:

- $x$  is a variable declaration bound in  $\mathcal{E}$  (not in  $\vec{\alpha}$ )
- $\mathbb{M}'$  extends  $\mathbb{M}$  into a model of  $(\mathcal{E}, \vec{\alpha})$
- $\llbracket \phi \rrbracket_{\mathbb{M}':\mathcal{E},\vec{\alpha}}^{\eta,\rho_1} = 1$

**Proof Sketch:** induction over the generalized subterms of  $t$  involved in  $\llbracket t \rrbracket_{\mathbb{M}:\mathcal{E}}^{\eta,\rho_1}$ .